

APPLICATION FORM :

Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP)
Systematic Investment Plan (SIP)* - *Through Post dated cheques

Investor must read the instructions section before completing this form. First time investors need to fill up the main Application Form along with SIP application form

Broker Name / ARN	Sub Broker Code / ARN	ISC Date Time Stamp Reference No.
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"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor".

1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Folio No.	Name of 1st Unit Holder
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2. SYSTEMATIC TRANSFER PLAN (STP)

From Scheme	Plan	Option			
To Scheme	Plan	Option			
Transfer Frequency (Please <input type="checkbox"/>)	<input type="checkbox"/> Daily (Monday to Friday)	<input type="checkbox"/> Weekly (Every Wednesday)	<input type="checkbox"/> Fortnightly (Every Alternate Wednesday)	<input type="checkbox"/> Monthly # (Min. 6 Nos. and each transfer of Rs. 1000/- and above)	<input type="checkbox"/> Quarterly # (Min. 4 Nos. and each transfer of Rs. 1500/- and above)
# Dates for Monthly or Quarterly option	<input type="checkbox"/> 01st	<input type="checkbox"/> 10th (Default)	<input type="checkbox"/> 15th	<input type="checkbox"/> 21st	<input type="checkbox"/> 28th
Transfer Period From	MM / YYYY	To	MM / YYYY		
Fixed Amount per Transfer	in figures				

3. SYSTEMATIC WITHDRAWAL PLAN (SWP)

From Scheme	Plan	Option			
Withdrawal Option (Please <input type="checkbox"/>)	<input type="checkbox"/> Fixed (Min. 12 Months/4 Quarters of Rs. 1000 and above)	OR	<input type="checkbox"/> Appreciation withdrawal (1st of each Month/Quarter) (Min. 12 Months/4 Quarters of Rs. 1000 and above)	Fixed Amount Per Withdrawal Rs.	in figures
Withdrawal Date (Please <input type="checkbox"/>)	<input type="checkbox"/> 01st	<input type="checkbox"/> 10th (Default)	<input type="checkbox"/> 15th	<input type="checkbox"/> 21st	<input type="checkbox"/> 28th
Withdrawal Period From	MM / YYYY	To	MM / YYYY		

4. SYSTEMATIC INVESTMENT PLAN (SIP) ENROLMENT DETAILS

Scheme / Plan / Option	<input type="checkbox"/> ≤ Rs. 50,000 per annum <input type="checkbox"/> > Rs. 50,000 per annum	Frequency Please (<input type="checkbox"/>)	<input type="checkbox"/> Monthly (Min. 6 installments of Rs. 1,000/- each)	<input type="checkbox"/> Quarterly (Min. 4 installments of Rs. 1,500/- each)	
SIP Date Please (<input type="checkbox"/>)	<input type="checkbox"/> 01st	<input type="checkbox"/> 10th (Default)	<input type="checkbox"/> 15th	<input type="checkbox"/> 21st	<input type="checkbox"/> 28th
SIP Amount (Rs.)	Amount (Rs.) (in words)	Period of enrolment	From	To	
Investment Period	in Months	From	MM / YYYY	To	MM / YYYY
Cheque Dates From	DD / MM / YYYY	To	DD / MM / YYYY	Details of Cheque Nos.	Total Chqs
Drawn on Bank	Branch Name	From	To	From	To

A/c. Type Please () SAVINGS NRE* CURRENT NRO FCNR* *Kindly provide copy of the payment instrument or Foreign Inward Remittance Certificate (FIRC) Evidencing source of Funds

5. DECLARATION & SIGNATURE

To The Trustees,
Mirae Asset Mutual Fund
Having read and understood the contents of the SID of the Scheme(s) applied for hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We understand that the investments are exposed to market risks. I/We confirm that all the risks which the scheme is subject to, will be borne by me/us and that there is no guarantee given by the Fund of any returns including repayment of principal. I/We hereby declare that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. I/We have understood the details of the scheme (s) and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belong to me/us. I/We confirm that I/We have read and understood the 'Know Your Customer' (KYC) norms as mentioned under the General Instructions in point 2(e) of this Key Information Memorandum.
"The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us".
"I/We do not have any existing Micro SIPs which together with the current application would result in aggregate investments exceeding Rs. 50,000 in a rolling 12 month period or in a financial year".
Applicable for NRIs only:- I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.
Applicable to Foreign Resident's Residing in India:- I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations.
The Companies investing in this fund declares that they have complied with all the laws, rules, regulations, guidelines, etc. as applicable to them.
I/We confirm that the details provided by me/us are true and correct.

<input type="checkbox"/> Signature of 1st Applicant / Guardian / Authorised Signatory /PoA	<input type="checkbox"/> Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	<input type="checkbox"/> Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA
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Received From _____
an application for Systematic Investment / Systematic Withdrawal / Systematic Transfer
Scheme _____ Facility Details

ACKNOWLEDGEMENT SLIP
APPLICATION / FOLIO NO.

Scheme _____	SIP <input type="checkbox"/>	Date	DD / MM / YYYY	Amount (Rs) _____
Plan _____	SWP <input type="checkbox"/>	Date	DD / MM / YYYY	Amount (Rs) _____
Option _____	STP <input type="checkbox"/>	Date	DD / MM / YYYY	Amount (Rs) _____

Official Acceptance Point Stamp & Sign

A. INSTRUCTIONS - COMMON TO SIP / SWP / STP

1. New investors who wish to enrol for SIP / SWP / STP should fill the form in addition to the Common Application Form. Please complete all details in the Common Application Form.
 Details of SIP / SWP / STP should be provided on this form. Existing investors need to fill up only the form.
2. For multiple transactions under more than 1 scheme, separate form should be used.
3. Request for SIP should be submitted at least 30 working days before the commencement date and for STP/SWP the form should be submitted at least 15 working days before the commencement date.
4. The investor has the right to discontinue SIP / SWP / STP at any time he/she so desires by sending a written request at least 15 working days in advance of the immediate next due date to any of the offices of Mirae Asset Mutual Fund or its Authorised Collection Centres. On receipt of such request the SIP / SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
5. Units will be Allotted / Redeemed / Transferred at the NAV related prices of the 1st, 10th, 15th, 21st, 28th of every month (or next business day, if 1st and/or 10th and/or 15th and/or 21st and/or 28th is a non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An updated account statement will be sent after each transaction under the Special Products, wherever, email address has been provided, the account statements will be sent through email only. Other investors will be sent on a quarterly basis.

B. INSTRUCTIONS - SYSTEMATIC INVESTMENT PLAN (SIP)

1. **Minimum Amount :**
A] For Monthly SIP : Minimum 6 Cheques of Rs. 1,000/- each and above.
B] For Quarterly SIP : Minimum 4 cheques of Rs. 1500/- each and above.
2. **All post-dated cheques have to be issued favouring the individual scheme.**
3. Value of each monthly installment should be the same in all the post-dated cheques issued.
4. Investment Dates: Cheques should be of the following dates: 1st, 10th, 15th, 21st, 28th of every Month/Quarter.
5. Cheques should be drawn payable at locations of Mirae Asset Global Investments (India) Pvt. Ltd. branches & authorised centres. **Non MICR / outstation post dated cheques will not be accepted for SIP.**
6. **Default Dates:** In case of any ambiguity in selection of investment frequency, the SIP date will be 10th of each month / quarter.

C. INSTRUCTIONS - SYSTEMATIC WITHDRAWAL PLAN (SWP)

1. **Options available and Minimum Amount :**
A] Fixed withdrawal:- Investors can withdraw fixed amount of Rs. 1,000/- each and above.
B] Appreciation withdrawal:- Investors can withdraw appreciation of Rs. 1,000/- and above at regular intervals. If the appreciation amount is less than Rs. 1,000/- or the specified amount there will be no SWP in that month/quarter. The cumulative appreciation of this period and the immediately succeeding period shall be paid out subject to it being a minimum of Rs. 1,000/- or the specified amount.
2. SWP is not available for investments under lock-in period and for investments which are pledged.
3. The SWP payouts will be processed at the requested frequency. The investor can opt for direct credit of the redemption proceeds to their bank accounts (Currently direct credit offered for the following banks - ABN Amro Bank, Axis Bank, Citibank, Deutsche Bank, HDFC Bank, ICICI Bank, IDBI Bank, Kotak Mahindra Bank, Standard Chartered Bank). For investors banking with any other bank apart from above mentioned banks, the AMC /MF will endeavor to credit the payout directly to that bank account through available electronic mode(s). The AMC/MF shall not be responsible if payout through electronic mode(s) (ECS/Direct Credit) does not get affected due to incomplete or incorrect information or any other technical/operational reasons. The AMC/MF reserves the right to use any other mode of payment as deemed appropriate.
4. In case of Fixed Withdrawal, if the amount of instalment is more than the amount available in that account for redemption, the entire available amount will be redeemed and the SWP will terminate automatically.
5. In case of Appreciation Withdrawal, appreciation will be calculated on the units available for redemption at the time of the SWP installment.
6. **Withdrawal Dates:** Fixed Withdrawal: Investors can withdraw fixed amount on 1st, 10th, 15th, 21st, 28th of each month/quarter for minimum 12 months / 4 quarters.
Appreciation withdrawal: Investors can withdraw appreciation on the 1st of each month/quarter for minimum 12 months / 4 quarters.
7. **Default Dates:** In case of any ambiguity in selection of withdrawal frequency, the SWP date will be 10 of each month in case of Fixed withdrawal facility.

D. INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP)

1. **Minimum Amount :** **A]** Daily - Monday to Friday : 5 transfers of Rs. 1,000/- each and above.
B] Weekly - Every Wednesday / Fortnightly - Every Alternate Wednesday
 Monthly Plan : Minimum 6 transfers of Rs. 1,000/- each and above.
C] Quarterly Plan : Minimum 4 transfers of Rs. 1,500/- each and above.
2. Minimum balance in the Scheme at the time of enrollment for STP facility should be Rs. 6,000/-.
3. STP is not available for investments under lock-in period.
4. In case of insufficient balance, the transfer will not be effected.
5. **Transfer Dates/ Days :** Daily - Monday to Friday, Weekly - Every Wednesday, Fortnightly - Every Alternate Wednesday
Monthly Plan : Transfers can be on 1st, 10th, 15th, 21st, 28th of each month for minimum 6 transfers.
Quarterly Systematic Transfer Plan: Transfers can be on 1st, 10th, 15th, 21st, 28th of each quarter for minimum 4 quarters.
6. **Default Dates:** In case of any ambiguity in selection of transfer frequency, the STP date will be 10th month / quarter.

For more information
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 Call us at **1-800-1020-777** (Toll Free)