Mirae Asset Mutual Fund

Trustee: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Investment Manager: Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625

Registered & Corporate Office:

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098 **Tel. No.:** 022-678 00 300 Fax No.: 022- 6725 3940 - 47 **Website:** www.miraeassetmf.co.in E-mail: miraeasset@miraeassetmf.co.in

KEY INFORMATION MEMORANDUM

of

MIRAE ASSET BANKING AND PSU DEBT FUND (MABPDF)

An open ended debt scheme predominantly investing in debt instruments of banks, Public Sector Undertakings, Public Financial Institutions and Municipal Bonds.



Offer of Units of Rs. 10/- per unit during the New Fund Offer Period and on applicable NAV during the Continuous offer

New Fund Offer opens on : 08/07/2020 New Fund Offer closes on : 20/07/2020 Scheme re-opens for continuous Sale and Repurchase from 27/07/2020

The Units of the Scheme will be available for Subscription / Switch-in not later than five business days from the date of allotment. The Trustee may close the NFO before the above mentioned date by giving at least one day notice in one daily Newspaper.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Name of the Scheme	MIRAE ASSET BANKING AND PSU DEBT FUN	D (MABPE	DF)	
Type of the scheme	An open ended debt scheme predominantly investir Sector Undertakings, Public Financial Institutions an	•		of banks, Public
Investment Objective	The investment objective of the scheme is to generat predominantly investing in debt and money marke Sector Undertakings (PSUs) and Public Finat Corporations and such other bodies. The Scheme does not guarantee or assure any return	t instrumer ncial Insti	nts issued b	y Banks, Public
Asset Allocation	Under normal circumstances, the asset allocation wi	ll be as foll	ows:	
Pattern of the scheme	Types of Instruments	Indicative allocation (% of tot	ı	Risk Profile
	Types of first uncerts	Minimu m	Maximu m	
	Debt and Money Market Instruments, issued by Scheduled Commercial Banks, Public Sector Undertakings (PSUs) & Public Financial Institutions (PFIs) and Municipal Bonds	80%	100%	Low to Medium
	Debt (including government securities) and Money Market Instruments issued by entities other than Banks, PFIs, PSUs and Municipal Bonds	0%	20%	Low to Medium
	Units issued by REITs & InvITs	0%	10%	Low to Medium
	 A maximum of 10% of net assets may be demaximum single issuer exposure may be restalimits permitted by SEBI from time to time. The Scheme may invest in securitised debt upto The Scheme may invest in Structured Oblexceeding 10% of the debt portfolio of the scinstruments shall not exceed 5% of the debt portf The Scheme may invest in derivatives of fixed of 50% of its net assets. The cumulative gross exposure through debt, m InvITs and derivative positions should not e Scheme. The scheme may engage in securities lending in by SEBI. A maximum of 20% of net assets will the maximum single counterparty exposure v outstanding at any point of time. If permitted by SEBI Regulations, the Scheme r in accordance with the guidelines issued by SEBI 	a maximum igations / heme and folio of the income ins noney mark xceed 1009 debt secur n accordance l be deploy vill be rest	% of net as n of 50% of Credit En the group e scheme. struments u et, units iss % of the n ities. we with the g red in secur ricted to 5	sets or upto the its net assets. hancements not exposure in such p to a maximum ued by REITs & et assets of the guidelines issued ities lending and % of net assets

	 Public sector entities/undertakings to include those entities: in which the Government of India/a State Government has at least 51% shareholding. (directly or indirectly) notified/qualifying as public sector entities, in accordance with norms/notified by Government of India/a State Government. the debt of which is guaranteed by Government of India/a State Government. "Public Financial Institution" means- i. the Life Insurance Corporation of India, established under section 3 of the Life Insurance Corporation Act, 1956; ii. the Infrastructure Development Finance Company Limited, referred to in clause
	 (vi) of sub-section (1) of section 4A of the Companies Act, 1956 so repealed under section 465 of the Companies Act, 2013; iii. specified company referred to in the Unit Trust of India (Transfer of Undertaking and Repeal) Act, 2002; iv. institutions notified by the Central Government under sub-section (2) of section 4A of the Companies Act, 1956 so repealed under section 465 of the Companies Act, 2013; v. such other institution as may be notified by the Central Government in consultation with the Reserve Bank of India;
	 Provided that no institution shall be so notified unless – A. it has been established or constituted by or under any Central or State Act; or B. not less than fifty-one per cent of the paidup share capital is held or controlled by the Central Government or by any State Government or Governments or partly by the Central Government and partly by one or more State Governments (directly or indirectly);
	The Scheme may invest in UDAY or other similar bonds under the category of Banking/PSU/PFI as mentioned above.
	Banks will include all scheduled commercial banks which are regulated by Reserve Bank of India.
	The Scheme may invest in the schemes of Mutual Funds in accordance with the applicable extant SEBI (Mutual Funds) Regulations as amended from time to time.
	It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unit holders. The asset allocation pattern described above may alter from time to time on a short-term basis, on defensive considerations, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors (i.e., for reasons other than downgrade in rating) and would, in such cases, shall be rebalanced within 30 days from date of deviation. However, if the asset allocation pattern is to be altered for other reasons, as this is a fundamental attribute, the procedure outlined in the paragraph on fundamental attributes below, shall be followed.
Investment Strategy	The Scheme aims to invest in debt and money market instruments issued by entities such as Scheduled Commercial Banks, Public Financial Institutions (PFIs), Public Sector Undertakings (PSUs), Municipal Corporations and such other bodies. The Scheme shall endeavour to develop a well-diversified portfolio of debt (including securitised debt) and other instruments.

Risk Profile of the Scheme	The Scheme may also invest in the schemes of Mutual Funds. The Scheme may seek investment opportunity in the Foreign Debt Securities, in accordance with guidelines stipulated in this regard by SEBI and RBI from time to time. Investment in Foreign Debt Securities may be done in government securities and in debt/money market instruments issued by Foreign Commercial Banks/PSUs/PFIs/Municipal bodies. The Scheme may also invest in the hybrid securities viz. units of REITs and InvITs for diversification and subject to necessary stipulations by SEBI from time to time. Though every endeavor will be made to achieve the objective of the Scheme, the AMC / Sponsors / Trustee do not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme. Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment.
	The Scheme is subjected to risk factors associated with risks associated with Derivatives, Risks associated with segregated portfolio, Risk Associated with Securitized Debt, Risk Factors Associated with Investments in REITs and InvITS, Risk associated with Securities Lending, Risk associated with short selling
Risk Control measures	RISK CONTROL: The Investment Manager endeavors to invest in REITs/InvITs, where adequate due diligence and research has been performed by the Investment Manager. The Investment Manager also relies on its own research as well as third party research. This involves one to one meetings with the managements, attending conferences and analyst meets and also tele-conferences. The analysis will focus, amongst others, on the predictability and strength of cash flows, value of assets, capital structure, business prospects, policy environment, strength of management, responsiveness to business conditions etc.
	RISK MITIGATION MEASURES
	Concentration Risk The AMC will mitigate this risk by investing in sufficiently large number of issuers spread across the sectors so as to maintain optimum diversification and keep issuer/sector specific concentration risk relatively low.
	Liquidity Risk The Schemes will invest in debt instruments and money market instruments. While the liquidity risk for government securities, money market instruments and short maturity corporate bonds may be low, it may be high in case of medium to long maturity corporate bonds. Liquidity risk is today characteristic of the Indian fixed income market. The Schemes will however, endeavor to minimize liquidity risk by investing in securities having a liquid market.
	Credit Risk - The fund has a rigorous credit research process. There is a regulatory and internal cap on exposure to each issuer. This ensures a diversified portfolio and reduced credit risk in the portfolio.
	Risks Associated with Repo in Corporate Debt
	1) <u>Illiquidity Risk</u>
	The repo market for corporate debt securities is over the counter (OTC) and illiquid. Hence, repo obligations cannot be easily sold to other parties. Therefore, to mitigate such risks, it has been stipulated that gross exposure to Repo in corporate bonds

would be limited to 10% of net assets of the concerned scheme. Further, the tenor of repo would be taken based on nature and unit holders' pattern of the scheme.

2) <u>Counter-party risk</u>

Credit risk would arise if the counter-party fails to repurchase the security as contracted or if counterparty fails to return the security or interest received on due date. To mitigate such risks, the schemes shall carry out repo transactions with only those counterparties, which has a credit rating of 'A1+' or 'AA- and above'. In case of lending of funds as a repo buyer, minimum haircuts on the value of the collateral security have been stipulated, and we would receive the collateral security in the scheme's account before the money is lent to the counter-party. Overall, we would have a limited number of counter-parties, primarily comprising of Mutual Funds, Scheduled Commercial banks, Financial Institutions and Primary dealers. Similarly, in the event of the scheme being unable to pay back the money to the counterparty as contracted, the counter-party may hurriedly dispose of the assets (as they have sufficient margin) and the net proceeds may be refunded to the Scheme. Thus, the Scheme may suffer losses in such cases. Sufficient funds flow management systems are in place to mitigate such risks.

3) Collateral Risk (as a repo buyer)

Collateral risks arise due to fall in the value of the security (change in credit rating and/or interest rates) against which the money has been lent under the repo arrangement. To mitigate such risks, we have stipulated the minimum credit rating of the issuer of collateral security.

('AA' for long-term instruments/A1+ for money market instruments), maximum duration of the collateral security (10 years) and minimum haircuts on the value of the security.

PlansandMirae Asset Banking and PSU Debt Fund shall have Regular Plan and Direct Plan and
offers two options viz., Growth and Dividend under both the Plans.

The Dividend Option shall have 2 sub options: (a) Dividend Payout (b) Dividend Reinvestment.

Growth Option: The returns from investments are generated through capital appreciation of units' price and no dividend will be declared.

Dividend Option: Under this option, a dividend may be declared by the Trustee, at its discretion, from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

Dividend Payout sub-option: The dividend declared by the Fund shall be paid to the investors who appears in the Unitholders /Beneficial Owners whose names appear in the Register of Unit holders maintained by the Mutual Fund/statement of beneficial ownership maintained by the Depositories, as applicable, under the Dividend Payout Option of the plan, as on the record date.

Dividend Re-Investment sub-option: The Dividend declared by the fund shall be reinvested in the Plan and accordingly the units shall be issued to the investor at the NAV prevailing at the date of declaration of dividend. There shall, however, be no Entry Load and Exit Load on the dividend so reinvested.

	of the divi understood discretion of The default his investor investor. If the unit default sub- Investors su against the PSU DEBT	dend payout and a that the actual dec f Board of Directors option for the unith ents through a dist holders selects Div option shall be Divi ibscribing under Dir Scheme name in th	applica laratic of the olders ributor idend dend H rect Pl e appl Plan".	able statutory levies, if on of dividend and freque Trustee Company. will be Regular Plan - Grov and Direct Plan – Grov option but does not spec Reinvestment. an of the Scheme will hav ication form i.e. "MIRAE	option will fall to the extent any. It must be distinctly ency thereof is at the sole owth Option if he is routing wth option if he is a direct ify the sub-option then the we to indicate "Direct Plan" E ASSET BANKING AND based on the applications
	Scenario	mentioned by	Code the	Plan mentioned by the investor	Default Plan to be captured
	1	investor		Not montioned	Direct Diag
	1	Not mentioned		Not mentioned Direct	Direct Plan Direct Plan
	3	Not mentioned		Regular	Direct Plan
	4	Mentioned		Direct	Direct Plan
	5	Direct		Not Mentioned	Direct Plan
	6	Direct		Regular	Direct Plan
	7	Mentioned		Regular	Regular Plan
	8	Mentioned		Not Mentioned	Regular Plan
	application correct ARI investor/ di AMC shall any exit loa The AMC	shall be processed N code within 30 ca stributor. In case, the reprocess the transa d. reserves the right to	under alendar ale corr ction u o intro	Regular Plan. The AMC : days of the receipt of the ect code is not received v under Direct Plan from the duce a new option / inve	on the application form, the shall contact and obtain the e application form from the vithin 30 calendar days, the e date of application without stment Plan at a later date, es the right to discontinue /
	withdraw an Directors of	ny option / investme f AMC and Trustee.	ent pla	n, if deemed fit, after takin	ng approval of the Board of
Applicable NAV (after the scheme opens for	respects) sh NAV of tha	ould reach the Off t Business Day.	icial P	oints of Acceptance to be	on Form(s) (complete in all e entitled to the Applicable
repurchase)	in all respe below, at an received an	cts and received an ny of the Official Po	d time oints o g is do	e stamped upto the releva f Acceptance of transaction ne after the relevant Cut-	subject to it being complete ant Cut-off time mentioned ons. Where an application is off time the request will be
		ning for subscripti Rs. Two lakhs only		rchases/switch- ins for	an amount less than Rs.
	For Purchas	ses including switch	-ins:		

	 cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the day on which application is received shall be applicable. ii. In respect of valid applications received after 3.00 p.m. by the Fund along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the following Business Day shall be applicable. iii. In respect of valid applications with an outstation cheques or demand drafts not payable at par at the Official Points of Acceptance where the application is received, the closing NAV of day on which the cheque or demand draft is credited shall be applicable. Cut off timing for subscriptions/purchases/switch- ins amount equal to or greater than Rs. 2,00,000 (Rs. Two lakhs only): i. In respect of valid applications received for an amount equal to or more than Rs. 2 lakhs upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable. ii. In respect of valid applications received for an amount equal to or more than Rs. 2 lakh after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable.
	 entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable. iii. Irrespective of the time of receipt of applications for an amount equal to or more than Rs. 2 lakh at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.
	It is clarified that all multiple applications for investment at the Unit holders' PAN and holding pattern level in a Scheme (irrespective of amount or the plan/option/sub-option) received on the same Business Day, will be aggregated to ascertain whether the total amount equals to Rs. 2 lakh or more and to determine the applicable Net Asset Value. Transactions in the name of minor received through guardian will not be aggregated with the transaction in the name of same guardian. The criteria for aggregation of multiple transactions shall be as decided by the AMC at its sole discretion from time to time.
	i. In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.
Minimum Amount for Application	Investors can invest under the Scheme during the New Fund Offer period and ongoing offer period with a minimum investment of Rs.5,000/- and in multiples of Re. 1/- thereafter.
	During ongoing offer period, for subsequent additional purchases, the investor can invest with the minimum amount of Rs. 1,000/- and in multiples of Re. 1/- thereafter.
Dispatch of Repurchase	The redemption or repurchase proceeds shall be dispatched to the unitholders within 10 working days from the date of redemption or repurchase.

Key Information Memorandum - Mirae Asset Banking and PSU Debt Fund

(Redemption)							
Request							
Benchmark Index	NIFTY Banking and PSU Debt (Total Return Index)						
Dividend Policy	A dividend may be declared by the Trustee, at its discret the availability of distributable surplus as calculated in a Such dividends if declared will be paid under normal c holders who have opted for Dividend Option - Payout. T to unit holders as to the rate of dividend distribution no paid. If the Fund declares a dividend under the Scheme, warrants within 30 days from the date of declaration of beyond 30 days, then the AMC shall pay interest @15% p the date of dispatch of the warrant. In accordance with S No. 1/64057/06 dated April 4, 2006, the procedure for followed.	accordance with the Regulations). ircumstances, only to those Unit here is no assurance or guarantee or will that dividend be regularly AMC shall dispatch the dividend the dividend. In case the delay is o.a. from the expiry of 30 days till EBI Circular no. SEBI/ IMD/ Cir					
Name of the Fund	The Scheme will be managed by Mr. Mahendra Kumar Ja	joo.					
Manager							
Name of the Trustee Company	Mirae Asset Trustee Company Private Limited						
Expenses of the Scheme	During New Fund Offer and Ongoing Offer Periods						
Structure	Entry Load: Not Applicable: In accordance with SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor. Similarly, no entry load will be charged with respect to applications for registrations under Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) accepted by the Mutual Fund with effect from August, 1, 2009. The upfront commission shall be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.						
	Exit Load: Nil						
	For any change in load structure AMC will issue an a website/Investor Service Centres.	addendum and display it on the					
	The Mutual Fund may charge the load within the stipular discrimination to any specific group. The Repurchase Price 93% of the NAV, and the Sale Price will not be higher to that the difference between the Repurchase price and Sal not exceed the permitted limit as prescribed by SEBI from 7% calculated on the Sale Price.	he however, will not be lower than han 107% of the NAV, provided he price at any point in time shall					
	These are the fees and expenses for operating the S Investment Management and Advisory Fee charged by t Agents' fee, marketing and selling costs etc. as given in the	he AMC, Registrar and Transfer					
	The AMC has estimated that upto 2.00% of the daily in charged to the scheme as expenses. As per the Regule expenses including investment management and advisor Scheme shall be subject to a percentage limit of daily net a	lations, the maximum recurring y fee that can be charged to the					
	First Rs. 500 crores	2.00%					
	Next Rs. 250 crores	1.75%					
	Next Rs. 1250crores	1.50%					

		1.050/	
	Next Rs. 3000 crores	1.35%	
	Next Rs. 5000 crores	1.25%	
2.Recurring expenses	on the next Rs. 40,000 crores of the daily net assets	Total expense r of 0.05% for eve Rs 5,000 crores assets or part the	ery increase of of daily net
	Balance of assets	0.80%	
	The recurring expenses of operating the Scheme on an an to the Scheme, are estimated to be as follows (each as a point assets) Particulars		
			daily net
	Investment Management & Advisory Fee		assets Upto 2.00%
	Trustee fee		0010 2.00%
	Audit fees		
	Custodian fees		
	RTA Fees		
	Marketing & Selling expense incl. agent commission		
	Cost related to investor communications		
	Cost of fund transfer from location to location		
	Cost of providing account statements and dividend reden	mption cheques	
	and warrants	1 1	
	Costs of statutory Advertisements		
	Cost towards investor education & awareness (at least 2	bps)	
	Brokerage & transaction cost over and above 12 bps for transactions @@	cash market	
	GST on expenses other than investment and advisory fee	es	
	GST on brokerage and transaction cost		
	Other Expenses*		
	Maximum total expense ratio (TER) permissible un	nder Regulation	
	52 (6) (c) (i) and (6) (a)		Upto 2.00%
	^ Additional expenses under regulation 52 (6A) (c) Upto		Upto 0.05%
	Additional expenses for gross new inflows from specifie	ed cities	Upto 0.30%
	*Other expenses: Any other expenses which are directly a charged with approval of the Trustee within the ow Regulations except those expenses which are specifically ^ In terms of SEBI Circular No SEBI/HO/IMD/DF2/C 2018, in case exit load is not levied / not applicable, th additional expenses. @ @ Brokerage and transaction costs which are incurrect trade and is included in the cost of investment shall not expanded the market transactions and 0.05 per cent in case of derivative	rerall limits as sp prohibited. IR/P/2018/15 date the AMC shall not d for the purpose acceed 0.12 per cent	d February 02, charge the said of execution of
Waiver of Load for Direct Applications	Not Applicable.		

Tax treatment	Investors are advised to refer to the paragraph on Taxation in the "Statement of Additional
for the	Information" or "Scheme Information Document" and to consult their own unit holder's tax
Investors	advisors with respect to the specific amount of tax and other implications arising out of
(Unitholders)	their participation in the scheme.
Daily Net	The NAV will be declared on all business days. NAV can also be viewed on
Asset Value	www.miraeassetmf.co.in and www.amfiindia.com. Investors can also call us on "1800
(NAV)	2090 777" (toll-free from a MTNL / BSNL landline) to know the latest NAV.
Publication	
For Investor	Mr. Girish Dhanani
Grievances	Mirae Asset Investment Managers (India) Pvt. Ltd.
please contact	606, 6th Floor, Windsor Bldg., Off CST Road, Kalina, Santacruz (E), Mumbai - 400 098.
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	Telephone Nos.: 6780 0300 / 25 e-mail: dhanani.girish@miraeasset.com Investors are advised to contact any of the ISCs or the AMC by calling the investor line of the AMC at "1800 2090 777" (toll-free from a MTNL / BSNL landline) for any queries. Investors can also visit the website at <u>www.miraeassetmf.co.in</u> , for complete details.
Unitholders'	Account Statement:
Information	
	The AMC shall issue to the investor whose application has been accepted, an account statement specifying the number of units allotted will be sent by ordinary post / courier / secured encrypted electronic mail to each Unit Holder, stating the number of Units purchased, within 5 Business Days, from the closure of NFO.
	In case of specific request received from investors, Mutual Fund shall provide the account statement to the investors within 5 working days from the receipt of such request without any charges.
	Account Statement for ongoing transactions:
	 An allotment confirmation specifying the units allotted shall be sent by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement (CAS), generated based on PAN, containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month by mail/email. In case of non-availability of PAN, AMC will send monthly account statement for any financial transactions undertaken during the month on or before 10th day of the succeeding month by mail/email. In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request by mail/email.
	 The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/R&T. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder. Further, the CAS detailing holding across all schemes of all mutual funds at the end of
	every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose email address is available, unless a specific request is made to receive in physical form.

• The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically.
For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).
Monthly/Half Yearly Portfolio Disclosures: The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the month / half-year i.e. March 31 and September 30, on its website viz. https://www.miraeassetmf.co.in/ and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each month/ half year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month/ half year respectively. Mutual Fund / AMC will publish an advertisement every half year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.
Half Yearly Unaudited Financial Results: Half yearly Unaudited Financial Results shall be hosted in the prescribed format on the website of the Mutual Fund on www.miraeassetmf.co.in within one month from the close of each half year i.e. on March 31 and on September 30 and an advertisement in this regard shall be published in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated. A link for the half yearly Unaudited Financial Results shall also be provided on website of Association of Mutual Funds in India (AMFI) on www.amfiindia.com.
Annual Financial Results: An annual report of the Scheme or an abridged format thereof will be prepared as at the end of each financial year (March 31) and copies of the same will be sent (i) by e-mail to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted/requested for the same as soon as possible but not later than 4 months from the closure of the relevant financial year. The physical copy of the Scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the Scheme wise annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

Date: June 25, 2020

APPLICATION FORM - MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)

MICR Code

(An open ended debt scheme predominantly investing in debt instruments of banks, Public Sector Undertakings, Public Financial Institutions and Municipal Bonds) Offer for units of ₹ 10/- each during the New Fund Offer period and at NAV based prices upon re-opening.



MIRAE ASSET BANKING & PSU DEBT		product is suitabl	e for investors	who are seeking*	RISKOMETER
(An open ended debt scheme predominantly	· · · · · ·	over short to medium term			
debt instruments of banks, Public Sector Und Public Financial Institutions and Municipal Bo New Fund Offer open on : 08/07/2020 New Fund Offer closes on : 20/07/2020	Iertakings, ands) To generation Public I *Investor	over short to medium term erate Income / capital appre ney market instruments iss Financial Institutions (PFIs) s should consult their finan e not clear about the suitab	ued by Banks, Public Sec and Municipal Bonds. cial advisers	antly investing in debt tor Undertakings (PSUs),	Aley Moderate Moos Line of the second
Application No.:				LOW	HIGH brs understand that their principal
× • • • • • • •	Out Destand				will be Moderate Risk
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
Name & Broker Code/ ARN/RIA Code EUIN Declaration: Declaration for "Execution Only the EUIN box has been intentionally left blank by me advice of in-appropriateness, if any, provided by th feed/portfolio holdings/NAV etc. in respect of my/out Please Lumpsum Investmen TRANSACTION CHARGES (Please I AM A FIRST TIME INVESTOR IN M Applicable transaction charges will be determined	/us as this transaction is executed with ne employee/relationship manager/sal	out any interaction or advice b es person of the distributor/s	y the employee/relationship ub broker. RIA/Declaratio	o manager/sales person of the above on the above of the above of the second second second second second second s	listributor/sub broker or notwithstanding the
Please 🕢 Lumpsum Investmen	t 📃	Micro Applicati	on 🗌	SIP	Application
TRANSACTION CHARGES (Please	- · ·		,		
g registered Distributor) based on the invest	ducted in case your distributor l or's assessment of various facto	rs including the services	ges. Upfront commiss rendered by the ARN I	Holder.	e investor to the ARN Holder (AMF
Folio No.		CKYC Identification	on No. (KIN)		
	ORMATION [Refer Instruction	n 21 If the 1 ^t / Sole Ap	plicant is Minor. the	n please provide details of	natural / legal guardian
1 st SOLE APPLICANT Mr. / Ms. / M/s.				PAN	
(Please write the name as per PAN Card)			Pls inc		for tax purpose / Resident of Canada
GUARDIAN (In case 1 st Applicant is a M	l l l l l				lo ^s (\$Default if not ✓) ip with Minor (Please ✓)
Mr. / Ms. / M/s.				Mother	Father Legal Guardian
GUARDIAN CKYC ID No. (KIN)			KYC (Please ✓) ☐ Proof Attached	GUARDIAN PAN	
POA / Custodian Name:				KY	C (Please 🗸) 🗌 Proof Attached
POA / Custodian CKYC ID No. (KIN)			F	POA / Custodian PAN	
Contact Person for Corporate Investo	or: Name			Designation:	
3. FIRST APPLICANT AND KYC		rked as '*' are Mar		- I - mation Forms in a setion 44	9.44h Defendestruction No. 47
1 st SOLE APPLICANT Individual *Date of Birth/ Incorporation (Individual) D M (Please write the Date of birth as per Aadhaar Aadhaar	MYYYY Card)	f of Date of Birth (Ple (For minor applicant)	ase√)Bir	th Certificate	a & 11b - Refer Instruction No. 17 School Leaving Certi cate / Mark Sheet Others
Place of Birth / Incorporation: (Please write the Date of birth as per Aadhaar	,		Nationality:		Male Female Othe
	le Prop 🔝 NRI - NRE 🛄 T vate Company 🗌 Public Ltd. Co	rust 🔄 Bank / Fls		Society/AOP/BOI Minor ership Firm FOF - MF Scher	through Guardian 🔄 NRI - NRC nes 🗌 Others
a*. Occupation Details [Please tick (✓)]	Private Sector	Public Sector	Government Ser	vice Student	Professional Housewife
b*. Politically Exposed Person (PEP) Stat	Business	Retired	Retired	Proprietorship	Others
c*. Gross Annual Income (₹) [Please tick		1-5 Lakhs	5-10 Lakhs		>25 Lakhs > 1 Crore
d*. Net-worth (Mandatory for Non-Individ			as o		Y Y (Not older than 1 year
e*. Non-Individual Investors involved any of the mentioned services	/providing Foreign E	Exchange / Money Cha ending / Pawning		Gaming/Gambling/Lottery/	
4. BANK ACCOUNT DETAILS -	Mandatory [Refer Instruct	tion Nos. 3 & 4]			
Name of the Bank:					
Core Banking A/c No.			A/c. Type	PIs. (✓) □ NRE □ CURREN	T SAVINGS NRO Othe
Branch Name:	Ad	dress:			
Bank Branch City:	Sta	ite:		Pin C	ode

Please attach a cancelled cheque OR a clear photo copy of a cheque

IFSC Code (Mandatory for Credit via NEFT/RTGS)

5. JOINT APPLICANTS, IF AN	IY AND THEIR KY	C DETAILS AI	l fields marked	as '*' are l	landatory				
Mode of Holding: Anyone	or Survivor	Sing	le	Joint		(Please note t	nat the Default of	option is Anyone	e or Survivor)
2 nd APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card							Gender 🗌 M	ale 🗌 Femal	e 🗌 Other
PAN Details			Pls indicate if US Pe	erson or a resi	dent for tax purpos	e / Resident of Can	ada 🗌 Yes	No* (*Def	fault if not 🗸)
CKYC ID No. (KIN)				KYC Pls 🖌	Proof Attac	ched Date of E (As per PA	Birth (Mandator N Card)	/) <u>d d m m</u>	ΥΥΥΥ
Place of Birth	· · · · ·	Country of Birt	h			Nationality:			
a*. Occupation Details [Please t	ck (✔)]	Private Sector Business	Public Sector Retired		vernment Service iculture	e Student Proprietors		essional	Housewife specify)
b*. Politically Exposed Person (PER) Status	I am PEP	I am Related to F		Applicable				
c*. Gross Annual Income (₹) [Ple	ase tick (✔)]	Below 1 Lakh	1-5 Lakhs		0 Lakhs	10-25 Lakh		Lakhs	> 1 Crore
d*. Net-worth ₹			as on D	MM	r y y y	(Not older than ?			
	or Survivor	Sing		Joint	(Please note that t			
3 rd APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card		ase of Minor Applicar	it)				Gender M	ale Femal	e Other
PAN Details			Pls indicate if US Pe	erson or a resi	dent for tax purpos				fault if not <mark>√)</mark>
CKYC ID No. (KIN)				KYC Pls 🧹	Proof Attac	ched Date of E (As per PAI	Birth (Mandator N Card)	<i>(</i>) <u>D D M M</u>	ΥΥΥΥ
Place of Birth		Country of Birt	h			Nationality:			
a*. Occupation Details [Please t	ck (✓)]	Private Sector Business	Public Sector Retired		vernment Service iculture	e Student Proprietors		essional	Housewife specify)
b*. Politically Exposed Person (PER		I am PEP	I am Related to F		Applicable				
c*. Gross Annual Income (₹) [Ple	ase tick (✔)]	Below 1 Lakh	1-5 Lakhs	5-1 M	0 Lakhs	10-25 Lakh		Lakhs	> 1 Crore
d*. Net-worth ₹						(Not older than ?	year)		
6a. MAILING ADDRESS [Plea	se provide your E	-mail ID and Mobi	le Number to hel	p us serve y	ou better]				
Local Address of 1 st Applicant								1 1 1	
Tel. Off.		City		St	ate		Pin Code		
			Resi.			Mobile			
E - Mail^^									
^^Please Use Block Letters. Investors	providing email ID v	vould mandatorily rec	eive all Communica	tions, Stateme	nt of Accounts and	Abridged Annual R	eport through e	-mail only.	
6b. Mandatory for NRI / FII Ap	plicant [Please p	rovide Full Addres	s. P. O. Box No. I	may not be :	sufficient. For O	verseas Investo	rs, Indian Ado	lress is prefe	rred]
Overseas Correspondence Addres	S								
			Third F	Carthy Dourso	nt (Plaaca attack	h 'Third Party Pav	mont Doclarat	on Form')	
7. INVESTMENT AND PAYME	NT DETAILS (For	complete inform			() () () () () () () () () ()	3 3		on Form)	
Scheme - Mirae Asset (MABPDF)	Banking & PS	SU Debt Fund		Regular Plan Direct Plan	Growth (Defa	ault) 🗌 Div. F		vidend Div. Reinvestme	ent (Default)
Payment Type [Please (✓)]	Self (Non-Thir	d Party Payment)	Third F	arty Paymen	t (Please attach 'T	hird Party Payment			
Cheque / DD / UTR No. & Date		Cheque / DD / in figures (Rs.)	DD Charg if any	es,	Net Purchase Amount		n Bank / nch	Pay-In Banl (For Chequ	
		in inguico (ito.)	ii any		Amount	Dia	nen	(i or onequ	ic only)
8. DEMAT ACCOUNT DETAIL National Securities Depository		lits in Demat Mode - F	Please ensure that the	1		es (India) Limited		the Depository	Details.
DP Name	. ,			DP Name		~ /	. ,		
DP ID I N	Benef. A/C No).		16 Digit A/C	No.				
		CML)	Transaction cu	um Holding S	tatement	Delivery	Instruction Slip	(DIS)	
Enclosures - Please (✓)	Client Masters List (OINE)				01			
9. NOMINATION DETAILS [M	inor / HUF / POA H	lolder / Non Indivi		ninate - Refe			TE		
	inor / HUF / POA H R NOMINEE AS PE Dat	lolder / Non Indivi R BELOW DETAIL te of Birth	S OR Name of the	Guardian		/ISH TO NOMINA % of Share	Signature	o f Nominee / (d but not Man	
9. NOMINATION DETAILS [M	inor / HUF / POA H R NOMINEE AS PE Dat	lolder / Non Indivi R BELOW DETAIL	S OR	Guardian	I/WE DO NOT W	ISH TO NOMINA	Signature	o f Nominee / (d but not Man	
9. NOMINATION DETAILS [M PLEASE REGISTER MY/OUI No. Nominee(s) Name	inor / HUF / POA H R NOMINEE AS PE Dat	lolder / Non Indivi R BELOW DETAIL te of Birth	S OR Name of the	Guardian	I/WE DO NOT W	ISH TO NOMINA	Signature		

FOR NON-INDIVIDUALS ONLY

10.	FATCA & CRS DETAI																				
PART	A To be filled by Fi	nancial lı	nstitutions	s or Di	rect	Repo	rting N	on Fina	cial Enti	ity (NF	Es)										
We ar Finan	re a, icial institution	GIIN																			
or		Not	e: If you do not h	nave a GIII	IN but y	you are spo	onsored by	another enti	ty, please pro	ovide your	sponsor's G	IIN above	e and indicate	e your spor	isor's name b	elow					
	t reporting NFE se tick (✔)]	Name o	of sponso	ring er	ntity	<i>'</i> :															
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PART	-			•									is sub-ca	itegory				optain		on-par	licipat
					mie						-										
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	traded on an establ	ished sec	urities ma	rket)			Name	of stock e	exchange:												
2	Is the Entity a relate						Ye	s (If yes, p	please spe	cify nar	ne of the l	isted co	ompany ai	nd one s	tock excha	nge on v	which th	e stock	is regula	arly trad	ded)
	traded company (a regularly traded on					rket)	Name	of listed c	company:												
							Nature	e of relatio	n 🗆 S	ubsidia	rv of the Li	isted C	ompany o	r 🗆	Controlled	d by a Lis	sted Co	mpany			
									exchange:		,					,					
2	le the Entity on a 4										oloration !	a the r	ovtooctio	.)							
3	Is the Entity an acti								please fill l	ue ue	uaration l	n uie N	EXI SECIIOI	ı. <i>)</i>							
							Nature	e of Busine	ess:												
							Please	e specify t	he sub-cat	tegory o	of Active N	FE		Mentior	n code: Re	fer instru	iction 15	5(c)			
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%In case Tax Identification Number is not available, kindly provide functional equivalent

Application No.:

Cheque/DD should be Drawn in favour of the scheme "Mirae Asset Banking & PSU Debt Fund (MABPDF)"

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

(FOR INDIVIDUALS & NON-INDIVIDUALS)

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below. FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India? Yes No (If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identi cation No. below)

FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 15)

1 st Applicant (Sole / Guardian / Non-Individual)				2 nd A	pplicant	3 rd Applicant			
Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	1	Yes No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		Yes No	Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	n-Indian / Ility	Yes No	
Country of Birth / Incorporation			Country of Birth			Country of Birth			
Country Citizenship / Nationality			Country Citizenship / Nationality			Country Citizenship Nationality	I		
Are you a US specified person?		Yes No Please provide Tax Payer Id.	Are you a US specified person?		Yes No Please provide Tax Payer Id.	Are you a US specified person?		Yes No Please provide Tax Payer Id.	
For non-Individual investor in case, if you country of incorporation / T		ax resistance in US, but you are not a specified US person then please mention exemption code Refer instruction 15(e))					Refer instruction 15(e))		
Individual or Non-Individual investors fill this section if ticked Yes above.		vestors fill this section	Individual investor	have to f	ill in below details in case of joint a	pplicants			
	Country:		Country:		Country:		y:		
Tax Residency Status: 1 Type:			Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:	No.:	
			Туре:			Туре:			
Country:			Countr	y:		Country	y:		
Tax Residency Status: 2 No.:			Tax Residency Status: 2	No.:		Tax Residency Status: 2 No.:	No.:		
Туре:				Туре:			Туре:		
	Countr	y:	Tax Residency Status: 3 No.:		y:	Country		y:	
Tax Residency Status: 3	No.:					Tax Residency Status: 3	No.:		
	Type:			Туре:			Туре:		
Address Type		Address Type		Address Type					

(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily

13. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nomine acknowledging receipts of mylour credit will constitute (HII discharge of Liabilities of Have ne editability) and the registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. If we will inderming the Eurody Have declare that ''The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (B) Applicable to Foreign Resident's Residing in India: - I / We confirm that I/We ave not been offered/communicated any indicative yield by the terms act of the PIN agreement available on the AMC website for transacting online. (H) RA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment. (a) Applicable to Foreign Resident's Residing in India: - I/ We confirm that I/We assis the Residency test as prescribed under FEMA provisions. I/We further declare that I/We anvite registrar or otherwise. (I) Applicable

*Securities and Exchange Board of India ("SEBI") vide its letter dated November 20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI NOC") had granted their non-objection to transfer the AMC Business from 'Mirae Asset Global Investments (India) PvtLtd' to 'Mirae Asset Investment Managers (India) Private Limited'. Kindly refer notice cum addendum no. AD/28/2019 dated November 25, 2019 for further details.

Signature of 1st Applicant/Guardian/Authorised Signatory/PoA/Karta (AS IN BANK RECORDS) Signature of 2st Applicant/Guardian /Authorised Signatory/PoA (AS IN BANK RECORDS) (AS IN BANK RECORDS)

For Lumpsum 'OR' SIP

ŝ	Received Application from Mr. / Ms. / M/s.		as per details below:
NEN I	Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
EDGME	Mirae Asset Banking & PSU Debt Fund	Amount (Rs)	
₹	(MABPDF)	Cheque / DD No.:	
Š.		Dated	
Ş		Bank & Branch	

Cheque / DD is subject to realisation

MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)

SYSTEMATIC INVESTMENT PLAN (SIP) Registration Cum Mandate Form with Goal SIP & Top-Up Facility

Application No.:



ARN/RIA	er Code/		ub Broker /	Sub Agent Code	E11151*	Internal Carls for Atto	ISC Date Time Stamp
		Ag	ent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	Reference No.
IN Declaration: Declaration	on for "Execution On	lly" Transaction	(where Employee Uniquest	Le Identification Number-EU	IIN* box is left blank). Please	refer instruction 12 of KIM for comple	te details on EUIN. I/We hereby confirm : distributor/sub broker or notwithstanding
rice of in-appropriateness, d/portfolio holdings/NAV et	if any, provided by tc. in respect of my/o	the employee/i ur investments	elationship manager/sa under Direct Plan of all S	les person of the distributor chemes managed by you, to	/sub broker. RIA/Declaratio the above mentioned SEBI-F	n: "I/We hereby give you my/our co Registered Investment Adviser/ RIA".	distributor/sub broker or notwithstanding nsent to share/provide the transactions of
Signature of 1 st Applicant / G	uardian / Authorised	Signatory / PoA	Karta Signat	ure of 2 nd Applicant / Guardian	/ Authorised Signatory / PoA	Signature of 3 rd Applican	t / Guardian / Authorised Signatory / PoA
		· ·	(Please fill all section	, –	SIP Top-up Facility	OR Goal SIP	
EXISTING UNIT me of 1 st Unit Holder		RMATION	The details in ou	r records under the f	olio number mention	ed will apply for this appli Folio No.	cation.)
		ease check	the Minimum Am	ount Criteria for the	scheme applied for	[Refer Instruction 17 Overl	eafl)
equency Please 🔗	Monthly		Quarterly	Regular Plan	Direct Plan	Growth (Default)	Dividend Reinvestment (Please
heme: MIRAE ASS	ET BANKING &	PSU DEBT	FUND (MABPDF)				Dividend Payout
P Date D D (P	lease choose A	ny Date fror	n 1 st till 28 th of the r ered as the default	nonth, SIP Amoun	t (₹) □ 5,000 □ 10	0,000 🗌 25,000 🗌 Any o	ther Amount. (₹)
P Start Month (MM/Y			End Month (MM/Y)		OR Perpetual	Dec 2099 (Till you instruct Mirae A	sset Mutual Fund to discontinue your S
a. Goal SIP - Do yo	· ·		,	·	es please select (🗸) y	our goal [Refer Instruction	a 24 Overleaf].
ase specify your go	oal amount ₹			Kids Marriage	🗌 Kids Edu	ucation 🛄 🛛 🗌	Retirement Planning (Default)
Tax Savings 🔊	Dream H	louse 🗥	🗌 Dream Ca	ar 🔂 🗌 Di	ream Vacation 🔬	Others-	Please specify
SIP TOP-UP FAC	ILITY (You car	n start SIP 1	op-up facility afte	er minimum 6 month	s from 1st SIP) Refer	Instruction No. 23 on the I	everse on SIP Top-up
Applicants have to							. (Not available for micro SIPs)
p-up Amount (₹)				1/- only) Top-up Start			Ionth (MM/YY) M M Y
-	• • •			Number as per SOA		Frequency Please 🕢 🗌	Half Yearly Vearly (Defa
Cancelled cheque Lo		nvestors - First SIP Che	-	ppy of cancelled che		vant SIP details in the forn Drawn on Bank	h and NACH mandate.)
eque Date				A/c. Type			
BANK ACCOUN	IT DETAILS (M	andatory)					
me of 1 st A/c. Holder a	as in Bank Reco	rds					
nk Name				Core Banking A/c. No			
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Digit MICR Code	To The Trustees, Mirae		d - Having read and understo		Scheme applied for (Including the	e scheme(s) available during the New Fund	Offer period); I/We hereby apply for units of the
Digit MICR Code CLARATION & SIGNATURE: 1 h scheme and agree to abide b sons of incomplete or incorrect k account on the date of execu- tual Funds from amongst wh eading ₹50,000 in a rolling 12 curifies and Exchange Board of	To The Trustees, Mirae y the terms, conditions, or any other operationa tion of the said standin ich the Scheme is bei 2 month period or in a f India ("SEBI") vide its le	rules and regulati al reasons, I/We w g instructions. "TI ing recommende financial year". A etter dated Novem	I - Having read and understr ons governing the scheme & ould not hold Mirae Asset Ir re ARN holder has disclos d to me/us". "I/We have n adhaar: I/We hereby volunt ber20, 2019 bearing referen	bood the contents of the SID of the & conditions of SIP enrolment an vestment Managers (India) Priva- sed to me/us all the commissic of made any other Micro appli- arily submitAadhaar card to the F	Scheme applied for (Including the dregistration through NACH/ECS ate Limited', their appointed servi- ns (in the form of trail commiss cation [including Lumpsum + 3 und/ANC for updating my address /2019/30719/1 ("SEBI INOC") had	s scheme(s) available during the New Func or Direct Debit (Auto Debit), I/We also agr ce providers or representatives responsibi sion or any other mode), payable to him siPs] which together with the current ap in my folio. (Please tick, if enclosed)	Offer period); I/We hereby apply for units of the the that if the transaction is delayed or not effecte a. I/We also undertake to keep sufficient funds in for the different competing Schemes of vari plication would result in aggregate investme AMC Business from 'Mirae Asset Global Investme
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GENERAL GUIDELINES

- This mandate registration form will be submitted through National Automated Clearing House (NACH).
- s mandate registration form will be submitted through National Automated Clearing House (NACH). This facility is offered to investors having Bank accounts in select banks mentioned in the link http://www.npci.org.in/ The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI. By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment manager to Mirae Asset Mutual Fund acting through their authorised service providers to debit the mentioned Bank A/c provided by NACH / ECS (Auto Debit Clearing / Direct Debit) Facility or any other facility for collection of SIP payments SIP payments. 2
- Decay submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 100 calendar days from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such
- applications. Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the 3 Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of even
- north only. Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided 4. updated mobile number and email id. In the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.
- updated mobile number and email d. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date. 5.
- Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant 7 also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH
- any bain cause of the second of the second s 8
- damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.
 9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.
 10. Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any change in a private the use to the structure and others the restructure.
- credential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications. 11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in
- advance
- Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.
 In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason
- Account Closed or In sufficient balance or any technical reasons a provided by NPCI Aggregator.
 The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right
- to reject all such transactions through old registrations. 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment. 16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder
- I hird Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases: Payment by Parents / Grand-Parents / related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP instalment); Payment by employer on behalf of am Filor a Client. Coustodian on behalf of an Filor a Client.
- I)
- iii)
- Costobal on behavior and a reformed collent.
 For complete details, please refer the section on Third Party cheques given in the General Instructions.
 Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

Schemes	Monthly / Quarterly Frequency	
Mirae Asset Banking & PSU Debt Fund	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter	24.
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter	

- Please note Default Option is Growth. In case of Dividend, default option is Dividend reinvestment. In case of ambiguity at Plan
- Here in the branch of plants of other in the second of the simultaneously.
- 9. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. Hence, if However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction,AMCs shall take the requisite declaration separately signed by the investor.

Instructions to fill Mandate:

- UMRN To be left blank Date in DD/MM/YYYY format
- Sponsor Bank code to be left blank for office use only. Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- 5. Name of the entity to whom the mandate is being given.
- Account type SB/CA/CC/SB-NRE/SB-NRO/OTHER
- 7. Tick Select your appropriate Action a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate
 c. Cancel For cancelling the existing registered Mandate
 8. Your Bank Account Number for debiting the amount.

- Name of your bank and branch.
 Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account
- by the destination bank no portion banker of payment through NACH. 21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in
- Service Centers or distributors or from the website www.miraeassetmf.co.in 22. Additional for MICRO Systematic Investment Plans (MICRO SIPs) As per SEB guidelines, Lumpsum and SIP investments in mutual funds up to Rs.50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN 'exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP: the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transactions Sin. Investors may submit any one of the Photo Identification documents (blease refer prescribed Redemption Transaction Slip. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of addresss (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested or attested by ARN holder (AMFI Registered Distributor). All the applicants
- including second and third applicant (if any) is mandated to submit any of the above documents. 23. Terms & Conditions SIP Top-up: SIP Top-Up' is a facility which will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP.The features, terms and conditions for availing the 'Top-Up' facility shall be as follows: SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency. Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP-
 - In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be processed accordingly. SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors.
 - the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue till 2099. SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and
 - through modes like NACH/Auto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installment sers ent through Mutual Fund Utility (MEU). NSE MFI is ystem of NSE or SISE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners, Mirae Asset Online SIP (I-SIP). As & When relevant systems are put in place, this facility will
- exchanges of Channel partners, Mirae Asset Online SIP (FSIP). As a When relevant systems are partnerpace, una receiver with be automatically offered. The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option. In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date-SIP and provide a valied by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been processed.
- All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility. or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018
- Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows:

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Detail	s of SIP registered		Details of Top-up opted for
• SIP	d SIP Installment amoun Period: 01-April-2019 till Date: 1st of every month	31-March-2022 (3 years)	Example: • Top-Up Amount: Rs. 1,000/- • Top-Up Frequency: Every 6 months
sed on above de	tails, SIP Installments	shall be as follows:	
Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5,000	NA	5,000

1 to 6	5,000	NA	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000*
			*(At-least amount to be filled on NACH Mandate)

Terms & Conditions - Goal Based SIF

- SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
- Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned on the SIP application in section 2a., then the SIP will be registered as a regular SIP & not as Goal SIP.
- SIP & not as Goal SIP. Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual und Utility (MFU), OR through MFSS system if NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners or Mirae Asset online SIP (I-SIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms. Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice if 15 days. All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

- 12. Amount in words 13. Amount in figures

Ba

- Frequency at which the debit should happen.
 Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given 17.Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number
- 19. Your email-id
- 20. Period for which the debit mandate is valid
- a. Start date
- b. End date
- c. Or until cancelled 21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Appricant information
 (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
 (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company. Body
- Corporate, Trust, Partnership, Society, Fill and other eligible non-individual application forms are liable to be rejected. Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected. (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKVC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form. Individual Investors:

Individual Investors: (i) New individual investors: (ii) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (iii) fany new individual investor uses the old KRA KYC form, then such investor will be required to differ fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can

- the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOI EX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any initimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- Customerate a second and a second a s (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a (m) perturbation of the second s

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/dividend payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/dividend proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b) Indian Financial System Code (IFSC) Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques

4. Multiple Bank Accounts Registration Facility: The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility'', to receive redemption/dividend proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original through the future to ensure the folio. The purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account: (a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

(d) Can be cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Dividend Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEET/RTGS

6. Investment Details:

- a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
 b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque
- b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand draft spayable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- d) Application should indicate the Option (Dividend/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme. For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".
- e) Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected (refer Addendum dated November 12, 2010):
 - Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and
 affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP installment). However this
 restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio:
- Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions: Custodian on behalf of an Fll or a Client.
 Options Available: Dividend and Growth Default Option: Growth; and under Dividend Option, Default option:

f) Dividend Reinvestment. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Dividend Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

Communication: 7.

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

- The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
 In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]
 Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email (ubwisced mode
- email / physical mode

5. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transport and the first schemes by email / physical mode. The word transaction's shall include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the folio(s) wherein the PAN. transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the tolog(s) wherein the FAN. details are not updated. The Unit holders are therefore requested to ensure that the folic(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month. In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc., may be Investors within 5 bisiness bays from the receipt of such request. Annual Reports of other information etc., may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the foliobelonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN): This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.mireaesetumf.co.in/investor.Thisfacility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

9. Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a A Offit holder in the solution integration of the provincial gives a meaning of the integration of the normalized for the normalized in the rest of the integration of the provincial gives and the KIM application form and / or separate normalized rate and a valiable in the data base maintained. Upon receipt of infimation in the rest of the normale(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of infimation in the rest of the normale(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of infimation in the rest of the normal The nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of initimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death deth certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of guardianship if the nominee(s) arminor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s). Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate. A nomination in respect of Units will be trasted as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nominee. The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be and make tega poission representative of other successions into rund, including the form and the data and the succession incur in indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination. The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

 Broker code, if already printed on the forms must be struck off and countersigned by the investors.
 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Transaction charges (TC): In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. However, TC - in case of investments through SIP registered, shall be deducted only if the total commitment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/- or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments). If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

INSTRUCTIONS

12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI In the CAR. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

13. Units in Demat mode:

Units in Dematmode: Units of MMAT can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL/ CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account humber of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details interaction the part of the method with the DP data will be treated as valid applications. If the details mentioned in the applications where the originate in a detail with the Dr data with be breaked as value applications in the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit Holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form

14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly. AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax treated information obtained or held by the fund to any local or foreign regulatory or tax authority ("TaxAuthority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the ability to withhold taxes that may be due authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existinginvestments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US The identification of the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / /C/o address / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (6) Having US beneficiary owners (shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holder. US contacted in US contacted and the contacted of the place of the contacted of the contacted of the place of the contacted of t is based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group The mestor may receive more than one request ion mormation in you have multiple relationships with the work of its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution,

Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

- Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are: a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).
 b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established focurities market shows a provide the securities market is located and that has a meaningful annual value of shares traded on the exchange).
- established securities market

c. Active NFE : (is any one of the following):

Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; 01
- 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or busineses of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 03
- The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; 04
- 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- 06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- Institution; Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, orici league or an organization organization, business league, chamber of commerce, labor organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, orici league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets. The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's cound well have the fair market value of project which the NFE has purchased; and The applicable lews of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the critering provided in the said sub-clause, namely-c 1(2) a Credit Guarantee Fund Trust for Small Industries referred to in clause (23EC), or section 10 of the Act; The tote of the netter of the netter of the netter of the netter of the clause (23EC), or disclause the distributed to an investor Profection Fund referred to in clause (23EC), or disclause the term of the netter of the nett 07
- 08 The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
 e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (ix) A bank as defined in section 581. (ix) A broker. (ixii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (ixiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Dividends; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes). Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment centry: The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the
 - All existing registered SIP and Systematic Transfer Plans would be ceased.
 All existing registered SIP and Systematic Transfer Plans would be ceased.

 - For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected. In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or
 - Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately initimate AMC (its Registrar (KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form. Ultimate Beneficial Owner means:

For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

- For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), R Applicability for longing investors: The identification of behavioral ownership in case of contegrit instructural investors (rins), their sub-accounts and Multilaterial Funding Agencies / Bodies Corporate incorporate doutside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular permission and the sub-account of the sub-account o CIR/MIRSD/11/2012 dated September 5, 2012.
- UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital or profits of the juridical person [Investor]. Where the juridical person is an interest of more than 15% of the property or capital person [Investor]. Where the juridical person is an interest of more than 15% of the person person [Investor]. The person [Investor] where the juridical person [Investor]. The person [Investor] where the juridical person [Investor] wher С unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)

ACKNOWLE

(AUTO SWITCH OUT APPLICATION FORM)



ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
EUIN Declaration: Declaration for "Execution Only" T the EUIN box has been intentionally left blank by me/u advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our in	us as this transaction is executed employee/relationship manage	without any interaction or advice r/sales person of the distributor/s	by the employee/relationship sub broker. RIA/Declaration	manager/sales person of the above d i: "I/We hereby give you my/our cons	istributor/sub broker or notwithstanding t
1. EXISTING UNIT HOLDER INFORMA		r records under the folio ame of 1 st Unit Holder:	number mentioned w	ill apply for this application.)
2. ADDITIONAL PURCHASE		ame of i Omit Holder.			
XYC compliance status: Please (✓)	1 st Applica	ant 🗌 🗘	2 nd Applicant	3 rd Applicant	
Scheme		Regular Plan	Growth (Default)	Div. Payout Div. Reinvestment (Defa	Div frequency*
*Dividend frequency is applicable only for N	Mirae Asset Cash Manage		()1		iuit)
Payment Type: Please (√)	Non-Third Party Payr			tach 'Third Party Payment De	claration Form')
Core Banking A/c No.:					CURRENT SAVINGS NF
Cheque / DD / UTB No & Date	Amount of Cheque / DD		Drawn on I	Bank / Pay	-In Bank A/c No.
	RTGS / NEFT in figures ((₹) Amount	Branc	n (Fo	or Cheque Only)
TRANSACTION CHARGES (Refer Instructure transaction charges, ₹ 100/- will be dec					
2a. DEMAT ACCOUNT DETAILS – Mandat					
National Securities Depository Lin	nited (NSDL)	C	entral Depository	Services (India) Limited	(CDSL)
DP Name:		D	P Name:		
DP ID I N Ber	nef. A/C No.	16	Digit A/C No.		
Enclosures: Please (ent Masters List (CML)	Transaction	n cum Holding Statem	ent Deliv	very Instruction Slip (DIS)
3. SWITCH REQUEST - I WISH TO SWI	ITCH UNITS / AMOUNT /	AS UNDER CONSIDERIN	G ABOVE THE PURCI	HASE	
From Scheme		Regular Plan Direct Plan	Growth	Div. Payout Div. Reinvestment	Div frequency*
Amount (in figures) (₹):		Or Units	(in figures):		Or All Units
Amount (in words) (₹):					
Amount (in words) (₹): To Scheme: MIRAE ASSET BANKIN PSU DEBT FUND	I G &	ılar Plan 🗌 Direct P	Plan Growth (D	efault) 🗌 Div. Payout	Div. Reinvestment (Defau
To Scheme: MIRAE ASSET BANKIN				efault) Div. Payout	Div. Reinvestment (Defau
To Scheme: MIRAE ASSET BANKIN PSU DEBT FUND	Mirae Asset Cash Manage	ment Fund & Mirae Asset	Savings Fund.		Div. Reinvestment (Defau
To Scheme: MIRAE ASSET BANKIN PSU DEBT FUND *Dividend frequency is applicable only for N 4. DECLARATION AND SIGNATURES To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Ha agree to abide by the terms, conditions, rules and regulations provisions of the Income Tax Act, Anti Money Laundering Law Asset Mutual Fund. (D) The information given in / with this a information/details with the AMC / Fund/Registrars and Transf We will indemnify the Fund, AMC, Trustee, RTA and other int	Mirae Asset Cash Manage / THUMB IMPRESSION (laving read and understood the conter governing the scheme. (B) I/We hereb is or any other applicable laws enacter application form is true and correct ar fer Agent (RTA) from time to time. I/We termediaries in case of any dispute reg	ment Fund & Mirae Asset OF APPLICANT(s) [Refer its of the SID of the Scheme applied for y declare that the amount invested in th d by the Government of India from time of further agrees to furnish additional e hereby confirm that the AMC/Fund sh arading the eligibility, validity and autho	Savings Fund. Instructions 2(f) of K r (Including the scheme(s) availat re scheme is through legitimate sc e to time. (C) Signature of the nor information sought by Mirae Ass nall have the right to share my infor izzation of my/our transactions. (E	IM] ble during the New Fund Offer period); I/We H vurces only and does not involve and is not de inee acknowledging receipts of my/our cred et Investment Managers (India) Private Lin rmation and other details with the regulatory) I/We further declare that "The ARN holder"	ereby apply for units of the said such scheme a signed for the purpose of the contravention of a it will constitute full discharge of liabilities of Mir ited '(AMC) / Fund and undertake to update I and government authorities as and when need as disclosed to me/us all the commissions (in 1
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Cheque / DD No.:_

Dated_____ Bank & Branch_

Cheque / DD is subject to realisation

INSTRUCTIONS

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. The application for Auto Switch will be processed on the closing day of the NFO .
- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferer Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11.For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- 12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 14.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 15.Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Dividend Payout), by default, the units will be allotted under the Growth Option of the Plan.
- 16.Investors subscribing under Direct Plan of the Mirae Asset Banking & PSU Debt Fund will have to indicate the Scheme / Plan name in the application form as "Mirae Asset Banking & PSU Debt Fund Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- 17. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor.