KEY INFORMATION MEMORANDUM

Mirae Asset Nifty PSU Bank ETF

An open-ended scheme replicating/tracking Nifty PSU Bank Total Return Index (Scrip Code for NSE & BSE will be added after listing of the units)

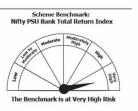
PRODUCT LABELLING

Mirae Asset Nifty PSU Bank ETF is suitable for investors who are seeking*

- Returns that are commensurate with the performance of Nifty PSU Bank Total Return Index, subject to tracking error over long term.
- Investment in equity securities covered by Nifty PSU Bank Total Return Index.

*Investors should consult their financial advisors if they are not clear about the suitability of the product.





Note: The Product Labelling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Sale of Units at 1/100th value of the Nifty PSU Bank closing Index as on the date of allotment for applications received during the New Fund Offer ("NFO") period and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC.

New Fund Offer opens on: - September 24, 2024 New Fund Offer closes on: - September 30, 2024 Scheme re-opens for continuous Sale and Repurchase from October 03, 2024

The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper, however the NFO period shall be open for minimum 3 working days. The Trustee reserves the right to extend the closing date of the New Fund Offer Period, subject to the condition that the subscription list of the NFO period shall not be kept open for more than 15 days.

The units of the Scheme are listed on the National Stock Exchange of India Ltd. (NSE) and BSE Limited (BSE). All investors including Market Makers and Large Investors can subscribe (buy) / redeem (sell) units on a continuous basis on the NSE/BSE on which the Units are listed during the trading hours on all the trading days. In addition, Market Makers can directly subscribe to / redeem units of the Scheme on all Business Days with the Fund in 'Creation Unit Size' at NAV based prices on an ongoing basis. Large Investors can transact directly with the Fund for an amount greater than INR 25 crores.

Name of Mutual Fund: Mirae Asset Mutual Fund

Name of Asset Management Company: Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625

Name of Trustee Company: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Registered & Corporate Office:

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098 **Tel. No.:** 022-678 00 300 **Fax No.:** 022- 6725 3940 - 47

Website: www.miraeassetmf.co.in E-mail: miraeasset@miraeassetmf.co.in

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information

available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated September 12, 2024

DISCLAIMER OF NSE:

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/5702 dated July 22, 2024 permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

DISCLAIMER OF BSE:

"BSE Ltd. ("the Exchange") has given vide its letter no. LO/IPO/AG/MF/IP/25/2024-25 dated July 23, 2024 permission to use the Exchange's name in this SID as one of the Stock Exchanges on which this Mutual Fund's Units are proposed to be listed. The Exchange has scrutinized this SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to. The Exchange does not in any manner:-

- warrant, certify or endorse the correctness or completeness of any of the contents of this SID; or
- ii) warrant that this scheme's units will be listed or will continue to be listed on the Exchange; or
- ii) take any responsibility for the financial or other soundness of this Mutual Fund, its promoters, its management or any scheme or project of this Mutual Fund;

and it should not for any reason be deemed or construed that this SID has been cleared or approved by the Exchange.

Every person who desires to apply for or otherwise acquires any unit of this Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/ acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

DISCLAIMER NSE INDICES LIMITED

The Product(s) are not sponsored, endorsed, sold or promoted by NSE INDICES LIMITED (formerly known as India Index Services & Products Limited ("IISL")). NSE INDICES LIMITED does not make any representation or warranty, express or implied, to the owners of the Product(s) or any member of the public regarding the advisability of investing in securities generally or in the Product(s) particularly or the ability of the Nifty PSU Bank Index to track general stock market performance in India. The relationship of NSE INDICES LIMITED to the Issuer is only in respect of the licensing of the Indices and certain trademarks and trade names associated with such Indices which is determined, composed and calculated by NSE INDICES LIMITED without regard to the Issuer or the Product(s). NSE INDICES LIMITED does not have any obligation to take the needs of the Issuer or the owners of the Product(s) into consideration in determining, composing or calculating the Nifty PSU Bank Index. NSE INDICES LIMITED is not responsible for or has participated in the determination of the timing of, prices at, or quantities of the Product(s) to be issued or in the determination or calculation of the equation by which the Product(s) is to be converted into cash. NSE INDICES LIMITED has no obligation or liability in connection with the administration, marketing or trading of the Product(s).

NSE INDICES LIMITED do not guarantee the accuracy and/or the completeness of the Nifty PSU Bank Index or any data included therein and NSE INDICES LIMITED shall have not have any responsibility or liability for any errors, omissions, or interruptions therein. NSE INDICES LIMITED does not make any warranty, express or implied, as to results to be obtained by the Issuer, owners of the product(s), or any other person or entity from the use of the Nifty PSU Bank Index or any data included therein. NSE INDICES LIMITED makes no express or implied warranties, and expressly disclaims all warranties of merchantability or fitness for a particular purpose or use with respect to the index or any data included therein. Without limiting any of the foregoing, NSE INDICES LIMITED expressly disclaim any and all liability for any claims, damages or losses arising out of or related to the Products, including any and all direct, special, punitive, indirect, or consequential damages (including lost profits), even if notified of the possibility of such damages.

An investor, by subscribing or purchasing an interest in the Product(s), will be regarded as having acknowledged, understood and accepted the disclaimer referred to in Clauses above and will be bound by it.

Investment Objective

The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty PSU Bank Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns. There is no assurance that the investment objective of the scheme will be achieved.

Asset Allocation Pattern of the scheme

Under normal circumstances, the asset allocation will be as follows:

Types of Instruments	Indicative allocation (% of total assets)				
	Minimum	Maximum			
Securities included in the Nifty PSU Bank Index	95%	100%			
Money market instruments including Tri Party REPO/	0	5%			
debt securities, Instruments and/or units of debt/liquid					
schemes of domestic Mutual Funds.					

The Asset Allocation portion shall also include subscription and redemption cash flow which may be undeployed due to various reasons (dividend from underlying securities, rebalancing or balances for running cost of the scheme, residual amount due to execution on rounding off etc).

Subject to SEBI (MF) Regulations, 1996 and in accordance with Clause 12.11 in SEBI Master Circular dated June 27, 2024 on Securities Lending Scheme, and framework for short selling and borrowing and lending of securities, the Scheme intends to engage in Stock Lending.

The Scheme shall adhere to the following limits should it engage in Stock Lending: (a) Not more than 20% of the net assets can generally be deployed in Stock Lending (b) Not more than 5% of the net assets can generally be deployed in Stock Lending to any single approved intermediary i.e. broker.

Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The exposure to derivatives will be rebalanced to align with the underlying index changes in weights or constituents. Index futures/options are meant to be an efficient way of buying/selling an index compared to buying/selling a portfolio of physical shares representing an index for ease of execution and settlement. It can help in reducing the Tracking Error in the Scheme. Index futures/options may avoid the need for trading in individual components of the index, which may not be possible at times, keeping in mind the circuit filter system and the liquidity in some of the individual stocks. Index futures/options can also be helpful in reducing the transaction costs and the processing costs on account of ease of execution of one trade compared to several trades of shares comprising the underlying index and will be easy to settle compared to physical portfolio of shares representing the underlying index. In case of investments in index futures/options, the risk/reward would be the same as investments in portfolio of shares representing an index. However, there may be a cost attached to buying an index future/option. The Scheme will not maintain any leveraged or trading positions. Exposure to such derivatives will be restricted to 20% of net assets of the scheme. The above deviation shall not exceed for more than 7 days for the asset allocation table. The Scheme may invest in derivatives upto 20% of the net assets of the Scheme for non-hedging purposes.

The Scheme will not invest in ADR/ GDR / Foreign Securities / Securitized Debt/ structured obligation/ Repo in Corporate Debt Securities nor will it engage in short

selling. The scheme does not intend to invest into any credit default swaps, unrated debt instruments, REITs and InvITs and Fund of Fund schemes. The scheme shall not invest in instruments having Special Features as defined in Clause 12.2 of SEBI Master Circular dated June 27, 2024.

The cumulative gross exposure to equity, derivatives, debt instruments and money market instruments will not exceed 100% of the net assets of the scheme in accordance with Clause 12.24 of SEBI Master Circular dated June 27, 2024.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities having residual maturity of less than 91 days.

In accordance with Clause 3.4 of SEBI Master Circular dated June 27, 2024, the underlying index shall comply with the portfolio concentration norms as prescribed.

Debt securities include, but are not limited to, Debt securities of the Government of India, State and Local Governments, Government Agencies, Statutory Bodies, Public Sector Undertakings, Public Sector Banks or Private Sector Banks or any other Banks, Financial Institutions, Development Financial Institutions, and Corporate Entities, collateralized debt securities or any other instruments as may be prevailing and permissible under the Regulations from time to time).

The Debt Securities (including money market instruments) referred to above could be fixed rate or floating rate, listed, unlisted, privately placed, unrated among others, as permitted by regulation.

Pending deployment of funds of a Scheme in securities in terms of investment objectives of the Scheme, a mutual fund can invest the funds of the Scheme in short term deposits of scheduled commercial banks terms of Clause 12.16 of SEBI Master Circular dated June 27, 2024.

Further, the Scheme may, for meeting liquidity requirements invest in units of money market/liquid schemes of Mirae Asset Mutual Fund and/or any other mutual fund provided that aggregate inter-scheme investment made by all schemes under the same management or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of the mutual fund. The AMC shall not charge any investment management fees with respect to such investment.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sl. no	Type of Instrument	Percentage of exposure	Circular references*
1.	Securities Lending	20%	Clause 12.11 of SEBI Master Circular dated June 27, 2024
2.	Equity Derivatives for non-hedging purposes	20%	Clause 12.25 of SEBI Master Circular dated June 27, 2024
3.	Securitized Debt	0%	Clause 12.15 of SEBI Master Circular dated June 27, 2024

4.	Overseas Securities	0%	Clause 12.19 of SEBI Master
4.	Overseas Securities	U%0	Circular dated June 27, 2024
5.	Structured Obligations	0%	Clause 12.3 of SEBI Master Circular dated June 27, 2024
6.	Repo in Corporate Debt Securities	0%	Clause 12.18 of SEBI Master Circular dated June 27, 2024
7.	Credit default swaps	0%	Clause 12.28 of SEBI Master Circular dated June 27, 2024
8.	Debt instruments having Special Features	0%	Clause 12.2 of SEBI Master Circular dated June 27, 2024
9.	ReITS and InVITS	0%	Clause 12.21 of SEBI Master Circular dated June 27, 2024
10	Unrated Debt Instruments	0%	Clause 12.1.5 of SEBI Master Circular dated June 27, 2024
11	Fund of Fund Schemes	0%	Clause 9A of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996

^{*}SEBI circular references (wherever applicable) in support of exposure limits of different types of asset classes in asset allocation shall be provided.

Rebalancing due to passive breach

In accordance with Clause 3.5.3.11 and 3.6.7 of SEBI Master Circular dated June 27, 2024 in case of change in constituents of the index due to periodic review, the portfolio of the Scheme shall be rebalanced within 7 days. Any transactions undertaken in the scheme portfolio in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.

In the event of involuntary corporate action, the Scheme shall dispose the security not forming part of the underlying index within 7 Days from the date of allotment/listing.

Rebalancing of deviation due to short term defensive consideration

In the event of the asset allocation falling outside the limits specified in the asset allocation table, the Fund Manager will rebalance the same within 7 days. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. Any alteration in the investment pattern will be for short-term defensive consideration as per Clause 1.14.1.2 of SEBI Master Circular dated June 27, 2024, the intention being at all times to protect the interests of the Unit Holders.

Tracking Error: The Scheme, in general, will hold all the securities that constitute the underlying Index in the same proportion as the index. Expectation is that, over a period of time, the tracking error of the Scheme relative to the performance of the Underlying Index will be relatively low. The AMC would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. Under normal market circumstances such tracking error is not expected to exceed 2% p.a for daily 12 month rolling return. However, in case of events like, dividend received from underlying securities, and market volatility during rebalancing of the portfolio following the rebalancing of the

Underlying Index, etc. or in abnormal market circumstances, the tracking error may exceed the above limits. Since the Scheme is an exchange traded fund, it will endeavor that at no point of time the Scheme will deviate from the index.

Investment Strategy

The Mirae Asset Nifty PSU Bank ETF will be managed passively with investments in stocks in the same proportion as in the Nifty PSU Bank Index.

The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty PSU Bank Index in similar weight proportion.

The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, considering the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements.

Subject to the Regulations and the applicable guidelines the Scheme may invest in the schemes of Mutual Funds. The investment strategy shall be in line with the asset allocation mentioned under "Part II - A: How will the Scheme allocate its assets?".

Though every endeavour will be made to achieve the objective of the Scheme, the AMC/Sponsors/Trustee does not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.

Investment in Derivatives:

The Scheme may take derivatives position based on the opportunities available subject to the guidelines provided by SEBI from time to time and in line with the overall investment objective of the Scheme. Derivatives can be traded over the exchange or can be structured between two counter-parties. Those transacted over the exchange are called Exchange Traded derivatives whereas the other category is referred to as OTC (Over the Counter) derivatives.

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

For detailed derivative strategies, please refer to SAI.

Policy for Investment decisions

The investment policy of the AMC has been determined by the Investment Committee ("IC") which has been ratified by the Boards of the AMC and Trustee. At the strategic level, the broad investment philosophy of the AMC and the

authorized exposure limits are spelt out in the Investment Policy of the AMC. During trading hours, the Fund Managers have the discretion to take investment decisions for the Scheme within the limits defined in the Investment Policy, these decisions and the reasons thereof are communicated to the CEO for post facto approval.

The designated Fund Manager(s) of the Scheme will be responsible for taking day-to-day investment decisions and will inter-alia be responsible for asset allocation, security selection and timing of investment decisions.

Portfolio Turnover Policy

Portfolio Turnover measures the volume of trading that occurs in a Scheme's portfolio during a given time period. The Scheme is an open-ended Exchange Traded Fund and it is expected that there may be a number of subscriptions and repurchases on a daily basis through Stock Exchange(s) or Market Makers and Large Investors. Generally, turnover will depend upon the extent of purchase and redemption of units and the need to rebalance the portfolio on account of change in the composition, if any, and corporate actions of securities included in Nifty PSU Bank Index. However, it will be the endeavor of the Fund Manager to maintain an optimal portfolio turnover rate commensurate with the investment objective of the Scheme and the purchase/ redemption transactions on an ongoing basis in the Scheme.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

• Risk Factors associated with Exchange Traded Schemes

The Scheme is subject to the specific risks that may adversely affect the Scheme's NAV, return and / or ability to meet its investment objective. The specific risk factors related to the Scheme include, but are not limited to the following:

Passive Fund Investment Risks

- Market Risk
- Market Trading Risks
- Volatility Risk
- Redemption Risk
- Asset Class Risk
- Passive Investments
- Tracking Error and Tracking Difference Risk
- Risks Associated with Equity Investments
- Risks Associated with Debt & Money Market Instruments
- Risks Associated with Derivatives
- Risk factors associated with processing of transaction through Stock Exchange Mechanism
- Risk factors associated with Securities Lending
- Risks associated with segregated portfolio

For details on risk factors and risk mitigation measures, please refer SID.

Plans/Options

The Scheme does not offer any Plans/Options for investment.

The AMC and the Trustees reserve the right to introduce such other Plans/Options as they deem necessary or desirable from time to time, in accordance with the SEBI MF Regulations.

Applicable NAV (after the scheme opens for subscriptions and redemptions)

In case of Purchase / Redemption directly with Mutual Fund (By Market Makers and Large Investors):

DIRECTLY FROM THE FUND

Direct transaction with AMCs shall be facilitated for investors only for transactions above a specified threshold. In this regard, to begin with any order placed for redemption or subscription directly with the AMC must be of greater than INR 25 Cr. The aforesaid threshold shall not be applicable for Market Makers.

All direct transactions in units of ETFs by Market Makers or other eligible investors (as mentioned above) with AMCs shall be at intra-day NAV based on the actual execution price of the underlying portfolio.

The requirement of "cut-off" timing shall not be applicable for direct transaction with AMCs in ETFs by Market Makers and other eligible investors.

For Redemption of units directly with the Mutual Fund (other than Market Makers and Large Investors):

Investors can directly approach the AMC for redemption of units of ETF, for transaction of upto INR 25 Cr. without any exit load, in case of the following scenarios:

- i. Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or
- ii. No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or
- iii. Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days.

In case of the above scenarios, applications received from investors for redemption up to 3.00 p.m. on any trading day, shall be processed by the AMC at the closing NAV of the day.

Such instances shall be tracked by the AMC on an ongoing basis and in case any of the above mentioned scenario arises, the same shall be disclosed on the website of the Mutual Fund.

Settlement of Purchase/Sale of Units of the Scheme on NSE/BSE

Buying/Selling of Units of the Scheme on NSE/BSE is just like buying/selling any other normal listed security. If an investor has bought Units, an investor has to pay the purchase amount to the broker/sub-broker such that the amount paid is realised before the funds pay-in day of the settlement cycle on the Stock Exchange(s). If an investor has sold Units, an investor has to deliver the Units to the broker/sub-broker before the securities pay- in day of the settlement cycle on the Stock Exchange(s). The Units (in the case of Units bought) and the funds (in the case of Units sold) are paid out to the broker on the pay-out day of the settlement cycle on the Stock Exchange(s). The Stock Exchange(s) regulations stipulate that the trading member should pay the money or Units to the investor within 24 hours of the pay-out. If an investor has bought Units, he should give standing instructions for 'Delivery-

If an investor has bought Units, he should give standing instructions for 'Delivery-In' to his /her/its DP for accepting Units in his/her/its beneficiary account. An investor should give the details of his/her beneficiary account and the DP-ID of his/her/its DP to his/ her/its trading member. The trading member will transfer the

Units directly to his/her/ its beneficiary account on receipt of the same from NSE's/BSE's Clearing Corporation.

An investor who has sold Units should instruct his/her/its Depository Participant (DP) to give 'Delivery Out' instructions to transfer the Units from his/her/its beneficiary account to the Pool Account of his/her/its trading member through whom he/she/it have sold the Units. The details of the Pool A/C (CM-BP-ID) of his/her trading member to which the Units are to be transferred, Unit quantity etc. should be mentioned in the Delivery Out instructions given by him/her to the DP. The instructions should be given well before the prescribed securities pay-in day. SEBI has advised that the Delivery Out instructions should be given at least 24 hours prior to the cut-off time for the prescribed securities pay-in to avoid any rejection of instructions due to data entry errors, network problems, etc.

Minimum Application Amount/ Number of Units

During NFO Period: Rs. 5,000 per application and in multiples of Re. 1 thereafter. Units will be allotted in whole figures and the balance amount will be refunded.

On Continuous Basis:

Purchase:

Market Maker:
Application for subscription
of Units directly with the
Fund in Creation Unit Size
at NAV based prices in
exchange of Portfolio
Deposit and Cash
Component.

Large Investors: Minimum amount of Rs. 25 crores for transacting directly with the AMC.

Other investors (including Market Maker, Large Investors and Regulated Entities): Units of the Scheme can be subscribed (in lots of 1 Unit) during the trading hours on all trading days on the NSE and BSE on which the Units are listed.

Additional Purchase:

Market Maker:
Application of Units
directly with the Fund in
Creation Unit Size at
NAV based prices in
exchange of Portfolio
Deposit and Cash
Component.

Large Investors: Minimum amount of Rs. 25 crores for transacting directly with the AMC.

Other investors (including Market Maker, Large Investors and Regulated Entities): Units of the Scheme can be subscribed (in lots of 1 Unit) during the trading hours on all trading days on the NSE and BSE on which the Units are listed

Redemption:

Market Maker:
Application for redemption of Units directly with the Fund in Creation Unit Size.

Large Investors: Minimum amount of Rs. 25 crores for redeeming directly with the AMC.

investors Other (including Market Maker, Large **Investors** and **Regulated Entities):** Units of the Scheme can be redeemed (in lots of 1 Unit) during the trading hours on all trading days on the NSE and BSE on which the Units are listed.

Despatch of Redemption Request

The redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from the date of redemption or repurchase.

For list of exceptional circumstances refer para 14.1.3 of SEBI Master Circular for Mutual Funds dated June 27, 2024.

	Non-Resident Investors
	For NRIs, Redemption proceeds will be remitted depending upon the source of investment as follows:
	(i) Repatriation basis When Units have been purchased through remittance in foreign exchange from abroad or by cheque / draft issued from proceeds of the Unit Holder's FCNR deposit or from funds held in the Unit Holder's Non Resident (External) account kept in India, the proceeds can also be sent to his Indian address for crediting to his NRE/FCNR/non-resident (Ordinary) account, if desired by the Unit Holder.
	(ii) Non-Repatriation basis When Units have been purchased from funds held in the Unit Holder's non-resident (Ordinary) account, the proceeds will be sent to the Unit Holder's Indian address for crediting to the Unit Holder's non-resident (Ordinary) account.
	For FPIs, the designated branch of the authorized dealer may allow remittance of net sale / maturity proceeds (after payment of taxes) or credit the amount to the Foreign Currency account or Non-resident Rupee account of the FPI maintained in accordance with the approval granted to it by the RBI. The Fund will not be liable for any delays or for any loss on account of any exchange fluctuations, while converting the rupee amount in foreign exchange in the case of transactions with NRIs/FPIs. The Fund may make other arrangements for effecting payment of redemption proceeds in future.
	The normal processing time may not be applicable in situations where necessary details are not provided by investors/Unit holders. The AMC will not be responsible for any loss arising out of fraudulent encashment of cheques and/or any delay/loss in transit.
Benchmark Index	Nifty PSU Bank Total Return Index
Dividend Policy (IDCW)	Not Applicable
Name of the Fund Manager	Ms. Ekta Gala & Mr. Akshay Udeshi (Co- Fund Manager)
Name of the Trustee Company	Mirae Asset Trustee Company Private Limited
Performance of the scheme	This scheme being a new scheme does not have any performance track record
Additional Scheme Related Disclosures	 This is a new Scheme and therefore, the requirement of following additional disclosures shall not be applicable for the Scheme: Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors are available on functional website link Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds through a functional website link that contains detailed description - Portfolio Turnover Ratio: N.A

Expenses of the Scheme

New Fund Offer Period

Load Structure

NFO for Mirae Asset Nifty PSU ETF: opens on September 24, 2024 closes on September 30, 2024

The Trustee may close subscription list earlier by giving at least one day's notice in one daily national newspaper. The Trustee reserves the right to extend the closing date of the NFO Period, subject to the condition that the entire NFO period including the extension, shall not be kept open for more than 15 days. Further, the NFO shall remain open for subscription for a minimum period of 3 working days in accordance with clause 1.10 of SEBI Master Circular dated June 27, 2024. Any such extension shall be announced by way of a notice – cum – addendum as prescribed by the SEBI regulation.

Any modification to the New Fund Offer Period shall be announced by way of an Addendum uploaded on website of the AMC.

Continuous Offer

Exit load:

For investors transacting directly with the AMC: No Exit load will be levied on redemptions made by Market Maker / Large Investors directly with the AMC.

For investors transacting on the exchange: Not Applicable.

Recurring expenses

These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

The AMC has estimated that upto 1.00% of the daily net assets of the Scheme will be charged to the scheme as expenses. As per the Regulations, the maximum recurring expenses including investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

The recurring expenses of operating the Scheme on an annual basis, which shall be charged to the Scheme, are estimated to be as follows (each as a percentage per annum of the daily net assets)

Expense Head	% p.a. of daily Net Assets* (Estimated p.a.)
Investment Management & Advisory Fee	Upto 1.00%
Audit fees/fees and expenses of trustees	
Custodial Fees	
Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants	
Marketing & Selling Expenses including Agents Commission and statutory advertisement	

Costs related to investor communications	
Costs of fund transfer from location to location	
Cost towards investor education & awareness - 1 bps	
Brokerage & transaction cost pertaining to distribution of units	
Goods & Services Tax on expenses other than investment and advisory fees	
Goods & Services Tax on brokerage and transaction cost	
Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations) *	
Maximum Total expenses ratio (TER) permissible under Regulation 52 (6) (c)	Upto 1.00%
*Other expenses: Any other expenses which are directly attributabl may be charged with approval of the Trustee within the overall lin	

in the Regulations except those expenses which are specifically prohibited.

For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.

@@ Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.

Tax treatment for the **Investors (Unitholders)**

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

Daily Net Asset Value (NAV) Publication

The AMC shall update the NAVs on the website of the Mutual Fund https://www.miraeassetmf.co.in/ and on the website of Association of Mutual Funds in India - AMFI (www.amfiindia.com) by 11.00 p.m. on every Business Day.

For **Investor** Grievances please contact

KFin Technologies Limited Karvy Selenium, Tower B, Plot Number 31 & 32, Financial District,

Gachibowli, Hyderabad - 500 034.

Mr. Chaitanya Chaubal Mirae Asset Investment Managers (India) Pvt. Ltd.

606, 6th Floor, Windsor Bldg, Off CST Road, Kalina, Santacruz (E), Mumbai - 400 098.

Telephone Nos.: 6780 0300

e-mail: customercare@miraeasset.com

Investors may contact any of the ISCs or the AMC by calling the investor line of the

	AMC at "1800 2090 777" or visit the
	website at www.miraeassetmf.co.in for
	complete details.

Unitholders' Information

The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number (whether units are held in demat mode or in account statement form).

A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month.

Half-yearly CAS shall be issued at the end of every six months (i.e. September/March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.

For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

Monthly/Half Yearly Portfolio Disclosures: The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the month / half-year i.e. March 31 and September 30, on its website viz. https://www.miraeassetmf.co.in/downloads/portfolio and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each month/ half year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month/ half year respectively. Mutual Fund / AMC will publish an advertisement every half year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Half Yearly Unaudited Financial Results: The AMC/Mutual Fund shall within one month from the close of each half year, that is on March 31st and on September 30th, host a soft copy of its unaudited financial results on their website https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of the SEBI (Mutual Funds) Regulations, 1996 and such other details as are necessary for the purpose of providing a true and fair view of the operations of Mirae Asset Mutual Fund.

The AMC/Mutual Fund shall publish an advertisement disclosing the hosting of unaudited financial results on their website www.miraeassetmf.co.in in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

The mutual fund shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI).

Annual Financial Results: Pursuant to Regulation 56 of SEBI (Mutual Funds) Regulations, 1996 read with Clause 5.4 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, the scheme wise annual report or abridged summary thereof will be hosted on the website of the Mirae Asset Mutual Fund viz. https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials and on the website of AMFI, not later than four months after the close of each financial year (31st March). The AMCs shall display the link prominently on the website of the Mirae Asset Mutual Fund viz. https://miraeassetmf.co.in and make the physical copies available to the unitholders, at their registered offices at all times. Unit holders whose e-mail addresses are not registered will have to specifically 'opt in' to receive physical copy of scheme wise annual report or abridged summary thereof. The unit holders may request for a physical copy of scheme annual reports at a price and the text of the relevant scheme by writing to the Mirae Asset Investment Managers (India) Pvt Ltd. / Investor Service Centre / Registrar & Transfer Agents. The Mutual Fund / AMC shall provide a physical copy of abridged report of the annual report, without charging any cost, on specific request received from a unit holder. An advertisement shall be published every year disclosing the hosting of the scheme wise annual report on website of Mirae Asset Mutual Fund and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Date:- September 12, 2024



Offer for Sale of Units at 1/100th value of the Nifty Metal closing Index as on the date of allotment for applications

received during the New Fund Offer NFO Dates: 24/09/2024 to 30/09/2024 Scheme re-opens for continuous sale & re-purchase on and from 03/10/2024

MIRAE ASSET NIFTY PSU BANK ETF

An open-ended scheme replicating/tracking Nifty PSU Bank Total Return Index

New Fund Offer open on: 24/09/2024 New Fund Offer closes on: 30/09/2024

Place of Birth /

Incorporation:
(Please write the Date of birth as per Aadhaar Card)

- Returns that are commensurate with the performance of Nifty PSU Bank Total Return

 Index, subject to tracking error over long term.
- Investment in equity securities covered by Nifty PSU Bank Total Return Index.



Application No.:	if the ass	they are not clear about the suitability of e Product Labelling assigned during the sessment of the scheme characteristics me may vary post NFO when the actue	f the product e NFO is based on internal s or model portfolio and the	Investors understand that their principal will be at Very High Risk	The Benchmark is at Very High Risk
Name & Broker Code/ ARN/RIA Code**	Sub Broker / Agent ARN Coo	de Sub Agent Co	ode EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
*EUIN Declaration: Declaration for "Execution Onle EUIN box has been intentionally left blank by me/us	as this transaction is execute	ed without any interaction or ad	vice by the employee/relation	ship manager/sales person of the above	e distributor/sub broker or notwithstanding the
advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our					
Sign of 1 st Applicant / Guardian / Auth. Signate	ory / PoA / Karta	Sign of 1 st Applicant / Guardia	n / Auth. Signatory / PoA / Ka	arta Sign of 1st Applicant / G	uardian / Auth. Signatory / PoA / Karta
TRANSACTION CHARGES (Please	- •		· ·		
Applicable transaction charges will be dec registered Distributor) based on the investor	lucted in case your dist	Of ributor has opted for such us factors including the se	n charges. Upfront comr	M AN EXISTING INVESTOR IN nission shall be paid directly by RN Holder.	
1. EXISTING UNIT HOLDER INFOR	MATION - Please fill i	n your Folio Number, F	PAN, KIN in below Sec	ctions 2, 3, 4 & proceed to Se	ction 7 for Investment Details.
Folio No.	F	Folio should be KYC compl	liant. Any updation in KY0	C credentials may be filled in the be	
1a. MODE OF HOLDING AND UNITS	HOLDING PATTERN	- The Mode of Holding	& Pattern will be as is	s Registered with Depository	Participant for this application.
2. DEMAT ACCOUNT DETAILS - Mai	<u> </u>	The first a the Dema	t Account details men	tioned below which is held w	Folio No. should be same as per the the Depository Participant.
National Securities Depository Limited	(NSDL)		•	ry Services (India) Limited (CI	OSL)
DP Name			DP Name		
	Benef. A/C No.		16 Digit A/C No.		i 91 (DIO)
Enclosures - Please (✓) ☐ Client M 3. APPLICANT(S) NAME AND INFO	Masters List (CML)		cum Holding Statemer	•	,
1st SOLE APPLICANT Mr. / Ms. / M/s.	KimArron [Keler mat		e Applicant is millor, t	PAN PAN	Thatarar / legar guardian
(Please write the name as per PAN Card)				TAN	
LEI Code for entities				LEI Expiry date:	D M M Y Y Y
CKYC ID No. (KIN)			Pl		nt for tax purpose / Resident of Canada No ^s (\$Default if not √)
GUARDIAN (In case 1st Applicant is a M Mr. / Ms. / M/s.	inor)			Relations Mother	ship with Minor (Please ✓) ☐ Father ☐ Legal Guardian
GUARDIAN CKYC ID No. (KIN)			KYC (Please ✓) ☐ Proof Attached	GUARDIAN PAN	
POA / Custodian Name:				ŀ	(YC (Please ✓) ☐ Proof Attached
POA / Custodian CKYC ID No. (KIN)				POA / Custodian PAN	
Contact Person for Corporate Investo		ame		Designation:	
4. FIRST APPLICANT AND KYC DETA	_	ked as '*' are Mandatory			
1st SOLE APPLICANT Individual of *Date of Birth/ Incorporation (Individual)	MYYYY	Proof of Date of Birth (For minor applie	n (Please ✓)	Birth Certificate	10a & 10b - Refer Instruction No. 17 School Leaving Certificate / Mark Sheet
(Please write the Date of birth as per Aadhaar C	Card)	, a septim	· <u> </u>	Passport of the Minor	Others

Resident Individual

Sole Prop NRI - NRE 🗌 Trust 🔲 Bank / FIs 🗀 FIIs 🗀 PIO 🗀 Society/AOP/BOI 🗀 Minor through Guardian 🗌 LLP 🗌 Listed Company 🗌 Private Company 🗌 Public Ltd. Company 🗀 Artificial Juridicial Person 🗍 Partnership Firm 🔲 FOF - MF Schemes Private Sector **Public Sector** Government Service Student Professional Housewife a*. Occupation Details [Please tick (✓)]

Nationality:

Proprietorship Retired Business Others_ b*. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) 🔲 I am PEP 🔲 I am Related to PEP 🔲 Not Applicable

1-5 Lakhs 10-25 Lakhs Below 1 Lakh 5-10 Lakhs >25 Lakhs > 1 Crore c*. Gross Annual Income (₹) [Please tick (✓)]

d*. Net-worth (Mandatory for Non-Individuals) ₹ (Not older than 1 year) e*. Non-Individual Investors involved/providing ☐ Foreign Exchange / Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services any of the mentioned services

Country of Birth /

Incorporation:

Money Lending / Pawning

None of the above

Gender Male Female Other

Signature of 1st Applicant / Guardian / Auth. Signatory / PoA / Karta (AS IN BANK RECORDS)

gnature of 2[™] Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS) ignature of 3rd Applicant / Guardian / Auth. Signatory / PoA

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PART	A To be filled by Fi	nancial Ins	titutior	ns or Dii	ect Re	portir	ıg Non Fi	inancia	ıl Entity	(NFE	s)												
We ar	e a, cial institution	GIIN	: If you do	not have a	GIIN but y	ou are sp	oonsered by a	nother ent	rity, please	provide yo	ur sponsoi	r's GIIN	above a	nd indicat	te your sp	oonsor's	name b	pelow					
	reporting NFE se tick ()]	Name o	f spon	soring e	ntity:																		
GIIN	not available [Please	tick (✓)]		Applied	for	☐ No	t required	to app	ly for - p	olease	specify	2 digi	its sub	-categ	gory			N	Not obt	aine	d - No	n-parti	cipating I
PART	B (please fill any o	ne as appr	opriate	"to be	filled b	y NFE	s other t	hen Di	rect Re	porting	NFEs	")											
1	Is the Entity a publ (that is, a company traded on an estab	whose sha	ares ar	e regula	ırly		Yes (I											•	d)				
2	2 Is the Entity a related entity of a publicy traded company (a company whose shares are regularly traded on an established securities market)						☐ Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of Listed compnay: Nature of relation ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of stock exchange:																
3	Is the Entity an act	ive NFE					Yes (I	f yes, ple	ease fill l	JBO dec	aration i	n the r	next se	ction.)									
	-						Nature of	Busines	s:														
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4	Is the Entity an Pas	sive NFE					Yes (I	f yes, ple	ease fill L	JBO dec	aration i	n the r	next se	ction.)									
							Nature of	Busines	s:														
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•	ve NFE, please provide be			•			tion Type:					atory d	etalis ii	tne UB	O does	not na	e a PA	AN. (Ref	er instru	iction	NO. 16)		
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person(s) Statemen	laration is not needed for 0, confirming ALL countries t and Auditor's Letter with re	of tax resider equired details	ncy / perr as mentio	manent resoned in Fo	sidency / rm W8 BI	citizen ENE	ship and Al	LL Tax Id	dentification	on Numb	ers for E	ACH o	controlli	ng pers	on(s). C)wner-c	docum	ented F	FI's sho	uld pr	ovide F	FI Own	er Reportir
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Application No.:

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO / SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency#			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country	Place of Birth	Place of Birth	Place of Birth
of Birth#	Country of Birth	Country of Birth	Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	PEP. Related to PEP. Not a PEP.	PEP. Related to PEP. Not a PEP.	PEP. Related to PEP. Not a PEP.
UBO / SMO Address Type	Residence Business Registered Office	Residence Business Registered Office	Residence Business Registered Office
UBO / SMO Occupation	Public Service	Public Service	Public Service
SMO Designation#		-	-
UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.

#Mandatory column.

** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

ACKNOWLED GMENT SLIP

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India?

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

1 st Applicant (Sole / Guardian / Non-Individual)				2 nd A	pplicant	3 rd Applicant				
Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	1	Yes No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		Yes No	Do you have any non Country(ies) of Birth Citizenship / Nationa and Tax Residency	n-Indian / ality	Yes No		
Country of Birth / Incorporation			Country of Birth			Country of Birth				
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Are you a US specified Please provide Tax Payer Id.			Are you a US specifi person?	ied	Yes No Please provide Tax Payer Id.	Are you a US specifi person?	ed	Yes No Please provide Tax Payer Id.		
For non-Individual inve	estor, in ca	ase your country of incorporation / Tax	residence is US, but vo	ou are not	a specified US person then please m	ention exemption code		Refer instruction 15(e)		
		vestors fill this section			ill in below details in case of joint a					
	Countr	y:		Countr	y:		Country	y:		
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` '		the POA holder should fill separate for				ang address appearing	, III 10110)			
		IGNATURES / THUMB IMPRE			•					
To The Trustees, Mirae Asset agree to abide by the terms, oprovisions of the Income Tax. Asset Mutual Fund. (D) The information/details with the Al I/We will indemnify the Fund, form of trail commission or an indicative portfolio and/ or any I/We have read, understood a (RIA) through the registrar or invest into the Scheme as per notify the AMC, in which even confirm that the information p will be presumed that applical has concealed the facts of be	Mutual Funconditions, ru Act, Anti Mor information on MC / Fund/R AMC, Truste y other modo y indicative y and shall be l otherwise. (I the said FE t the AMC re rovided by m this the ultim neficial own	I (The Fund) – (A) Having read and understood les and regulations governing the scheme. (B) ley Laundering Laws or any other applicable Is given in / with this application form is true an egistrars and Transfer Agent (RTA) from time te, RTA and other intermediaries in case of any b, payable to him for the different competing Sield by the Fund/AMC/its distributor for this invocund by the terms & conditions of the PIN agr (Applicable to Foreign Resident's Residing in IMA regulations and other applicable laws and serves the right to redeem my / our investmen le / us on this Form is true, correct, and comple table beneficial owner, with no declaration to sul ership. I/We also undertake to keep you inforn adhar card to the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the control of the Fund/AMC for updating the signal and the fundamental of the Fund/AMC for updating the signal and the fundamental of the fundament	I the contents of the SID of the I/We hereby declare that the a was enacted by the Governme of correct and further agrees o time. I/We hereby confirm the dispute regarding the eligibility ochemes of various Mutual Fustment. I/We have not receive ement available on the AMC and its -I/We confirm that I/We regulations. (J) I / We confirm to the Scheme(s). (K) FATC te. I / We also confirm that I / vomit. In such case, the concerned in writing about any chan	e Scheme ap amount inves ent of India fr to furnish ad lat the AMC/F ty, validity an- inds from am ed nor have to website for to satisfy the Re that I am / W A/CRS Cert We have read ned SEBI reg	plied for (Including the scheme(s) available duted in the scheme is through legitimate source om time to time. (C) Signature of the nominee ditional information sought by Mirae Asset Irrud shall have the right to share my informatid a authorization of my/our transactions. (E) IVM ongst which the Scheme is being recommend been induced by any rebate or gifts, directly or transacting online. (H) RIA: IVMe hereby agressidency test as prescribed under FEMA provide a ren of United States person(s) under the laffication: I / We have understood the informatic and understood the FATCA& CRS Terms and istered intermediary reserves the right to rejective to the scheme of the represence of the represence of the remainder of the remainde	s only and does not involve and acknowledging receipts of my westment Managers (India) P on and other details with the re fe further declare that "The ART led to me/us. (F) IWNe hereby c indirectly in making this invest a to consent the AMC to share is one. IWNe further declare that was of United States or residen on requirements of this Form (r d Conditions and hereby accep to the application or reverse the	I is not design /our credit will rivate Limiter gulatory and N holder has a onfirm that I/N ment. (G) App my transactic I/N/e am/are t(s) of Canad ead along wit t the same. Interest of	ned for the purpose of the contravention of any Il constitute full discharge of liabilities of Mirae (f (MC) / Fund and undertake to update the government authorities as and when needed. disclosed to me/us all the commissions (in the We have not been offered/communicated any plicable to Investors availing the online facility: on details to the registered investment advisor "Person Resident in India" and are allowed to ia. In case of change to this status, I / We shall the HATCA & CRS Instructions) and hereby case the above information is not provided, it units, if subsequently it is found that applicant		
		an/Authorised Signatory/PoA/Karta K RECORDS)			urdian /Authorised Signatory/PoA IK RECORDS)			ardian/Authorised Signatory/PoA K RECORDS)		

Declaration Form of Non-Profit Organization (NPO)

(Mandatory for Trusts/Society)



Investor Name											
PAN											
I/We hereby confir [NPO] which has been of the Income-tax Registration Act, a section 8 of the confidence.	een const Act, 1961 1860 (21 c	ituted for (43 of 7 of 1860)	r religion 1961), a or any	us or charit and is regis similar Sta	able pu stered	ırpose as a tr	referre rust or	ed to ir a soci	clause ety und	(15) of ser the S	section 2 Societies
Enclosed relevant	document	tary proo	fevider	ncing the at	ove de	efinatio	n.				
We further confirm that are as follows:	t we have	registere	ed with D	DARPAN P	ortal of	NITIA	ayog a	s NPC	and re	gistratio	n details
Registration Number of DARPAN Portal											
If not, please register DARPAN portal registr or report to the relevan	ation deta	ils, MF/A	MC/RT	A will be red							
I/We hereby confir as defined above o					zation	is NOT	⊺falling	g unde	r Non-pr	ofit orga	nization
I/We acknowledge and confirm above specified information is fines or consequences as requerities or collect such fines/ch entities] to disclose, share, resuch information as and wher RTAs ('the Authorized Parties Intelligence Unit-India (FIU-IN agencies without any obligated Intermediaries or any other stainformed in writing about any other additional information as	found to be fauired under the arges in any y, remit in any provided by by or any Ind ND), the tax / on of advising atutory author hanges / modern of provided by the state of	alse or untrue respective other manry form, modime to any in ian or foreing revenue and me/us of the ities to facilification to	ue or misle a statutory ner as mig de or mani of the Mut gn goverruthorities he same. itate singlethe above	eading or misre requirements ht be applicab ner, all / any of ual Fund, its S nmental or sta in India or ou Further, I/We e submission / information in	presentir and auth le. I/We h the infor ponsor, A tutory or tside Indiauthorize update & future wit	ng, I/We a orize you nereby au mation p Asset Ma judicial a ia where a to share for regul hin 30 da	am/are a u to dedu uthorize rovided l nageme authorition ever it is the given latory pu	ware that ct such f you [RTA by me, ir nt Compes / age legally ren inforn rposes.	at I/We may ines/charge A/Fund/AM neluding allowany, truste encies inclue equired are nation to o I/We also unes and une	y be liable for the sunder in the sunder in the sunder in the sunder in the sunder sun	or it for any ntimation to articipating updates to mployees / e Financial vestigation Registered to keep you
Signature with relevant se	eal:										
Authorized Signa	itory		Auth	norized Signa	itory			Au	ıthorized	Signatory	′
Place:											

Date:___/__/___

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Applicant information
 (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
 (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company. Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

- Individual Investors:

 (j) New Individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can in invest in so themes of the Fund quoting their designated KIN issued by CKYC on the application from (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMCI Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can
- the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Kfin technologies Ltd. or send an email to customercare@miraeasset.com.
- customercare@mineaesset.com.

 (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

 (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC displact of rederipion proceeds stall be completed within 1 working days to the new bank account, nower, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation

of newbank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the

4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the "Multiple Bank Accounts Registration Facility", to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

On Consolidate designed because of the agus between the purpose of the late to the purpose of the purpose of the substance of the substance of the purpose of the substance of

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank

5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds: Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NET UTCH.

6. Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
- Militar Asset Mutual in India (MAMP) Amount of India of Authorised Collection Centrely). Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate
- from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account chaques, the CAF must be accompanied with a photocopy of the chaque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

- SolubArd in the relevant scheme.

 For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".

 Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases:

 Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal
 - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions
 - Custodian on behalf of an FII or a Client.
- Options Available: The Scheme does not offer any option Investors may please note, that Payout of Income Distribution cum capital withdrawal option may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Reinvestment of Income Distribution cum capital withdrawal option can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for. Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains
- g) Physical Copies: Investors who requires physicals copies kindly reach us through email id: customercare@miraeasset.com Toll Free Number: 1800-2090-777.

Communication

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the
 first holder and pattern of holding.
 The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding
 month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
 In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all
 schemes of all Mutual Funds will be issued on half yearly basis (at the end of every six months (i.e. September/March))
 Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account
 Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email /
 physical mode. physical mode.
- physical mode.

 5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor. Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to fire. about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor.This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

9. Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application.

10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including any, since the part of the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.

 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA).
 However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Transaction charges (TC):
In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you.If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF, NSE NMFII, ICEX and Channel Partners Platform). In addition to above, upfront commission to distributors hall continue to be paid by the investor directly.

12. Employee Unique Identification Number (EUIN):
In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions) (execution only) & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction. AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application

- 13. Units in Demat mode: All the units of the fund will be held in Dematerialized ('Demat') Form. the statement of holding of the Units in Demat mode: All the units of the fund will be held in Dematerialized ('Demat') Form is teatement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Unit holders must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL/CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DPI D Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable. to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form
- 14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences frailure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

INSTRUCTIONS

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia: Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / PO Box address in the US (4) Having US telephone that is used to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no

TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).
 b. Related entity of a publicly traded company. The NFE is a related entity of an entity of which is regularly traded on an
- established securities market.
 c. Active NFE: (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses of the that one business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE charitable activities, or as payment of reasonable compensation for services redered, or as payment presenting the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely: (1) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;
80	The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

- Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGAJob Card.
- Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37), (ii) The United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (ivi) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i), (vi) A corporation that is member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and opplies) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive

NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent are is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of the United States (U.S.) and Residents of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

 No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

 - of the Scheme of the Fund.

 For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.

 In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Residen(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

17. Ultimate Beneficial Owner (UBO)

.Ultimate Beneficial Owner (UBO)
Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company Instead on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

A AUltimate Beneficial Owner means:

I. For Investor other than Trust: A'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights) agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the

natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (Fils), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular . CIR/MIRSD/11/2012 dated September 5, 2012.

C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above). UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust [Po-7: Trustee(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code: The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME Mirae Asset Mirae Asset Nifty PSU Bank ETF



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- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID The application for Auto Switch will be processed on the closing day of the NFO.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. Auto-Switch Transaction reported from Offshore FOF schemes will be process with NAV as per below mentioned table
- 5a. The acceptance of Auto-switch transaction cut off is as mentioned below:

SCHEME NAME/ CATEGORY	Last date of Acceptance of Auto Switch Transaction
MIRAE ASSET NYSE FANG + ETF Fund of Fund	24-September-2024 [CUTOFF 15:00 HOURS]
MIRAE ASSET S&P 500 TOP 50 ETF Fund of Fund	24-Septembert-2024 [CUTOFF 15:00 HOURS]
Mirae Asset Global X Artificial Intelligence & Technology ETF Fund of Fund	24-September-2024 [CUTOFF 15:00 HOURS]
MIRAE ASSET HANG SENG TECH ETF Fund of Fund	No switch allowed from this scheme
Mirae Asset Global Electric & Autonomous Vehicles ETFs Fund of Fund	24-September-2024 [CUTOFF 15:00 HOURS]
Liquid and Debt Funds	30-September-2024 [CUTOFF 15:00 HOURS]
Equity Scheme and Domestic ETF Fund of Fund	27-September-2024 [CUTOFF 15:00 HOURS]

- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The unit holders are required to hold the units in Dematerialized (DEMAT) form. The unitholder must provide their DEMAT account details in the specified section of the application form. The unitholders intending to hold the units are required to have a beneficiary account with the DP (registered with CDSL/NSDL as may be indicated by the Fund at the time of launch of the plan) and will be required to indicate in the application the DP's name, DP ID number and beneficiary account number of the applicant with the DP.
- 11. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 12. The Application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 13.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 14. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee/ relationship manager / sales person leave the employment of the distributor.