

## **KEY INFORMATION MEMORANDUM**

### Mirae Asset Nifty50 Equal Weight ETF

(An open-ended scheme replicating/tracking Nifty50 Equal Weight Total Return Index)

(Scrip Code for NSE & BSE will be added after listing of the units)



# *Note:* The above Product Labelling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Sale of Units at 1/100th value of the Nifty50 Equal Weight closing Index as on the date of allotment for applications received during the New Fund Offer ("NFO") period and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC.

## New Fund Offer opens on: - 30/04/2025 New Fund Offer closes on: -06/05/2025 Scheme re-opens on 12/05/2025

The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper, however the NFO period shall be open for minimum 3 working days. The Trustee reserves the right to extend the closing date of the New Fund Offer Period, subject to the condition that the subscription list of the NFO period shall not be kept open for more than 15 days.

The units of the Scheme are listed on the National Stock Exchange of India Ltd. (NSE) and BSE Limited (BSE). All investors including Market Makers and Large Investors can subscribe (buy) / redeem (sell) units on a continuous basis on the NSE/BSE on which the Units are listed during the trading hours on all the trading days. In addition, Market Makers can directly subscribe to / redeem units of the Scheme on all Business Days with the Fund in 'Creation Unit Size' at NAV based prices on an ongoing basis. Large Investors can transact directly with the Fund for an amount greater than INR 25 crores.

## Name of Mutual Fund: Mirae Asset Mutual Fund

Name of Asset Management Company: Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625

> Name of Trustee Company: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

## **Registered & Corporate Office:**

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098 Tel. No.: 022-678 00 300 Fax No.: 022- 6725 3940 - 47 Website: www.miraeassetmf.co.in E-mail: miraeasset@miraeassetmf.co.in



This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and circulars issued thereunder filed with SEBI. The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The Investors are advised to refer to the Statement of Additional Information (SAI) for details of Mirae Asset Mutual Fund, standard risk factors, special considerations, tax and legal issues and general information on www.miraeassetmf.co.in

SAI is incorporated by reference (is legally a part of the SID). For a free copy of the current SAI, please contact your nearest Investor Service Centre or log on to our website.

This Key Information Memorandum is dated 24/04/2025



#### **DISCLAIMER OF NSE:**

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/ 5799 dated March 12, 2025 permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

## **DISCLAIMER OF BSE:**

"BSE Ltd. ("the Exchange") has given vide its letter no. LO/IPO/AG/MF/IP/77/2024-25 dated March 11, 2025 permission to use the Exchange's name in this SID as one of the Stock Exchanges on which this Mutual Fund's Units are proposed to be listed. The Exchange has scrutinized this SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to. The Exchange does not in any manner: -

- i) warrant, certify or endorse the correctness or completeness of any of the contents of this SID; or
- ii) warrant that this scheme's units will be listed or will continue to be listed on the Exchange; or
- iii) take any responsibility for the financial or other soundness of this Mutual Fund, its promoters, its management or any scheme or project of this Mutual Fund;

and it should not for any reason be deemed or construed that this SID has been cleared or approved by the Exchange.

Every person who desires to apply for or otherwise acquires any unit of this Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/ acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

## DISCLAIMER NSE INDICES LIMITED

NSE Indices Ltd Disclaimer: NSE INDICES LIMITED do not guarantee the accuracy and/or the completeness of the Nifty50 Equal weight Index or any data included therein and NSE INDICES LIMITED shall have not have any responsibility or liability for any errors, omissions, or interruptions therein. NSE INDICES LIMITED does not make any warranty, express or implied, as to results to be obtained by the Issuer, owners of the product(s), or any other person or entity from the use of the Nifty50 Equal weight Index or any data included therein. NSE INDICES LIMITED makes no express or implied



warranties, and expressly disclaims all warranties of merchantability or fitness for a particular purpose or use with respect to the index or any data included therein. Without limiting any of the foregoing, NSE INDICES LIMITED expressly disclaim any and all liability for any claims, damages or losses arising out of or related to the Products, including any and all direct, special, punitive, indirect, or consequential damages (including lost profits), even if notified of the possibility of such damages.

| Investment Objective  | The investment objective of the scheme is to generate   | raturne bafor                                      | a avpansas that                                  |
|-----------------------|---|--|--|
| mvestment Objective   | are commensurate with the performance of the Nifty.   |  |  |
|                       | Index, subject to tracking error. The Scheme does   |  |  |
|                       | returns.  | not guarantee                                      | c of assure any                                  |
|                       | ictums.   |  |  |
|                       | There is no assurance that the investment objective of  | f the scheme w                                     | vill be achieved                                 |
| Asset Allocation      | Under normal circumstances, the asset allocation wil  |  |  |
| Pattern of the scheme |   | Indicative   |  |
|                       | Types of Instruments  | (% of tot  |  |
|                       | Types of instruments  |  |  |
|                       | Constitution in the last in Nifers O Darrow 1 Weight Index  | Minimum  | Maximum  |
|                       | Securities included in Nifty50 Equal Weight Index   | 95<br>0  | 100  |
|                       | Money market instruments* including Tri Party   | 0  | 5  |
|                       | REPO/ debt securities, Instruments and/or units of  |  |  |
|                       | debt/liquid schemes of domestic Mutual Funds.   |  | <u> </u>   |
|                       | *Money Market Instruments will include TREPS, C<br>of Deposit, Treasury Bills, Bills Rediscounting, Re<br>securities and any other such short-term instruments<br>regulations prevailing from time to time.<br>The Asset Allocation portion shall also include subs | epos, short-ter<br>as may be all<br>cription and r | m Government<br>owed under the<br>edemption cash |
|                       | flow which may be undeployed due to various reaso<br>securities, rebalancing or balances for running cost of<br>due to execution on rounding off etc).  | •  |  |
|                       | Subject to SEBI (MF) Regulations, 1996 and in accessed SEBI Master Circular dated June 27, 2024 on Sec framework for short selling and borrowing and lend intends to engage in Stock Lending.   | urities Lendin                                     | g Scheme, and                                    |
|                       | The Scheme shall adhere to the following limits shou<br>(a) Not more than 20% of the net assets can generally<br>(b) Not more than 5% of the net assets can generally<br>to any single approved intermediary i.e. broker.   | be deployed ir                                     | n Stock Lending                                  |
|                       | <ul> <li>The scheme shall not intent to undertake / inve</li> <li>Securities Debt</li> <li>Debt Instrument with Special features (AT1)</li> </ul>   |  |  |
|                       | <ul> <li>Debt instrument with Special features (ATT</li> <li>Debt Instrument with Structured Obligation</li> </ul>  |  |  |
|                       | • Foreign securities including ADR/GDR/H  |  |  |
|                       | ETFs.   |  |  |
|                       | ReITs and InVITs     Credit default excess  |  |  |
|                       | <ul> <li>Credit default swaps</li> <li>Deno in Comports Daht Securities</li> </ul>  |  |  |
|                       | Repo in Corporate Debt Securities   |  |  |
|                       | <ul> <li>short selling</li> </ul>   |  |  |



• unrated debt instruments (except G-Secs, T-Bills and other money market instruments)

Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The exposure to derivatives will be rebalanced to align with the underlying index changes in weights or constituents. Index futures/options are meant to be an efficient way of buying/selling an index compared to buying/selling a portfolio of physical shares representing an index for ease of execution and settlement. It can help in reducing the Tracking Error in the Scheme. Index futures/options may avoid the need for trading in individual components of the index, which may not be possible at times, keeping in mind the circuit filter system and the liquidity in some of the individual stocks. Index futures/options can also be helpful in reducing the transaction costs and the processing costs on account of ease of execution of one trade compared to several trades of shares comprising the underlying index and will be easy to settle compared to physical portfolio of shares representing the underlying index. In case of investments in index futures/options, the risk/reward would be the same as investments in portfolio of shares representing an index. However, there may be a cost attached to buying an index future/option. The Scheme will not maintain any leveraged or trading positions. Exposure to such derivatives will be restricted to 20% of net assets of the scheme. The above deviation shall not exceed for more than 7 days for the asset allocation table. The Scheme may invest in derivatives upto 20% of the net assets of the Scheme for non-hedging purposes.

The cumulative gross exposure to equity, derivatives, debt instruments and money market instruments will not exceed 100% of the net assets of the scheme in accordance with Clause 12.24 of SEBI Master Circular dated June 27, 2024.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities having residual maturity of less than 91 days.

In accordance with Clause 3.4 of SEBI Master Circular dated June 27, 2024, the underlying index shall comply with the portfolio concentration norms as prescribed.

Debt securities include, but are not limited to, Debt securities of the Government of India, State and Local Governments, Government Agencies, Statutory Bodies, Public Sector Undertakings, Public Sector Banks or Private Sector Banks or any other Banks, Financial Institutions, Development Financial Institutions, and Corporate Entities, collateralized debt securities or any other instruments as may be prevailing and permissible under the Regulations from time to time).

The Debt Securities (including money market instruments) referred to above could be fixed rate or floating rate, listed, unlisted, privately placed, unrated among others, as permitted by regulation.

Pending deployment of funds of a Scheme in securities in terms of investment objectives of the Scheme, a mutual fund can invest the funds of the Scheme in short term deposits of scheduled commercial banks in accordance with Clause 12.16 of SEBI Master Circular dated June 27, 2024.



Further, the Scheme may, for meeting liquidity requirements invest in units of money market/liquid schemes of Mirae Asset Mutual Fund and/or any other mutual fund provided that aggregate inter-scheme investment made by all schemes under the same management or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of the mutual fund. The AMC shall not charge any investment management fees with respect to such investment.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

| Sr. no | Type of<br>Instrument                                | Percentage of exposure  | Circular references*  |
|--------|--|---|---|
| 1.     | Securities<br>Lending                                | Upto 20% of the<br>net assets can be<br>deployed in<br>Stock Lending<br>Upto 5% of the<br>net assets can be<br>deployed in<br>Stock Lending to<br>any single<br>approved<br>intermediary i.e. | Clause 12.11 of SEB<br>Master Circular dated<br>June 27, 2024 |
|        |  | broker.   |   |
| 2.     | Equity<br>Derivatives                                | Upto 20%  | Clause 12.25 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 3.     | Equity<br>Derivatives for<br>non-hedging<br>purposes | Upto 20%  | Clause 12.25 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 4.     | Securitized Debt                                     | 0%  | Clause 12.15 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 5.     | Overseas<br>Securities                               | 0%  | Clause 12.19 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 6.     | Structured<br>Obligations                            | 0%  | Clause 12.3 of SEB<br>Master Circular dated<br>June 27, 2024  |
| 7.     | Repo in<br>Corporate Debt<br>Securities              | 0%  | Clause 12.18 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 8.     | Short Selling  | 0%  | Clause 12.11 of SEB<br>Master Circular dated<br>June 27, 2024 |
| 9.     | Credit default<br>swaps                              | 0%  | Clause 12.28 of SEB<br>Master Circular dated<br>June 27, 2024 |



| 10. | Debt instruments<br>having Special<br>Features | 0% | Clause 12.2 of SEBI<br>Master Circular dated<br>June 27, 2024                   |
|-----|--|----|---|
| 11. | ReITS and<br>InVITS                            | 0% | Clause 12.21 of SEBI<br>Master Circular dated<br>June 27, 2024                  |
| 12. | Unrated Debt<br>Instruments                    | 0% | Clause 12.1.5 of SEBI<br>Master Circular dated<br>June 27, 2024                 |
| 13. | Fund of Fund<br>Schemes                        | 0% | Clause 9A of Seventh<br>Schedule of SEBI<br>(Mutual Funds)<br>Regulations, 1996 |

\*SEBI circular references (wherever applicable) in support of exposure limits of different types of asset classes in asset allocation shall be provided.

## Timelines for deployment of funds collected in NFO:

In line with SEBI circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025, deployment of the funds garnered in NFO shall be made within 30 business days from the date of allotment of units.

In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee. The Investment Committee, after examining the root cause for delay may extend the timeline by 30 business days.

## Rebalancing due to passive breach

In accordance with Clause 3.5.3.11 and 3.6.7 of SEBI Master Circular dated June 27, 2024 in case of change in constituents of the index due to periodic review, the portfolio of the Scheme shall be rebalanced within 7 calendar days. Any transactions undertaken in the scheme portfolio in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.

In the event of involuntary corporate action, the Scheme shall dispose the security not forming part of the underlying index within 7 calendar Days from the date of allotment/ listing.

## Rebalancing of deviation due to short term defensive consideration

In the event of the asset allocation falling outside the limits specified in the asset allocation table, the Fund Manager will rebalance the same within 7 days. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. Any alteration in the investment pattern will be for short-term defensive consideration as per Clause 1.14.1.2 of SEBI Master Circular dated June 27, 2024 the intention being at all times to protect the interests of the Unit Holders.

## **Tracking Error**



|                     | The Scheme, in general, will hold all the securities that constitute the underlying<br>Index in the same proportion as the index. Expectation is that, over a period of time,<br>the tracking error of the Scheme relative to the performance of the Underlying<br>Index will be relatively low. The AMC would monitor the tracking error of the<br>Scheme on an ongoing basis and would seek to minimize tracking error to the<br>maximum extent possible. Under normal market circumstances such tracking error<br>is not expected to exceed 2% p.a for daily 12 month rolling return. However, in<br>case of events like, dividend received from underlying securities, and market<br>volatility during rebalancing of the portfolio following the rebalancing of the<br>Underlying Index, etc. or in abnormal market circumstances, the tracking error may<br>exceed the above limits and the same shall be brought to the notice of Trustees with<br>corrective actions taken by the AMC, if any. Since the Scheme is an exchange<br>traded fund, it will endeavor that at no point of time the Scheme will deviate from<br>the index. |
|---------------------|---|
|                     | Investments in Scheme by AMC, Sponsor & Associates  |
|                     | Subject to the Regulations, the AMC and investment companies managed by the Sponsor(s), their associate companies and subsidiaries may invest either directly or indirectly, in the Scheme during the NFO and/or on ongoing basis. However, the AMC shall not charge any investment management fee on such investment in the Scheme, in accordance with sub-regulation 3 of Regulation 24 of the Regulations and shall charge fees on such amounts in future only if the SEBI Regulations so permit. The associates, the Sponsor, subsidiaries of the Sponsor and/or the AMC may acquire a substantial portion of the Scheme's units and collectively constitute a major investment in the Schemes. The AMC reserves the right to invest its own funds in the Scheme as may be decided by the AMC from time to time and required by applicable regulations and also in accordance with Clause 6.11 of SEBI Master Circular dated June 27, 2024, regarding minimum number of investors in the Scheme.  |
|                     | In terms of SEBI notification dated August 5, 2021 and as per Regulation 25, sub-<br>regulation 16A of SEBI (Mutual Funds) Regulations, the asset management<br>company shall invest such amounts in such schemes of the mutual fund, based on<br>the risks associated with the schemes, as may be specified by the Board from time<br>to time  |
| Investment Strategy | The Mirae Asset Nifty50 Equal Weight ETF will be managed passively with investments in stocks in the same proportion as in the Nifty50 Equal Weight Index.  |
|                     | The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty50 Equal Weight Index in similar weight proportion.  |
|                     | The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, considering the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements.   |
|                     | Subject to the Regulations and the applicable guidelines the Scheme may invest in the schemes of Mutual Funds. The investment strategy shall be in line with the asset allocation mentioned under "Part II - A: How will the Scheme allocate its assets?".  |

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Though every endeavour will be made to achieve the objective of the Scheme, the AMC/Sponsors/Trustee does not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.

## **Investment in Derivatives:**

The Scheme may take derivatives position based on the opportunities available subject to the guidelines provided by SEBI from time to time and in line with the overall investment objective of the Scheme. Derivatives can be traded over the exchange or can be structured between two counter-parties. Those transacted over the exchange are called Exchange Traded derivatives whereas the other category is referred to as OTC (Over the Counter) derivatives.

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

For detailed derivative strategies, please refer to SAI.

## **Policy for Investment decisions**

The investment policy of the AMC has been determined by the Investment Committee ("IC") which has been ratified by the Boards of the AMC and Trustee. At the strategic level, the broad investment philosophy of the AMC and the authorized exposure limits are spelt out in the Investment Policy of the AMC. During trading hours, the Fund Managers have the discretion to take investment decisions for the Scheme within the limits defined in the Investment Policy, these decisions and the reasons thereof are communicated to the CEO for post facto approval.

The designated Fund Manager(s) of the Scheme will be responsible for taking dayto-day investment decisions and will inter-alia be responsible for asset allocation, security selection and timing of investment decisions.

## **Portfolio Turnover Policy**

Portfolio Turnover measures the volume of trading that occurs in a Scheme's portfolio during a given time period. The Scheme is an open-ended Exchange Traded Fund and it is expected that there may be a number of subscriptions and repurchases on a daily basis through Stock Exchange(s) or Market Makers and Large Investors. Generally, turnover will depend upon the extent of purchase and redemption of units and the need to rebalance the portfolio on account of change in the composition, if any, and corporate actions of securities included in Nifty50 Equal Weight Index. However, it will be the endeavor of the Fund Manager to



|                       | maintain an optimal portfolio turnover rate commensurate with the investment          |  |
|-----------------------|---|--|
|                       | objective of the Scheme and the purchase/ redemption transactions on an ongoing       |  |
|                       | basis in the Scheme.  |  |
| Risk Profile of the   | Mutual Fund Units involve investment risks including the possible loss of principal.  |  |
| Scheme                | Please read the SID carefully for details on risk factors before investment. Scheme   |  |
|                       | specific Risk Factors are summarized below:   |  |
|                       |   |  |
|                       | Risk Factors associated with Exchange Traded Schemes                                  |  |
|                       |   |  |
|                       | The Scheme is subject to the specific risks that may adversely affect the             |  |
|                       | Scheme's NAV, return and / or ability to meet its investment objective. The           |  |
|                       | specific risk factors related to the Scheme include, but are not limited to the       |  |
|                       | following:  |  |
|                       |   |  |
|                       | Passive Fund Investment Risks   |  |
|                       | i. Market Risk  |  |
|                       | ii. Market Trading Risks  |  |
|                       | iii. Volatility Risk  |  |
|                       | iv. Redemption Risk   |  |
|                       | v. Asset Class Risk   |  |
|                       | vi. Passive Investments   |  |
|                       | vii. Tracking Error and Tracking Difference Risk                                      |  |
|                       | ······································  |  |
|                       | • Risks Associated with Equity Investments  |  |
|                       | <ul> <li>Risks Associated with Debt &amp; Money Market Instruments</li> </ul>         |  |
|                       | Risks Associated with Derivatives   |  |
|                       | • Risk factors associated with processing of transaction through Stock Exchange       |  |
|                       | Mechanism   |  |
|                       | • Risk factors associated with Securities Lending                                     |  |
|                       | • Risks associated with segregated portfolio  |  |
|                       | • Risks associated with segregated portiono   |  |
|                       | The Tracking difference shall be targeted to be 50 bps (over and above actual TER     |  |
|                       | charged). In case the same is not maintained, it shall be brought to the notice of    |  |
|                       | trustees along with corrective actions taken by the AMC, if any                       |  |
|                       | dustees along with corrective actions taken by the riske, if any                      |  |
|                       | For details on risk factors and risk mitigation measures, please refer SID.           |  |
| Plans/Options         | The Scheme does not offer any Plans/Options for investment.                           |  |
| <b>T</b>              | ,   |  |
|                       | The AMC and the Trustees reserve the right to introduce such other Plans/Options      |  |
|                       | as they deem necessary or desirable from time to time, in accordance with the SEBI    |  |
|                       | MF Regulations.   |  |
| Applicable NAV (after | In case of Purchase / Redemption directly with Mutual Fund (By Market                 |  |
| the scheme opens for  | Makers and Large Investors):  |  |
| subscriptions and     |   |  |
| redemptions )         | DIRECTLY FROM THE FUND  |  |
| <b>▲</b>              | Direct transaction with AMCs shall be facilitated for investors only for transactions |  |
|                       | above a specified threshold. In this regard, to begin with any order placed for       |  |
|                       | redemption or subscription directly with the AMC must be of greater than INR 25       |  |
|                       | Cr. The aforesaid threshold shall not be applicable for Market Makers.                |  |
|                       | en the aleresuld aneshere shan not ee approusie for thanket makers.                   |  |
|                       |   |  |



| All direct transactions in units of ETFs by Market Makers or other eligible investors (as mentioned above) with AMCs shall be at intra-day NAV based on the actual execution price of the underlying portfolio.   |
|---|
| The requirement of "cut-off" timing shall not be applicable for direct transaction with AMCs in ETFs by Market Makers and other eligible investors.   |
| For Redemption of units directly with the Mutual Fund (other than Market Makers and Large Investors):   |
| Investors can directly approach the AMC for redemption of units of ETF, for transaction of upto INR 25 Cr. without any exit load, in case of the following scenarios:   |
| <ul><li>i. Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or</li><li>ii. No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or</li></ul>  |
| <ul><li>iii. Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days.</li><li>In case of the above scenarios, applications received from investors for redemption up to 3.00 p.m. on any trading day, shall be processed by the AMC at the closing</li></ul>  |
| NAV of the day.<br>Such instances shall be tracked by the AMC on an ongoing basis and in case any of  |
| the above-mentioned scenario arises, the same shall be disclosed on the website of the Mutual Fund.   |
| Settlement of Purchase/Sale of Units of the Scheme on NSE/ BSE  |
| Buying/Selling of Units of the Scheme on NSE/ BSE is just like buying/selling any other normal listed security. If an investor has bought Units, an investor has to pay the purchase amount to the broker/sub-broker such that the amount paid is realised before the funds pay-in day of the settlement cycle on the Stock Exchange(s). If an investor has sold Units, an investor has to deliver the Units to the broker/sub-broker before the securities pay- in day of the settlement cycle on the Stock Exchange(s). The Units (in the case of Units bought) and the funds (in the case of Units sold) are paid out to the broker on the pay-out day of the settlement cycle on the Stock Exchange(s). The Stock Exchange(s) regulations stipulate that the trading member should pay the money or Units to the investor within 24 hours of the pay-out. |
| If an investor has bought Units, he should give standing instructions for 'Delivery-<br>In' to his /her/its DP for accepting Units in his/her/its beneficiary account. An<br>investor should give the details of his/her beneficiary account and the DP-ID of<br>his/her/its DP to his/ her/its trading member. The trading member will transfer the<br>Units directly to his/her/ its beneficiary account on receipt of the same from NSE's/<br>BSE's Clearing Corporation.  |
| An investor who has sold Units should instruct his/her/its Depository Participant (DP) to give 'Delivery Out' instructions to transfer the Units from his/her/its beneficiary account to the Pool Account of his/her/its trading member through whom he/she/it have sold the Units. The details of the Pool A/C (CM-BP-ID) of his/her trading member to which the Units are to be transferred, Unit quantity etc. should be mentioned in the Delivery Out instructions given by him/her to the DP. The instructions should be given well before the prescribed securities pay-in day. SEBI has advised that the Delivery Out instructions should be given at least 24   |



|                                   | hours prior to the cut-off time for<br>rejection of instructions due to dat   |   |  |
|-----------------------------------|---|---|--|
| MinimumApplicationAmount/Numberof | <b>During NFO Period:</b> Rs. 5,000 per application and in  | Additional<br>Purchase:   | Redemption:  |
| Units                             | multiples of Re. 1 thereafter.<br>Units will be allotted in whole<br>figures and the balance<br>amount will be refunded.<br>On Continuous Basis:        | On continuous<br>basis:<br>Market Maker:<br>Application for   | MarketMaker:ApplicationforredemptionofUnitsdirectly with the Fund inCreation Unit Size.                                    |
|                                   | <b>Purchase:Market Maker:</b> Applicationfor subscription of Units  | subscription of<br>Units directly<br>with the Fund in<br>Creation Unit<br>Size at NAV                                   | LargeInvestors:Minimum amount of Rs.25 crores for redeemingdirectly with the AMC.  |
|                                   | directly with the Fund in<br>Creation Unit Size at NAV<br>based prices in exchange of<br>Portfolio Deposit and Cash<br>Component.                       | based prices in<br>exchange of<br>Portfolio Deposit<br>and Cash<br>Component.   | Otherinvestors(includingMarketMaker, LargeInvestorsand RegulatedEntities):Units of the Scheme canbe redeemed (in lots of 1 |
|                                   | <b>Large Investors:</b> Minimum amount of Rs. 25 crores for transacting directly with the AMC.  | Large Investors:<br>Minimum<br>amount of Rs. 25<br>crores for<br>transacting  | Unit) during the trading<br>hours on all trading days<br>on the NSE and BSE on<br>which the Units are listed.              |
|                                   | Otherinvestors(includingMarketMaker,LargeInvestorsandRegulatedEntities):Units of the Schemecan be subscribed (in lots of 1Unit)during the trading hours | directly with the<br>AMC.<br>Other investors<br>(including<br>Market Maker,   |  |
|                                   | on all trading days on the NSE<br>and BSE on which the Units are<br>listed.   | Large Investors<br>and Regulated<br>Entities): Units of<br>the Scheme can be<br>subscribed (in lots                     |  |
|                                   |   | of 1 Unit) during<br>the trading hours<br>on all trading days<br>on the NSE and<br>BSE on which the<br>Units are listed |  |
| Despatch of<br>Redemption Request |   |   |  |
|                                   | For list of exceptional circumstand<br>Mutual Funds dated June 27, 2024   | -   | of SEBI Master Circular for  |
|                                   | Non-Resident Investors  |   |  |



|  | For NRIs, Redemption proceeds will be remitted depending upon the source of investment as follows:  |
|--|---|
|  | (i) Repatriation basis<br>When Units have been purchased through remittance in foreign exchange from<br>abroad or by cheque / draft issued from proceeds of the Unit Holder's FCNR deposit<br>or from funds held in the Unit Holder's Non Resident (External) account kept in<br>India, the proceeds can also be sent to his Indian address for crediting to his<br>NRE/FCNR/non-resident (Ordinary) account, if desired by the Unit Holder.  |
|  | (ii) Non-Repatriation basis<br>When Units have been purchased from funds held in the Unit Holder's non-resident<br>(Ordinary) account, the proceeds will be sent to the Unit Holder's Indian address for<br>crediting to the Unit Holder's non-resident (Ordinary) account.   |
|  | For FPIs, the designated branch of the authorized dealer may allow remittance of<br>net sale / maturity proceeds (after payment of taxes) or credit the amount to the<br>Foreign Currency account or Non-resident Rupee account of the FPI maintained in<br>accordance with the approval granted to it by the RBI. The Fund will not be liable<br>for any delays or for any loss on account of any exchange fluctuations, while<br>converting the rupee amount in foreign exchange in the case of transactions with<br>NRIs/FPIs. The Fund may make other arrangements for effecting payment of<br>redemption proceeds in future. |
|  | The normal processing time may not be applicable in situations where necessary details are not provided by investors/Unit holders. The AMC will not be responsible for any loss arising out of fraudulent encashment of cheques and/or any delay/loss in transit.   |
| Benchmark Index                          | Nifty50 Equal Weight TRI (Total Return Index)   |
| Dividend Policy<br>(IDCW)                | Not Applicable  |
| Name of the Fund<br>Manager              | Ms. Ekta Gala & Mr. Akshay Udeshi   |
| Name of the Trustee<br>Company           | Mirae Asset Trustee Company Private Limited   |
| Performance of the scheme:               | This scheme being a new scheme does not have any performance track record   |
| Additional Scheme<br>Related Disclosures | <ul> <li>This is a new Scheme and therefore, the requirement of following additional disclosures shall not be applicable for the Scheme:</li> <li>Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors are available on functional website link</li> <li>Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds through a functional website link that contains detailed description</li> <li>Portfolio Turnover Ratio</li> </ul>  |
| Expenses of the Scheme                   |   |
| 1. Load Structure                        | Exit load:<br>For investors transacting directly with the AMC: No Exit load will be levied on<br>redemptions made by Market Maker / Large Investors directly with the AMC.  |
|  | For investors transacting on the exchange: Not Applicable.  |



| 2. Recurring expenses | These are the fees and expenses for operating the Scheme. These e<br>Investment Management and Advisory Fee charged by the AMC<br>Transfer Agents' fee, marketing and selling costs etc. as given in th  | e, Registrar and the table below:                        |
|-----------------------|--|--|
|                       | The AMC has estimated that upto 1% of the daily net assets of the charged to the scheme as expenses. For the actual current expenses the investor should refer to the website of the https://www.miraeassetmf.co.in/downloads/statutory-disclosure/tot ratio | being charged,<br>mutual fund                            |
|                       | Expense Head   | % p.a. of<br>daily Net<br>Assets*<br>(Estimated<br>p.a.) |
|                       | Investment Management & Advisory Fee   | Upto 1.00%   |
|                       | Audit fees/fees and expenses of trustees   |  |
|                       | Custodial Fees   |  |
|                       | Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants   |  |
|                       | Marketing & Selling Expenses including Agents Commission<br>and statutory advertisement  |  |
|                       | Costs related to investor communications   |  |
|                       | Costs of fund transfer from location to location   |  |
|                       | Cost towards investor education & awareness^   |  |
|                       | Brokerage & transaction cost pertaining to distribution of units   |  |
|                       | Goods & Services Tax on expenses other than investment and advisory fees   |  |
|                       | Goods & Services Tax on brokerage and transaction cost   |  |
|                       | Other Expenses (to be specified as per Reg 52 of SEBI MF<br>Regulations) *   |  |
|                       | Maximum Total expenses ratio (TER) permissible under<br>Regulation 52<br>(6) (b)   |  |
|                       | *Other expenses: Any other expenses which are directly attributable<br>may be charged with approval of the Trustee within the overall lin<br>in the Regulations except those expenses which are specifically pro-  | nits as specified  |
|                       | ^In accordance with SEBI circular No. SEBI/ HO/ IMD/ PoD2/ P/<br>dated December 31, 2024, the charges applicable for investor<br>awareness initiatives will be 5% of total TER charged to direct p<br>maximum of 0.5 bps of AUM                              | education and  |
|                       | For the actual current expenses being charged, the investor sho website of the Mutual Fund.  | uld refer to the   |
|                       | The purpose of the above table is to assist the investor in understan costs & expenses that the investor in the Scheme will bear direct  | -  |



| Tax treatment for the<br>Investors (Unitholders)<br>Daily Net Asset Value<br>(NAV) Publication | <ul> <li>These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.</li> <li>All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.</li> <li>The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.</li> <li>Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.</li> <li>The AMC will calculate and disclose the first NAV under the Scheme not later than 5 Business Days from the date of allotment of units under the NFO Period.</li> </ul> |  |
|--|---|--|
| For Investor<br>Grievances please<br>contact   | https://www.miraeassetmf.co.in/ and   | the NAVs on the website of the Mutual Fund<br>on the website of Association of Mutual Funds<br>n) by 11.00 p.m. on every Business Day.<br>Mr. Chaitanya Chaubal<br>Mirae Asset Investment Managers (India)<br>Pvt. Ltd.<br>606, 6 <sup>th</sup> Floor, Windsor Bldg, Off CST<br>Road, Kalina, Santacruz (E), Mumbai - 400<br>098.<br>Telephone Nos.: 6780 0300<br>e-mail: <u>customercare@miraeasset.com</u><br>Investors may contact any of the ISCs or<br>the AMC by calling the investor line of the<br>AMC at "1800 2090 777" or visit the<br>website at <u>www.miraeassetmf.co.in</u> for<br>complete details |
| Unitholders'<br>Information  | complete details.The AMC shall send an allotment confirmation specifying the units allotted by wayof email and/or SMS within 5 working days of receipt of validapplication/transaction to the Unit holders registered e-mail address and/ or mobilenumber (whether units are held in demat mode or in account statement form).A Consolidated Account Statement (CAS) detailing all the transactions across allmutual funds (including transaction charges paid to the distributor) and holding atthe end of the month shall be sent to the Unit holders in whose folio(s)transaction(s) have taken place during the month by mail or email on or before 15thof the succeeding month.Half-yearly CAS shall be issued at the end of every six months (i.e. September/March) on or before 21st day of succeeding month, to all investors providing theprescribed details across all schemes of mutual funds and securities held indematerialized form across demat accounts, if applicable.  |  |



For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

**Monthly/Half Yearly Portfolio Disclosures:** The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the month / half-year i.e. March 31 and September 30, on its website viz. <u>https://www.miraeassetmf.co.in/downloads/portfolio</u> and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each month/ half year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month/ half year respectively. Mutual Fund / AMC will publish an advertisement every half year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

**Half Yearly Unaudited Financial Results:** The AMC/Mutual Fund shall within one month from the close of each half year, that is on March 31st and on September 30th, host a soft copy of its unaudited financial results on their website <a href="https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials">https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials</a>. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of the SEBI (Mutual Funds) Regulations, 1996 and such other details as are necessary for the purpose of providing a true and fair view of the operations of Mirae Asset Mutual Fund.

The AMC/Mutual Fund shall publish an advertisement disclosing the hosting of unaudited financial results on their website <u>www.miraeassetmf.co.in</u> in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

The mutual fund shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). The AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

**Annual Report:** Pursuant to Regulation 56 of SEBI (Mutual Funds) Regulations, 1996 read with Clause 5.4 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, the scheme wise annual report or abridged summary thereof will be hosted on the website of the Mirae Asset Mutual Fund viz. <u>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials</u> and on the website of AMFI, not later than four months after the close of each financial year (31st March). The AMCs shall display the link prominently on the website of the Mirae Asset Mutual Fund viz. <u>https://miraeassetmf.co.in</u> and make the physical copies available to the unitholders, at their registered offices at all times. Unit holders whose e-mail addresses are not registered will have to specifically 'opt in' to receive physical copy of scheme wise annual report or abridged summary thereof. The unit holders may request for a physical copy of scheme annual reports



| Mutual Fulla  |
|---|
| at a price and the text of the relevant scheme by writing to the Mirae Asset<br>Investment Managers (India) Pvt Ltd. / Investor Service Centre / Registrar &<br>Transfer Agents. The Mutual Fund / AMC shall provide a physical copy of<br>abridged report of the annual report, without charging any cost, on specific request<br>received from a unit holder. An advertisement shall be published every year<br>disclosing the hosting of the scheme wise annual report on website of Mirae Asset<br>Mutual Fund and on the website of AMFI and the modes such as SMS, telephone,<br>email or written request (letter) through which a unitholder can submit a request<br>for a physical or electronic copy of the scheme wise annual report or abridged<br>summary thereof. Such advertisement shall be published in the all India edition of<br>at least two daily newspapers, one each in English and Hindi. |
| Monthly Disclosures   |
| <ul> <li>The AMC shall disclose the following on monthly basis on its website on <u>https://www.miraeassetmf.co.in/downloads/portfolio</u>:</li> <li>Name and exposure to top 7 issuers and stocks respectively as a percentage of NAV of the scheme</li> <li>Name and exposure to top 7 groups as a percentage of NAV of the scheme.</li> <li>Name and exposure to top 4 sectors as a percentage of NAV of the scheme.</li> </ul>  |
| Change in constituents of the index, if any, shall be disclosed on the AMC website<br>on the day of change.   |
| Monthly Average Asset under Management (Monthly AAUM) Disclosure  |
| The Mutual Fund shall disclose the Monthly AAUM under different categories Schemes as specified by SEBI in the prescribed format on a monthly basis on its website viz. <u>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/other-disclosure</u> and forward to AMFI within 7 working days from the end of the month.  |
| Scheme Summary Document   |
| The AMC has provided on its website a standalone scheme document for all the Schemes which contains all the details of the Scheme viz. Scheme features, Fund Manager details, investment details, investment objective, expense ratios, portfolio details, etc. Scheme summary document is uploaded on the websites of AMC viz.<br>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/other-disclosure, AMFI and stock exchanges in 3 data formats i.e. PDF, Spreadsheet and a machine readable format (either JSON or XML). The document shall be updated by the AMCs on a monthly basis or on changes in any of the specified fields, whichever is earlier.  |
| Disclosures with respect to Tracking Error and Tracking Difference  |
| <b>Tracking Error (TE)</b> : The AMC shall disclose tracking error based on past one year rolling data, on a daily basis, on the website of AMC on <u>ETF Mutual Fund:</u> <u>Invest in Exchange Traded Funds Online   Mirae Asset (miraeassetmf.co.in)</u> and AMFI.   |
| <b>Tracking Difference (TD):</b> Tracking difference i.e. the annualized difference of daily returns between the index and the NAV of the scheme shall be disclosed on the website of the AMC on <u>ETF Mutual Fund: Invest in Exchange Traded Funds</u>  |



| Online   Mirae Asset (miraeassetmf.co.in) and AMFI, on a monthly basis, for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units   |
|--|
| Product Labeling and Risk-o-meter:   |
| The Risk-o-meter shall have following six levels of risk:<br>1. Low Risk   |
| 2. Low to Moderate Risk  |
| 3. Moderate Risk   |
| 4. Moderately High Risk  |
| 5. High Risk and   |
| 6. Very High Risk  |
| The evaluation of risk levels of a scheme shall be done in accordance with clause 17.4 of SEBI Master Circular dated June 27, 2024.  |
| Any change in risk-o-meter shall be communicated by way of Notice cum<br>Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall<br>be evaluated on a monthly basis and the risk-o-meter along with portfolio disclosure<br>shall be disclosed on the AMC website viz.<br><u>https://www.miraeassetmf.co.in/downloads/portfolio</u> as well as AMFI website<br>within 10 days from the close of each month. |
| The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website viz. <u>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/other-disclosure</u> and AMFI website.  |
| Further, in accordance with clause 5.16 of SEBI Master Circular dated June 27, 2024, the AMC shall disclose:   |
| a. risk-o-meter of the scheme wherever the performance of the scheme is disclosed;   |
| <ul><li>b. risk-o-meter of the scheme and benchmark wherever the performance of the scheme vis-à-vis that of the benchmark is disclosed.</li><li>c. scheme risk-o-meter, name of benchmark and risk-o-meter of benchmark while disclosing portfolio of the scheme.</li></ul>   |
| The Product Labelling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.  |
| Indicative Net Asset Value (iNAV)  |
| NAV i.e. the per unit NAV based on the current market value of the scheme portfolio during the trading hours of the scheme, will be disclosed on a continuous basis on NSE and BSE and will be updated within a maximum time lag of 15 seconds from underlying market.   |

## MIRAE ASSET NIFTY50 EQUAL WEIGHT ETF - APPLICATION FORM

An open-ended scheme replicating/tracking Nifty50 Equal Weight Total Return Index

Offer for Sale of Units at 1/100th value of the Nifty50 Equal Weight closing Index as on the date of allotment for applications received during the New Fund Offer period and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Creation Unit Size of the scheme 5,000 unit. NFO Dates: 30/04/2025 to 06/05/2025 Scheme re-opens on 12/05/2025

| MIRAE ASSET NIFTY50 EQUAL WEIGHT ETF  |  |  | 50 Equal Weight ETF<br>/estor who are seeking*   |   | Scheme Riskometer   | Scheme Benchmark:<br>Nifty50 Equal Weight Total Return Index<br>Benchmark Rickometer   |
|---|--|--|--|---|---|--|
| An open-ended scheme replicating/tracking Nifty50<br>Equal Weight Index<br>New Fund Offer open on : 30/04/2025<br>New Fund Offer closes on : 06/05/2025<br>The scheme shall be listed within 5 days from the  | Tot<br>Inv<br>Tot  | tal Return Index, s<br>vestment in securi<br>tal Return Index.<br>vestors should cons  | mmensurate with the performar<br>subject to tracking error.<br>ities constituting in Nifty50 Equ<br>sult their financial advisers                |   | Hoterate<br>High Right  | Madenauty<br>More and Antice and An |
| allotment on NSE & BSE Application No.:   | The  | e above Product La<br>ernal assessment of  | out the suitability of the product<br>belling assigned during the New F<br>f the scheme characteristics or mo<br>he actual investments are made. | und Offer (NFO) is based on del portfolioand the same may   | RISKOMETER<br>The risk of the scheme is Very High   | RESOURCE R   |
| Name & Broker Code/<br>ARN/RIA Code**   | Sub Brol<br>Agent ARN  |  | Sub Agent Code   | EUIN*   | Internal Code for AMC   | ISC Date Time Stamp<br>Reference No.   |
| *EUIN Declaration: Declaration for "Execution Only<br>EUIN box has been intentionally left blank by me/us a   | " Transaction (where B   | Employee Uniq  | ue Identification Number-E   | UIN* box is left blank). Please   | refer instruction 12 for complete de  | tails on EUIN. I/We hereby confirm that the  |
| advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our in  | employee/relationship  | p manager/sale   | s person of the distributor/s  | ub broker. **RIA/Declaration  | : "I/We hereby give you my/our con  |  |
| Sign of 1st Applicant / Guardian / Auth. Signatory  |  |  | n of 2 <sup>nd</sup> Applicant / Guardia   |   | Sign of 3 <sup>d</sup> Applicant /  | Guardian / Auth. Signatory / PoA   |
| Sign of 1 <sup>st</sup> Applicant / Guardian / Auth. Signatory<br>TRANSACTION CHARGES (Please @<br>I AM A FIRST TIME INVESTOR IN ML<br>Applicable transaction charges will be dedu<br>registered Distributor) based on the investor   | JTUAL FUNDS<br>ucted in case your  | r distributor ł  | OR<br>nas opted for such cha   | I AM AN   |   |  |
| registered Distributor) based on the investor         1. EXISTING UNIT HOLDER INFORM         Folio No.  | ATION - (Please  |  |  |   |   | tion, provided the particulars should  |
|   |  |  | s per your demat accou   | nt. In case of mismatch, N  | ew Folio will be Allotted as per  | details given in the below Sections.   |
| 2. DEMAT ACCOUNT DETAILS - Man  | datory   |  |  |   |   | olio No. should be same as per<br>n the Depository Participant.  |
| National Securities Depository Limited  | (NSDL)   |  |  |   | rvices (India) Limited (CDS   | SL)  |
| 2. DEMAT ACCOUNT DETAILS - Man<br>National Securities Depository Limited<br>DP Name<br>DP ID I N Be   |  |  |  | DP Name   |   |  |
|   | enef. A/C No.  |  |  | 6 Digit A/C No.   |   |  |
| Enclosures - Please (  Client M.  APPLICANT(S) NAME AND INFOR   | asters List (CML)  |  |  | n Holding Statement   | Delivery Instruc  | ,  |
| 1 <sup>st</sup> SOLE APPLICANT Mr. / Ms. / M/s.   |  | Instruction  |  | plicant is minor, then  |   | latural / legal guardian   |
|   |  |  |  |   | PAN   |  |
| (Please write the name as per PAN Card)   |  |  |  |   |   |  |
| 3   |  |  |  |   |   |  |
| (Please write the name as per PAN Card) LEI Code for entities   |  |  |  | PIs indi  |   | for tax purpose / Resident of Canada   |
| (Please write the name as per PAN Card) LEI Code for entities CKYC ID No. (KIN)   |  |  |  | Pls indi  | Yes   | No <sup>s</sup> (\$Default if not <b>√ )</b>   |
| (Please write the name as per PAN Card) LEI Code for entities CKYC ID No. (KIN) GUARDIAN (In case 1st Applicant is a Mi   | nor)   |  |  | Pls indi  | Yes   | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)   |
| (Please write the name as per PAN Card)<br>LEI Code for entities<br>CKYC ID No. (KIN)<br>GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min   | nor)   |  |  | Pls indi<br>Pls indi<br>KYC (Please ✓)<br>Proof Attached  | Yes Relationsh  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC   | nor)   |  |  | KYC (Please ✔)  | Yes N<br>Relationsh<br>Mother<br>GUARDIAN<br>PAN  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)   |
| (Please write the name as per PAN Card)         LEI Code for entities         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:         POA / Custodian  | nor)   |  |  | KYC (Please ✓)<br>☐ Proof Attached  | Yes  Relationsh Mother  GUARDIAN PAN KY DA / Custodian  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)<br>Father Legal Guardian  |
| (Please write the name as per PAN Card)         LEI Code for entities         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:  |  | Name   |  | KYC (Please ✓)<br>☐ Proof Attached  | Yes N<br>Relationsh<br>Mother<br>GUARDIAN<br>PAN<br>KY  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)<br>Father Legal Guardian  |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor  |  |  |  | KYC (Please ✓)<br>☐ Proof Attached<br>Pt  | Yes N Relationsh Mother GUARDIAN PAN XY DA / Custodian PAN  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)<br>Father Legal Guardian  |
| (Please write the name as per PAN Card)         LEI Code for entities         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian Name:         Ontact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT Individual or  | TAILS<br>Non-Indivi  | All fields   |  | KYC (Please ✓)<br>Proof Attached  P(  Mandatory  Ownership (UBO) Decla  | Yes  Relationsh  Mother  GUARDIAN PAN  KY  DA / Custodian PAN Designation:  ration Form in section 11a &  | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         ✓       ✓  |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT       Individual or         *Date of Birth/ Incorporation       DM         (Individual)       (Non-Individual)         (Please write the Date of birth as per Aadhaar Care  | TAILS<br>Non-Indivi  | All fields i<br>idual [Please<br>Proof   | e II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant  | KYC (Please ✓)<br>Proof Attached  Proof Attached  Nandatory Ownership (UBO) Decla ase ✓ ) □ Birth ) □ Pass  | Yes N Relationsh Nother GUARDIAN PAN GUARDIAN PAN Costodian PAN Designation: Pation Form in section 11a & Certificate Screeport of the Minor  | No <sup>s</sup> (\$Default if not ✓)<br>ip with Minor (Please ✓)<br>Father Legal Guardian<br>(C (Please ✓) Proof Attached<br>11b - Refer Instruction No. 17]<br>nool Leaving Certificate / Mark She<br>ers (Please specify)  |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT       Individual or         *Date of Birth / Incorporation       Individual or         Place of Birth / Incorporation:       (Please write the Date of birth as per Aadhaar Care  | TAILS<br>D Non-Indivi<br>Y Y Y Y<br>d<br>Country of<br>Incorporati<br>d  | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:  | e II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant  | KYC (Please ✓)<br>Proof Attached  Proof Attached  Nandatory  Ownership (UBO) Decla ase ✓ ) □ Birth ) □ Pass Nationality:  | Yes N Relationsh Nother GUARDIAN AVA BA / Custodian PAN Designation: Certificate Scr sport of the Minor Oth Gender  | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         ✓       C (Please ✓)         Proof Attached         11b - Refer Instruction No. 17]         nool Leaving Certificate / Mark Shee         ers       (Please specify)         Male       Female       Othe   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:         (Individual) (No | TAILS<br>Country of<br>Incorporati<br>d<br>Prop NRI -  | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE   | e II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         )       Pass         Nationality:       State  | Yes N Relationsh Nother GUARDIAN AV A / Custodian PAN Designation: Certificate Scr sport of the Minor Gender Cociety/AOP/BOI Minor  | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         ✓       C (Please ✓)         Proof Attached         11b - Refer Instruction No. 17]         nool Leaving Certificate / Mark She         ers       (Please specify)         Male       Female       Othe         through Guardian       NRI - NRC   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN CKYC ID No. (KIN)         POA / Custodian Name:         Individual Name: | TAILS TAILS Country of Country of Incorporati d Prop NRI- ate Company P  | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE   | e II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         )       Pass         Nationality:       State  | Yes N Relationsh Nother GUARDIAN AVA BA / Custodian PAN Designation: Certificate Scr sport of the Minor Oth Gender  | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         ✓       C (Please ✓)         Proof Attached         11b - Refer Instruction No. 17]         nool Leaving Certificate / Mark She         ers       (Please specify)         Male       Female       Othe         through Guardian       NRI - NRC   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min Mr. / Ms. / M/s.         GUARDIAN (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT       Individual or         *Date of Birth / Incorporation       Incorporation:         (Please write the Date of birth as per Aadhaar Care         Place of Birth / Incorporation:       Incorporation:         (Please write the Date of birth as per Aadhaar Care  | TAILS TAILS Country of Country of Incorporati d Prop NRI- ate Company P N Portal (Mandate Priv   | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE   | e II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         )       Pass         Nationality:       State  | Yes N Relationsh Nother GUARDIAN GUARDIAN Custodian PAN Designation: Certificate Screetificate Scre | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         //C (Please ✓)       Proof Attached         //C (Please ✓)       Proof Attached         //C (Please ✓)       Proof Attached         //L - Refer Instruction No. 17]       Nool Leaving Certificate / Mark Sheers         (Please specify)       Male         Male       Female         Other       (Please specify)         Professional       Housewife   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN CKYC         ID No. (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT Individual or         *Date of Birth / Incorporation         (Individual)         (Please write the Date of birth as per Aadhaar Care         Place of Birth /         Incorporation:         (Please write the Date of birth as per Aadhaar Care         Type:       Resident Individual         NPO       Registration Number of DARPAN   | TAILS TAILS Country of Country of Incorporati d Prop NRI- ate Company P N Portal (Mandate Priv Bus                                     | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE<br>Tublic Ltd. Co<br>ory)<br>vate Sector<br>iness                   | a II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant<br>rust  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         Pass         Nationality:         FIIs       PIO         Sidicial Person       Partner         Government Servi         Proprietorship | Yes     N      Relationsh     Mother      GUARDIAN     PAN     GUARDIAN     PAN     Designation:      Certificate     Sch     port of the Minor     Gender      Society/AOP/BOI    Minor     ship Firm FOF - MF Scher      Cee    Student     Others_(Please  | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         C (Please ✓)       Proof Attached         'C (Please ✓)       Proof Attached         'I1b - Refer Instruction No. 17]       nool Leaving Certificate / Mark She         ers      (Please specify)         Male       Female       Other         through Guardian       NRI - NRO         mes       Other      (Please specify)         Professional       Housewife         specify)   |
| (Please write the name as per PAN Card)         LEI Code for entities         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN CKYC         ID No. (KIN)         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian Name:         POA / Custodian CKYC ID No. (KIN)         Contact Person for Corporate Investor         3.       FIRST APPLICANT AND KYC DE         1 <sup>st</sup> SOLE APPLICANT       Individual or         *Date of Birth / Incorporation         (Individual)       (Non-Individual)         (Please write the Date of birth as per Aadhaar Car         Place of Birth /         Incorporation:         (Please write the Date of birth as per Aadhaar Car         Type:       Resident Individual         Sole         HUF       LIsted Company         NPO       Registration Number of DARPAI         a*. Occupation Details [Please (✓)]  | TAILS TAILS Country of Country of Country of Incorporati d Prop NRI- ate Company P N Portal (Mandate Priv Bus s (Also applicable for   | All fields (<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE<br>Tublic Ltd. Co<br>ory)<br>vate Sector<br>iness                   | a II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant<br>rust  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         Pass         Nationality:         FIIs       PIO         Sidicial Person       Partner         Government Servi         Proprietorship | Yes N Relationsh Nother GUARDIAN AN GUARDIAN PAN GUARDIAN Cartificate Certificate Certifi | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardian         C (Please ✓)       Proof Attached         'C (Please ✓)       Proof Attached         'I1b - Refer Instruction No. 17]       nool Leaving Certificate / Mark She         ers      (Please specify)         Male       Female       Other         through Guardian       NRI - NRO         mes       Other      (Please specify)         Professional       Housewife         specify)   |
| (Please write the name as per PAN Card)         LEI Code for entities         CKYC ID No. (KIN)         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN (In case 1 <sup>st</sup> Applicant is a Min         Mr. / Ms. / M/s.         GUARDIAN CKYC         ID No. (KIN)         POA / Custodian Name:         POA / Social Applicant is a per Aadhaar Care         Place of Birth /         Incorporation:         (Please write t                           | TAILS TAILS Country of Incorporati d Country of Incorporati d Prop NRI- ate Company P N Portal (Mandate Priv Bus s (Also applicable fo | All fields<br>idual [Please<br>Proof<br>Birth /<br>ion:<br>NRE T<br>Tublic Ltd. Cc<br>ory)<br>rate Sector<br>siness<br>or authorised | a II Ultimate Beneficial<br>of Date of Birth (Ple<br>(For minor applicant<br>rust  | KYC (Please ✓)         Proof Attached         Proof Attached         Mandatory         Ownership (UBO) Decla         ase ✓ )       Birth         Pass         Nationality:         FIIs       PIO         Government Servi         Proprietorship         arta/Trustee/Whole time Dir   | Yes N Relationsh Nother GUARDIAN AN GUARDIAN PAN GUARDIAN Cartificate Certificate Certifi | No <sup>s</sup> (\$Default if not ✓)         ip with Minor (Please ✓)         Father       Legal Guardiar         ✓       C (Please ✓)         YC (Please ✓)       Proof Attached         11b - Refer Instruction No. 17]         nool Leaving Certificate / Mark She         ers       (Please specify)         Male       Female         Other       (Please specify)         Professional       Housewife         specify)  |

Money Lending / Pawning

None of the above

MIRAE ASSET | ETF

| a*. Occupation Details [Please tick (   b*. Politically Exposed Person (PEP) Status   l am PEP   l am Related to PEP   Not Applicable   c*. Gross Annual Income (₹) [Please tick (   (*) Net-worth ₹   as on   as on   (*) Net-worth ₹   (*) Net-worth €   (*) Net-worth €   (*  | 2 <sup>nd</sup> APPLIC           | APPLICANTS<br>ANT Mr. / Ms. / I<br>he name as per P | M/s.                        | (Not Ap                  |                     |                    |                   |                    |         |                    |                     | elds r               |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        | Ge                  | ende                  | r 🗌     | Ma      | lle     | F     | emale    | •             | Oth   |
|--|----------------------------------|---|-----------------------------|--------------------------|---------------------|--------------------|-------------------|--------------------|---------|--------------------|---------------------|----------------------|------------------|---------------------|---------------------|------------------|------------------|------------------|----------------------|----------------------|--------------------|--------------------|-------------------|------------------------|---------------------|-----------------------|---------|---------|---------|-------|----------|---------------|-------|
| Network Construction  Particle Starter  Particle Starter Partin Particle Starter             | PAN Details                      | 6   |                             |                          |                     |                    |                   |                    |         |                    | Pl                  | s indic              | ate if           | f US P              | ersor               | or a             | ı resi           | dent             | t for ta             | ax pu                | rpose              | / Res              | den               | t of C                 | anac                | la [                  | Y       | 'es     |         | No*   | (*Defa   | ault if       | not   |
| P. Occupation Details [Please tick (~)] Physics Becker Public Sector Crewennest Service Studers   P. Politically Exposed Person (PEP) Status In an PEP Is an Related to PEP Nutry political to the political  |                                  | o. (KIN)  |                             |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     | KY                  | 'C PI            | s 🗸              | ) (              | P                    | roof A               | Attach             | ied                | Da<br>(As         | a <b>te c</b><br>s per | <b>f Bir</b><br>PAN | <b>th</b> (M<br>Card) | /land   | atory   | ) D     | DI    | ΛM       | ΥY            | Y     |
| Occupation Defails [Please tick (* )]     Business     Retired     Agriculture     Proprietorship     Others     Potically Expensed Person (PEP) Status     There PEP     There are a retired to PEP     Not Applicable     Yores Annual Income (*) [Please tick (* / )]     Business     Agriculture     Yores Annual Income (*) [Please tick (* / )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (* / )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (* )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (* )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (* )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (* )]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (*)]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (*)]     Potically Person are a retident for tax purpose / Retifeet of Grands     Yores Annual Income (*) [Please tick (*)]     Below 1 Lath     Is Lath    S + 10 Lath     Yore (*) [Please tick (*)]     Below 1 Lath     Yore (*) [Please ti                | lace of Birt                     | h   |                             |                          |                     |                    |                   | Co                 | ountry  | / of E             | Birth               |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    | 1                  | latic             | nali                   | y:                  |                       |         |         |         |       |          |               |       |
| Cross Annual Income (1) [Please tick (*)] Below 1 Lukh 1 - 5 Lakha 5 - 10 Lakha 1 - 0 - 25 Lakha 2 - 25 Lakh            | *. Occupat                       | tion Details [P                                     | lease tic                   | :k (√)]                  | I                   |                    |                   |                    |         | ector              |                     |                      |                  | ector               |                     |                  |                  |                  |                      | it Ser               |                    |                    |                   |                        |                     | [                     |         |         |         |       |          | Hou<br>specif |       |
| Networth F       as on   | *. Politically                   | y Exposed Pers                                      | on (PEP)                    | Status                   | 5                   |                    |                   | lam                | PEP     |                    |                     | I am I               | Relat            | ted to              | PEP                 |                  | Not              | Арр              | licab                | le                   |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| International Service S  | . Gross A                        | nnual Income  | (₹) [Plea                   | ase tic                  | k (🗸                | )]                 |                   | Belo               | w 1 L   | .akh               |                     |                      |                  |                     |                     |                  |                  | 0 La             | akhs                 |                      |                    |                    | 10-2              | 25 La                  | akhs                | [                     | >       | •25 L   | akh:    | S     |          | > 1           | Cro   |
| AN Details Pla indicate if US Pennon or a resident for tax purpose / Resident of Canada \rightarrow No* (Poteful KYG Di No. (KIN) KYG Di No. (           |                                  | ANT Mr. / Ms. / I                                   |                             | (Not Ap                  | oplica              | ıble ir            | n case            | e of N             | /linor  | Appli              | cant)               | as o                 | n                | ) D                 | M                   | N                | <u>// `</u>      | Y                | Y                    | Y                    | Υ                  | (Not               | olde              | r tha                  |                     |                       |         | Ма      | le      | F     | emale    | •             | Ot    |
| acce of Birth Country             |                                  |   |                             |                          |                     |                    |                   |                    |         |                    | Pl                  | s indic              | ate if           | f US P              | ersor               | or a             | resi             | dent             | t for ta             | ax pu                | rpose              | / Res              | den               | t of C                 | anac                | a [                   | Y       | 'es     |         | No*   | (*Defa   | ault if       | not   |
| acc of Birth       Country of Birth       Nationality:       Prodessional       H         . Occupation Details (Please tick (/ ))       Provide Sector       Government Service       Proprietorship       Others       Prodessional       H         . Politically Exposed Person (PEP) Status       Iam PEP       Iam REP       Iam REP       Others       Proprietorship       Others       Propris       P  |                                  | o. (KIN)  |                             |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     | KY                  | 'C PI            | s 🗸              | ) [              | P                    | roof A               | Attach             | ied                | Da                | ate o                  |                     | th (M                 | /land   | atory   | ) D     | DI    | / M      | ΥY            | Y     |
| Occupation Details [Please tick (~)] Business Retired Agriculture Proprietorship Others  | ace of Birt                      | h   |                             | <u> </u>                 |                     |                    |                   | Co                 | ountry  | / of E             | Birth               |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    | 1                  |                   | · ·                    |                     | ouru)                 |         |         |         |       |          |               |       |
|  | *. Occupat                       | tion Details [P                                     | lease tic                   | :k (✔)]                  | I                   |                    |                   |                    |         | ector              |                     |                      |                  | ector               |                     |                  |                  |                  |                      | it Ser               | vice               |                    |                   |                        | orshi               | p [                   |         |         |         |       | lease    | Hou<br>specif |       |
| Networth      as on  | *. Politically                   | y Exposed Pers                                      | on (PEP)                    | Status                   | 6                   |                    |                   | lam                | PEP     |                    |                     | I am I               | Relat            | ted to              | PEP                 |                  | Not              | Арр              | licab                | le                   |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| MALING ADDRESS [Please provide your E-mail ID and Mobile Number to help us serve you better Refer instructions 6]      cal Address of 1* Applicant          City State Pin Code                          |                                  |   | (₹) [Plea                   | ase tic                  | k (√                | )]                 |                   | Belo               | w 1 L   | .akh               |                     |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         | •25 L   | akh:    | S     |          | > 1           | Croi  |
| City       State       Pin Code         al. Off.       Resi.       Mobile       Image: City       Resi.       Image: City       Image: City       Image: City       Resi: City       Image: City       <  |                                  |   | 6 [Pleas                    | e prov                   | vide y              | your               | E-m               | nail I             | D an    | d Mo               | bile                |                      |                  |                     |                     |                  |                  |                  |                      |                      | fer l              | · ·                |                   |                        |                     | /ear)                 |         |         |         |       |          |               |       |
| el. Off.  Resi. Mobile  Addiels No specified above belongs to Self or Family, due to Investor being(Please tick any one option from below.)  Guardian(for Minor Investment) Dependent Children Dependent Parents Dependent Parents Dependent Siblings  E - Mail <sup>AA</sup> Guardian(for Minor Investment) Dependent Children Dependent Parents Dependent Parents Dependent Siblings  Address is an address of the Bank: Core Banking A/c No. Address: Core Banking A/c No. Core Please attach a cancelled cheque Credit via NDETVALS - Mandatory (Refer Instruction Nos. 3 & 4) Credit via NDETVALS - Mandatory (Refer Instruction Nos. 3 & 4) Credit via NDETVALS - Complete information on Investment Details please refer to Instructions No. 6.) Conternet - Mirae Asset Nifty50 Equal Weight ETF ayment Type [Please (/)] Self (Non-Third Party Payment) Third Party Payment (Please attach Third Party Payment Declaration Form) Symett Mode [Please (/)] Self (Non-Third Party Payment) Third Party Payment (Please attach Third Party Payment Declaration Form) Symett Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty50 Equal Weight ETF Ayment Mode [Please (/)] Cheque(DD) Off More Manuer Core Mirae Asset Nifty Core   | ocal Addre                       | ss of 1 <sup>st</sup> Applica                       | nt                          |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| Indelie No specified above belongs to  |                                  |   |                             |                          |                     |                    |                   |                    | (       | City               |                     |                      |                  |                     |                     |                  | St               | tate             |                      |                      |                    |                    |                   |                        | F                   | in C                  | ode     |         |         |       |          |               |       |
| Spouse       Guardian(for Minor Investment)       Dependent Children       Dependent Parents       Dependent Siblings         E Mail^A   | əl. Off.                         |   |                             |                          |                     |                    |                   |                    |         |                    |                     |                      | Res              | i.                  |                     |                  |                  |                  |                      |                      |                    | Mobi               | le                |                        |                     |                       |         |         |         |       |          |               |       |
| E - Mail^A  Please Use Block Letter, Investors providing email ID would mandatority receive all Communications, Statement of Accounts and Abridged Annual Report through e-mail only, Incase if please specified above belongs to Setf or Family, due to Investor being(Please tick any one option from below.)  Spoule Guardian(for Minor Investment) Dependent Children Dependent Parents Dependent Siblings  MANDATORY FOR NRI / FLL APPLICANT [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is pr verseas Correspondence Address  7. SANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4] Iame of the Bank: Icore Banking A/c No.  7. SANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4] Iame of the Bank: Icore Banking A/c No.  7. SANK ACCOUNT DETAILS - Complete Information on Investment Details please refer to Instructions No. 6.) Scheme - Mirae Asset Nifty50 Equal Weight ETF ayment Type [Please (/)] Set (Non-Third Party Payment)  7. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.  9. NOMINATION DETAILS - The Nomination Details will be as i            |                                  |   | -                           |                          |                     | -                  |                   |                    |         |                    |                     |                      | k ang            |                     |                     |                  |                  |                  |                      |                      | Dop                | ondor              | + 01              | aling                  |                     |                       |         |         |         |       |          |               |       |
| copies are required kindly refer instruction no. <sup>6</sup> (g) Compared for the description of the formal address specified above belongs to Self of Pamily, due to Investor being(Please tick any one option from below) Spouse Guardian(for Minor Investment) Dependent Children Dependent Parents Dependent Siblings Ge, MANDATORY FOR NRI/FLL APPLICANT (Please provide Full Address, P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is pr verseas Correspondence Address 7. BANK ACCOUNT DETAILS - Mandatory (Refer Instruction Nos. 3 & 4) tame of the Bank: Core Banking A/c No. A/c. Type Pis. (/) NRE CURRENT SAVINGS NRO transformed to the State: Pin Code Please attach a cancelled cheque IFSC Code (Mandatory for Credit via NEFT/RTGS) Solution So |                                  |   |                             |                          |                     |                    |                   |                    |         |                    | IIIure              |                      |                  |                     |                     |                  |                  | nis              |                      |                      | Dep                | ender              | 1 31              | Jing                   | 5                   |                       |         |         |         |       |          |               | 7     |
| Spouse Guardian(for Minor Investment) Dependent Children Dependent Parents Dependent Siblings   Som MANDATORY FOR NRI / FLL APPLICANT (Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address Is proverseas Correspondence Address   7. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]   Iame of the Bank:   core Banking A/c No.   A/c. Type Pls. (*)   Name:   Address:   tank Branch City:   State:   ICR Code   OPR a clear pholo copy of a cheque   ITSC Code (Mandatory for Code)   Sol INVESTMENT AND PAYMENT DETAILS (For complete information on Investment Details please refer to Instructions No. 6.)   Scheme - Mirae Asset Nifty50 Equal Weight ETF   ayment Type [Please (*)]   Self (Non-Third Party Payment)   Third Party Payment Declaration Form)   ayment Mode [Please (*)]   Cheque / DD / UMRN No. / UTR No. & Date   ArGS / NEFT in figures (Rs.)   DAMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.   PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS   Nominee(s) Name   ODMMYYY   2  |                                  |   |                             |                          |                     |                    | ) wou             | uld m              | andat   | orily              | receiv              | ve all C             | Comr             | nunica              | tions               | Stat             | teme             | nt of            | f Acco               | ounts                | and A              | Abridge            | ed A              | nnua                   | l Rep               | ort th                | roug    | h e-n   | nail c  | only. | ncase    | if ph         | iysic |
| Sa.       MANDATORY FOR NRI / FLL APPLICANT [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is proverseas Correspondence Address         7.       BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]         Iame of the Bank:  | Email addres                     | ss specified abo                                    | ve belong                   | s to 🗆 :                 | Self o              | or Far             | · · ·             |                    |         |                    |                     |                      | e ticł           |                     |                     |                  |                  |                  | ow.)                 | _                    | D                  |                    |                   | L. 1                   | _                   |                       |         |         |         |       |          |               |       |
| 7. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]         lame of the Bank:         core Banking A/c No.       A/c. Type Pls. (*) NRE CURRENT SAVINGS NRO         tranch Name:       Address:         tranch Name:       Please attach a cancelled cheque<br>OR a clear photo copy of a cheque<br>Credit via NEFT/RTGS)       Pin Code         NIVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6.)       Scheme - Mirae Asset Nifty50 Equal Weight ETF         ayment Mode [Please (\]]       Self (Non-Third Party Payment)       Third Party Payment (Please attach Third Party Payment Declaration Form')         ayment Mode [Please (\]]       Self (Non-Third Party Payment)       Third Party Payment (Please attach Third Party Payment Declaration Form')         ayment Mode [Please (\]]       Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD /<br>RTGS / NEFT in figures (Rs.)       DD Charges,<br>if any       Net Purchase<br>Amount       Drawn on Bank /<br>Branch       Pay-In Bank<br>(For Cheque         9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS       OR       I/WE DO NOT WISH TO NOMINATE         No. <td></td> <td></td> <td>· ·</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>is. P</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>be s</td> <td></td> <td>_</td> <td></td> <td></td> <td></td> <td></td> <td>esto</td> <td>rs, lı</td> <td>ndia</td> <td>n Ad</td> <td>dre</td> <td>ss is</td> <td>prefe</td> <td>erre</td>  |                                  |   | · ·                         |                          |                     |                    |                   |                    |         |                    |                     |                      | is. P            |                     |                     |                  |                  |                  | be s                 |                      | _                  |                    |                   |                        |                     | esto                  | rs, lı  | ndia    | n Ad    | dre   | ss is    | prefe         | erre  |
| Iame of the Bank:       A/c. Type Pis. (*) NRE CURRENT SAVINGS NRO         Branch Name:       Address:         Bank Branch City:       State:         Pin Code       Piease attach a cancelled cheque<br>OR a clear photo copy of a cheque       IFSC Code (Mandatory for<br>Credit via NEFT/RTGS)         8. INVESTMENT AND PAYMENT DETAILS (For complete information on Investment Details please refer to Instructions No. 6.)         Scheme - Mirae Asset Nifty50 Equal Weight ETF         tayment Type [Please (~)]       Self (Non-Third Party Payment)         Third Party Payment (Please attach Third Party Payment Declaration Form!)         ayment Type [Please (~)]       Cheque/DD         Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD /<br>RTGS / NEFT in figures (Rs.)       DD Charges,<br>if any       Net Purchase<br>Amount       Drawn on Bank /<br>Branch       Pay-In Bank<br>(For Cheque         9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Please EGISTER MY/OUR NOMINEE AS PER BELOW DETAILS<br>OR       IWE DO NOT WISH TO NOMINATE         No.       Nominee(s) Name       Date of Birth<br>(in case of Minor)       Name of the Guardian<br>(in case of Minor)       Relationship<br>% of<br>Signature of Nominee / Guardia<br>(Preferred but not Mandatory)         1       DDMMYYYY       Image of Minor)   | )verseas Co                      | orrespondence                                       | Address                     |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| Core Banking A/c No.       A/c. Type Pls. (*) NRE CURRENT SAVINGS NRO         Granch Name:       Address:         Bank Branch City:       State:         Please attach a cancelled cheque<br>(MCR Code)       Please attach a cancelled cheque<br>(Credit via NEFT/RTGS)         MICR Code       Please attach a cancelled cheque<br>(Credit via NEFT/RTGS)         MINUESTMENT AND PAYMENT DETAILS (For complete information on Investment Details please refer to Instructions No. 6.)         Scheme - Mirace Asset Nifty50 Equal Weight ETF         Payment Type [Please (*)]       Self (Nor-Third Party Payment)         Third Party Payment (Please attach 'Third Party Payment Declaration Form')         Payment Mode [Please (*)]       Cheque/DD         Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD /<br>Amount of Cheque / DD /<br>RTGS / NEFT in figures (Rs.)       DD Charges,<br>if any       Net Purchase<br>Amount       Drawn on Bank /<br>Branch       Pay-In Bank<br>(For Cheque         9.       NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Signature of Nominee / Guardia<br>(Prefered but not Mandatory)         1       Date of Birth<br>(in case of Minor)       Name of the Guardian<br>(in case of Minor)       Relationship       % of<br>Share       Signature of Nominee / Guardia<br>(Prefered but not Mandatory)         1       DDMMYYYY       DDMMYYY       DOMMYYY       DOMMYYY       DOMMYYY  | 7. BANK                          | ACCOUNT DE  | TAILS -                     | Manda                    | atory               | / [Re              | fer Ir            | nstru              | ictio   | n No               | s. 3 8              | 4]                   |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| Branch Name:       Address:         Stanch Name:       Address:         Stanch Name:       State:       Pin Code         Stank Branch City:       State:       Pin Code         MICR Code       Please attach a cancelled cheque<br>OR a clear photo copy of a cheque       IFSC Code (Mandatory for<br>Credit via NEFT/RTGS)         8.       INVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6. )         Scheme - Mirae Asset Nifty50 Equal Weight ETF         Tayment Type [Please (~)]       Self (Non-Third Party Payment)       Third Party Payment ( Please attach 'Third Party Payment Declaration Form')         ayment Mode [Please (~)]       Otheque/DD       OTM (ow Time Mendel)       RTGS/NEFT       Core Banking A/c No.       Drawn on Bank / Pay-In Bank<br>Branch       Pay-In Bank<br>(For Cheque         9.       NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Drawn on Bank / For Cheque         9.       NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Signature of Nominee / Guardia<br>(Preferred but not Mandatory)         1       Date of Birth<br>(in case of Minor)       Name of the Guardian<br>(in case of Minor)       Relationship       Signature of Nominee / Guardia<br>(Preferred but not Mandatory)         1       DDMMYYYY       DomMYYY       DomMYYY<  | lame of th                       | e Bank:   |                             |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
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| MICR Code       Please attach a cancelled cheque<br>OR a clear photo copy of a cheque       IFSC Code (Mandatory for<br>Credit via NEFT/RTGS)         8. INVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6. )         Scheme - Mirae Asset Nifty50 Equal Weight ETF         rayment Type [Please (~)]       Self (Non-Third Party Payment)         Third Party Payment ( Please attach 'Third Party Payment Declaration Form')         rayment Mode [Please (~)]       Cheque/DD         OTM (one Time Mandato)       RTGS/NEFT         Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD /<br>RTGS / NEFT in figures (Rs.)       DD Charges,<br>if any       Net Purchase<br>Amount       Drawn on Bank /<br>Branch       Pay-In Bank<br>(For Cheque         9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS<br>(in case of Minor)       OR       //WE DO NOT WISH TO NOMINATE         No.       Nominee(s) Name       Date of Birth<br>(in case of Minor)       Name of the Guardian<br>(in case of Minor)       Relationship       % of<br>Share       Signature of Nominee / Guardian<br>(Preferred but not Mandatory)         1       DDMMYYYY       IDMMYYYY       IDMMYYYY       IDMMYYYY       IVE       IDMMYYYY   |                                  |   |                             |                          |                     |                    |                   |                    |         |                    |                     | ss:                  |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| 8. INVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6. )         8. INVESTMENT AND PAYMENT DETAILS ( For complete information on Investment Details please refer to Instructions No. 6. )         Scheme - Mirae Asset Nifty50 Equal Weight ETF         rayment Type [Please (~ )]       Self (Non-Third Party Payment)         Third Party Payment ( Please attach 'Third Party Payment Declaration Form')         'ayment Mode [Please (~ )]       Cheque/DD         OTM (one Twe Mardels)       RTGS/NEFT         Core Banking A/c No.       Drawn on Bank / Pay-In Bank         Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD / RTGS / NEFT in figures (Rs.)       DD Charges, if any       Net Purchase Amount       Pravn on Bank / Pay-In Bank (For Cheque / DD / RTGS / NEFT in figures (Rs.)         9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS       OR       //WE DO NOT WISH TO NOMINATE         No.       Nominee(s) Name       Date of Birth (in case of Minor)       Name of the Guardian (in case of Minor)       Signature of Nominee / Guardian (Preferred but not Mandatory)         1       DDMMMYYYY       DDMMMYYYY       DDMMMYYYY       2       3   |                                  | -   |                             |                          |                     |                    |                   | DI                 | 0250    |                    |                     | ancel                |                  | choqu               | 0                   | IES              | sc (             | `ode             | р (Ма                | andat                | ory f              | or [               |                   |                        | P                   | in C                  | ode     |         |         | _     |          |               | 1     |
| Scheme - Mirae Asset Nifty50 Equal Weight ETF         ayment Type [Please (<)]   |                                  |   |                             |                          |                     |                    |                   | O                  | Rac     | lear p             | ohoto               | сору                 | of a             | cheq                | ue                  | Cr               | edit             | via N            | NÈFI                 | r/rto                | GŚ)                |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
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| Cheque / DD / UMRN No. / UTR No. & Date       Amount of Cheque / DD /<br>RTGS / NEFT in figures (Rs.)       DD Charges,<br>if any       Net Purchase<br>Amount       Drawn on Bank /<br>Branch       Pay-In Bank<br>(For Cheque)         9. NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Please REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS       OR       I/WE DO NOT WISH TO NOMINATE         No.       Nominee(s) Name       Date of Birth<br>(in case of Minor)       Name of the Guardian<br>(in case of Minor)       Relationship       % of<br>Share       Signature of Nominee / Guardiar<br>(Preferred but not Mandatory)         1       DD/MM/YYYY       Image: Signature of Nominee / Share       3   |                                  |   |                             |                          |                     |                    |                   |                    | -       |                    |                     | [                    |                  | Third               | Party               | Pay              | men              | t (P             | lease                | e attac              | ch 'Th             | ird Pa             | rty F             | aym                    | ent D               | eclar                 | atior   | Forr    | n')     |       |          |               |       |
| Cheque / DD / UMRN No. / OTR No. & Date       RTGS / NEFT in figures (Rs.)       if any       Amount       Branch       (For Cheque         9.       NOMINATION DETAILS - The Nomination Details will be as is Registered with Depository Participant for this application.       Image: Cheque (Point Cheque  | ayment Mo                        | de [Please (🗸 )                                     | Ch                          | ieque/D                  | D                   |                    | OTM (             | One Time           |         |                    |                     |                      |                  |                     | Core E              |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| Date of Birth       Name of the Guardian       Relationship       % of Share       Signature of Nominee / Guardian (Preferred but not Mandatory)         1       DDMM/YYYY       DDMM/YYYY       Image: Comparison of the Guardian (In case of Minor)       Image: Comparison of the Guardian (In case of Minor)       % of Share       Signature of Nominee / Guardian (Preferred but not Mandatory)         2       DD/M/YYYY       Image: Comparison of the Guardian (In case of Minor)       Image: Comparison of the Guardian (Preferred but not Mandatory)   | Cheq                             | jue / DD / UMR                                      | N No. / I                   | UTR N                    | 0. &                | Date               | •                 |                    |         |                    |                     |                      |                  |                     | .)                  |                  |                  |                  |                      | ,                    | N                  |                    |                   |                        | Dr                  |                       |         |         |         |       |          |               |       |
| No.     Name of Birth<br>(in case of Minor)     Name of the Guardian<br>(in case of Minor)     Relationship     % of<br>Share     Signature of Nominee / Guardian<br>(Preferred but not Mandatory)       1     DD/M/YYYY     DD/M/YYYY     Image: Comparison of Comparison   | 9. NOMI                          | NATION DETA   | ILS - Th                    | e Nom                    | ninat               | ion l              | Deta              | ils w              | vill be | e as               | is Re               | giste                | red              | with                | Depo                | sito             | ory F            | Parti            | icipa                | int fo               | r thi              | s app              | lica              | tion                   |                     |                       |         |         |         |       |          |               |       |
| No.     Nominee(s) Name     (in case of Minor)     (in case of Minor)     Relationship     Stare     (Preferred but not Mandatory)       1     DD/MM/YYY     DD/MM/YYY     Image: Comparison of the start of the s   | PLEAS                            | E REGISTER I  | /IY/OUR                     | NOMI                     | NEE                 | ASI                |                   |                    |         |                    | AILS                | Nom                  | f                | -                   |                     | lion             |                  | I/W              | E DO                 | D NO                 | тw                 |                    |                   | OMI                    |                     |                       | ra o    | fNo     | min     | 00/   | Guar     | lian          |       |
| 2 DD/MM/YYYY   |                                  | Nominee   | (s) Nam                     | e                        |                     | (                  | in ca             | ise o              | f Min   |                    |                     |                      |                  |                     |                     |                  |                  | R                | lelati               | onsh                 | ip                 |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
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|  |                                  |   |                             |                          |                     |                    |                   |                    |         |                    |                     |                      |                  |                     |                     |                  |                  |                  |                      |                      |                    |                    |                   |                        |                     |                       |         |         |         |       |          |               |       |
| We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of a count holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.   | We hereby con<br>count holder(s) | firm that I / We do no<br>, my / our legal heirs    | wish to app<br>vould need t | ioint any r<br>to submit | nomine<br>all the r | e(s) fo<br>requisi | r my m<br>te docu | utual fi<br>uments | und uni | ts held<br>I by Co | in my /<br>urt or o | our mut<br>ther sucl | ual fur<br>h com | nd folio a petent a | and und<br>uthority | erstar<br>, base | nd the<br>d on t | issues<br>he val | s involv<br>lue of a | /ed in n<br>issets h | on app<br>eld in 1 | ointmen<br>he mutu | t of no<br>al fun | minee<br>d folio       | (s) and             | l furthe              | r are a | aware t | that in | case  | of death | of all t      | he    |

## FOR NON-INDIVIDUALS ONLY

| 10. <mark>F</mark> /   | ATCA & CRS DETAILS   |                 |             |              |             |                     |   |           |               |            |              | FCA &     | CRS cla      | assifica                       | ition)      |            |       |          |            |           |          |         |
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| PART                   | A To be filled by Fir  | nancial Ins     | stitutio    | ons or Di    | rect R      | eportir             | ig Non Fii  | nancia    | I Entity      | (NFEs      | )            |           |              |                                |             |            |       |          |            |           |          |         |
| We ar<br>Finan         | re a,<br>icial institution 🔲                                       | GIIN            | e: If you d | o not have a | GIIN but y  | /ou are sp          | ionsered by an  | other ent | ity, please p | provide yo | ur sponsor's | GIIN abov | /e and indic | ate your sp                    | onsor's na  | Ime below  |       |          |            |           |          |         |
|                        | t reporting NFE □<br>se tick (✔)]                                  |                 |             | nsoring      |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
| GIIN                   | not available [Please  | tick (√)]       |             | Applied      | for         | 🗌 No                | t required  | to app    | ly for - p    | lease      | specify 2    | digits    | sub-cate     | gory                           |             |            | N     | ot obta  | ined - N   | lon-part  | cipatin  | ng Fl   |
| PART                   | B (please fill any or  | ne as appr      | opriat      | e "to be     | filled k    | y NFE               | s other th  | en Di     | rect Re       | porting    | NFEs")       | -         |              |                                |             | 1          |       |          |            |           |          |         |
| 1                      | Is the Entity a publi  | cly traded      | comp        | any          |             |                     | Yes (If   | yes, ple  | ease spec     | cify any o | one stock    | exchang   | je on whic   | ch the sto                     | ock is reg  | ularly tra | ided) | )        |            |           |          |         |
|                        | (that is, a company traded on an establ                            |                 |             |              |             |                     | Name of stock exchange:   |           |               |            |              |           |              |                                |             |            |       | _        |            |           |          |         |
| 2                      | Is the Entity a relate traded company (a                           |                 |             |              |             |                     | Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        | regularly traded on  |                 |             |              |             | (et)                | Name of Listed compnay:   |           |               |            |              |           |              |                                |             |            |       | -        |            |           |          |         |
|                        |  |                 |             |              |             |                     | Nature of relation 🗌 Subsidiary of the Listed Company or 🗌 Controlled by a Listed Company                             |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 | Name of s   | ock exc      | hange:      |                     |   |           |               |            |              |           |              |                                |             |            |       | -        |            |           |          |         |
| 3                      | Is the Entity an activ   | ve NFE          |             |              |             |                     | 🗌 Yes (If   | yes, ple  | ease fill U   | BO decl    | aration in   | the next  | section.)    |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     | Nature of I   | Busines   | s:            |            |              |           |              |                                |             |            |       |          |            |           |          | _       |
|                        |  |                 |             |              |             |                     | Please sp   | ecify the | e sub-cate    | egory of   | Active NF    | E         | N            | lention c                      | ode: Ret    | er instruc | ction | 15(c)    |            |           |          |         |
| 4                      | Is the Entity an Pas   |                 | Yes (If     | yes, ple     | ease fill U | BO dec              | aration in  | the next  | section.)     |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     | Nature of I   | Busines   | s:            |            |              |           |              |                                |             |            |       |          |            |           |          | _       |
|                        |  |                 |             |              |             |                     |   |           |               |            | n no. 15     |           |              |                                |             |            |       |          |            |           |          |         |
| # If pass              | ive NFE, please provide bel  | ow additiona    | I details.  | . (Please at | -           |                     |   |           |               |            |              | ory detai | Is if the U  | BO does                        | not have    | a PAN. (F  | Refer | Instruc  | tion No.   | 16)       |          |         |
|                        | Any other Identification N<br>D, Govt. ID, Driving Licence NREGA J |                 | Aadhaar, Pa | assport,     |             | Occupational        | tion Type: S<br>ity:  | ervice,   | Business      | , Others   |              |           |              | OB: Dat                        |             |            |       |          |            |           |          |         |
| City of                | Birth - Country of Birth   |                 |             |              | F           | ather's             | Name: Mar   | ndatory   | if PAN in     | not avai   | able         |           |              | Sender: N                      | Male, Fei   | male, Oth  | ner   |          |            |           |          |         |
| 1. PAN                 | : 000  |                 |             |              |             |                     | supation Type:  |           |               |            |              |           |              | ate of B                       | irth:       |            |       |          |            |           |          |         |
|                        | of Birth   |                 |             |              |             |                     | onality:  |           |               |            |              |           |              | _                              | le 🗆        | Fen        | nale  | Othe     | r          |           |          |         |
| Cou                    | ntry of Birth:   |                 |             |              | '           | ather's             | Name:   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
| 2. PAN                 |  |                 |             |              | 0           | Occupa              | tion Type:  |           |               |            |              |           |              | Date of Birth:                 |             |            |       |          |            |           |          |         |
|                        | of Birth<br>ntry of Birth:   |                 |             |              |             | lational            | •   |           |               |            |              |           |              | Gender 🗌 Male 🗌 Female 🗌 Other |             |            |       |          |            |           |          |         |
|                        | -  |                 |             |              |             | ather's             |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
| 3. PAN                 |  |                 |             |              |             |                     | tion Type:  |           |               |            |              |           | C            | Date of Birth:                 |             |            |       |          |            |           |          |         |
|                        | of Birth<br>ntry of Birth:   |                 |             |              |             | lational<br>ather's | •   |           |               |            |              |           | G            | Gender 🗌 Male 🗌 Female 🗌 Other |             |            |       |          |            |           |          |         |
| #Additio<br>* To inclu | nal details to be filled by cont<br>Ide US, where controlling per  | son is a US c   | itizen or   | green card   | holder      |                     | -   | ship/Gre  | een Card i    | in any co  | untry other  | than Ind  | ia.          |                                |             |            |       |          |            |           |          |         |
|                        | e Tax Identification Number is                                     |                 |             |              |             |                     |   | or inc    | truction      | No. 1      | 7\*          |           |              |                                |             |            |       |          |            |           |          |         |
| *This dec              | ECLARATION FOR UI  | ompanies tha    | at are lis  | ted on any   | recogniz    | ed stoc             | k exchange  | or is a S | ubsidiary     | of such    | Listed Cor   |           |              |                                |             |            |       |          |            |           |          |         |
|                        | ), confirming ALL countries on<br>t and Auditor's Letter with rea  |                 |             |              |             |                     | ship and AL   | L Tax Id  | lentificatio  | n Numb     | ers for EA   | CH cont   | rolling per  | rson(s). C                     | )wner-do    | cumented   | 1 FFI | 's shou  | ld provid  | e FFI Owr | er Repo  | orting  |
| Our                    | company is a Listed Co   | ompany or       | a reco      | ognized s    | tock ex     | chang               | e in India /  | Subsi     | diary of      | a or Co    | ontrolled    | by a Li   | sted Co      | mpany [                        | If this cat | egory is s | elect | ed, no n | ieed to pr | ovide UBC | details] |         |
| Name of                | the Stock Exchange whe   | re it is listed | I           |              |             |                     |   |           |               |            |              |           |              |                                | Secu        | rity ISIN  |       |          |            |           |          |         |
|                        | the Listed Company (app<br>isted Company                           |                 |             |              | -           |                     | ):<br>associatio  | n / hod   | v of indiv    | viduale    |              | ublic Cl  | haritable    | Truct                          |             | ivate Tru  | unt . |          | Religiou   | o Truct   |          |         |
|                        |  |                 |             |              | Jiiiicoi    | porateo             | associatio  |           | y or man      | luuais     |              |           | anabie       | irust                          |             | ivate int  | 191   |          | Keligiou   | 5 must    |          |         |
|                        | st created by a Will.  |                 |             |              | Porce       | n(c)                | Sonior Ma   | nagin     | a Offici      | al dota    | ile          |           |              |                                |             |            |       |          |            |           |          |         |
|                        | our company/entity ha  |                 |             |              |             |                     |   |           |               |            |              | 01/0 46   | procort      | ibod the                       | oshold      | limit2 #   |       | Vac      |            | No        |          |         |
| -                      | - We hereby declare that the                                       |                 |             | •            |             |                     |   |           |               | -          |              |           |              |                                |             |            |       |          |            |           | low.     |         |
| lf 'NO' - c            | declare that no individual p                                       | -               |             |              |             | -                   | -   | -         |               |            |              |           |              |                                |             |            |       |          |            | -         |          | fficial |
| (SMO) a                | re provided below.   |                 |             |              |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |
|                        |  |                 |             |              |             |                     |   |           |               |            |              |           |              |                                |             |            |       |          |            |           |          |         |

Cheque/DD should be Drawn in favour of the Scheme Name Mirae Asset Nifty50 Equal Weight ETF

Application No.:

The detail of this page should be filled by Non-Individual investors only.

|  | UBO-1 / Senior Managing Official (SMO) | UBO-2  | UBO-3  |
|--|--|--|--|
| Name of the UBO / SMO#.  |  |  |  |
| UBO / SMO PAN#.<br>For Foreign National, TIN to<br>be provided]  |  |  |  |
| UBO / SMO Country of Tax<br>Residency#   |  |  |  |
| UBO / SMO Taxpayer Identification<br>Number / Equivalent ID Number#.   |  |  |  |
| UBO / SMO Identity Type  |  |  |  |
| UBO / SMO Place & Country<br>of Birth#   | Place of Birth<br>Country of Birth     | Place of Birth<br>Country of Birth   | Place of Birth<br>Country of Birth                               |
| UBO / SMO Nationality  |  |  |  |
| UBO / SMO Date of Birth<br>[dd-mmm-yyyy] #   |  |  |  |
| UBO / SMO PEP#   | I am PEP.                              | I am PEP.  | I am PEP.  |
| UBO / SMO Address Type   | Residence  Business Registered Office  | Residence<br>Business<br>Registered Office   | Residence  Business Registered Office                            |
| UBO / SMO Occupation   | Public Service                         | Public Service     Image: Constraint of the service       Private Service     Image: Constraint of the service       Business     Image: Constraint of the service       Others     Image: Constraint of the service | Public Service       Private Service       Business       Others |
| SMO Designation#   |  |  |  |
| UBO / SMO KYC Complied**.<br>If not complied, please complete KYC<br>process independently and then submit<br>the proof. | Please attach the KYC acknowledgement. | Please attach the KYC acknowledgement.   | Please attach the KYC acknowledgement.                           |

# Mandatory column.

\*\* In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country. Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

#### Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to: - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.

- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

#### D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

| . FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 15) |
|---|
|---|

11

nstructions

P

Read

Please

(FOR INDIVIDUALS & NON-INDIVIDUALS

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India? Yes No (If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

|  | 1 <sup>st</sup> Applicant  | (Sole / G  | uardian / Non-Individual)               |   | 2 <sup>nd</sup> A | Applicant                            |  | 3 <sup>rd</sup> Ap | oplicant                            |  |  |
|--|--|--|---|---|-------------------|--------------------------------------|--|--------------------|-------------------------------------|--|--|
|  | Do you have any nor<br>Country(ies) of Birth<br>Citizenship / Nationa<br>and Tax Residency | 1  | Yes No                                  | Do you have any no<br>Country(ies) of Birth<br>Citizenship / Nationa<br>and Tax Residency | 1                 | Yes No                               | Do you have any nor<br>Country(ies) of Birth<br>Citizenship / Nationa<br>and Tax Residency | 1                  | Yes No                              |  |  |
|  | Country of Birth /<br>Incorporation  |  |   | Country of Birth  |                   |                                      | Country of Birth   |                    |                                     |  |  |
|  | Country Citizenship<br>Nationality   |  |   | Country Citizenship<br>Nationality  | 1                 |                                      | Country Citizenship<br>Nationality   | I                  |                                     |  |  |
|  | Are you a US specifi<br>person?  | Are you a US specified Yes No Please provide Tax Payer Id. |   |   | ied               | Yes No Please provide Tax Payer Id.  | Are you a US specifi<br>person?  | ed                 | Yes No Please provide Tax Payer Id. |  |  |
|  | For non-Individual invo  | estor, in ca   | ase your country of incorporation / Tax | residence is US, but yo   | ou are not        | a specified US person then please me | ention exemption code  |                    | Refer instruction 15(e)             |  |  |
|  | Individual or Non-Ind<br>if ticked Yes above.  | dividual in  | vestors fill this section               | Individual investor have to fill in below details in case of joint applicants             |                   |                                      |  |                    |                                     |  |  |
|  |  | Countr   | y:                                      |   | Count             | ry:                                  |  | Country            | y:                                  |  |  |
| orrectly.  | Tax Residency<br>Status: 1   | No.:   |   | Tax Residency<br>Status: 1  | No.:              |                                      | Tax Residency<br>Status: 1   | No.:               |                                     |  |  |
| Form   |  | Туре:  |   |   | Туре:             |                                      |  | Туре:              |                                     |  |  |
| plication  |  | Countr   | y:                                      | Co  |                   | ry:                                  |  | Country            | y:                                  |  |  |
| te the Ap  | Tax Residency<br>Status: 2   | No.:   |   | Tax Residency<br>Status: 2  |                   |                                      |  | No.:               |                                     |  |  |
| r comple   |  | Туре:  |   |   | Туре:             |                                      |  | Туре:              |                                     |  |  |
| help you   |  | Countr   | y:                                      |   | Count             | ry:                                  |  | Country:           |                                     |  |  |
| ctions as given in KIM, to help you complete the Application Form Correctly. | Tax Residency<br>Status: 3   | No.:<br>Type:  |   | Tax Residency<br>Status: 3  | No.:              |                                      | Tax Residency<br>Status: 3   | No.:               |                                     |  |  |
| s given i  |  |  |   |   | Туре:             |                                      |  | Туре:              |                                     |  |  |
| ctions a   | Address Type   |  |   | Address Type Address Type   |                   |                                      |  |                    |                                     |  |  |

(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f)]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of mylour credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the equalatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of mylour transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that U/We have not been offered/communicated any endingende under the private different (MCC) the direction and construct (U/Aprilor the to private (U/Aprilor the langent or endingende under the update the details with the scheme is being recommended to indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. IWe have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India: - I/We confirm that I/We satisfy the Residency est as prescribed under FEMA provisions. I/We further declare that I/We and/are "Person Resident" in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall Invest into the Schene as per laws of Difference as per laws of Difference as a person regulations and person regulations in the spin regulations in the spin regulations and person regulation regulations an

| Signature of 1 <sup>st</sup> Applicant/Guardian/Authorised Signatory/PoA/Karta<br>(AS IN BANK RECORDS) | Signature of 2 <sup>rd</sup> Applicant/Guardian /Authorised Signatory/PoA<br>(AS IN BANK RECORDS) | Signature of 3 <sup>st</sup> Applicant/Guardian/Authorised Signatory/PoA<br>(AS IN BANK RECORDS) |
|--|---|--|
|  |   |  |

| Received Application from Mr. / Ms. / M/s. |   | as per details below:                   |
|--|---|---|
| Scheme Name and Plan                       | Payment Details   | Date & Stamp of Collection Centre / ISC |
| Mirae Asset Nifty50 Equal Weight ETF       | Amount (Rs)<br>Cheque / DD No.:<br>Dated<br>Bank & Branch |   |
|  |   | Cheque / DD is subject to realisation   |

(Mandatory for Trusts/Society)



| Investor Name |  |  |  |  |  |  |
|---------------|--|--|--|--|--|--|
| PAN           |  |  |  |  |  |  |

I/We hereby confirm that above stated entity / organization is falling under "**Non-profit Organization**" [NPO] which has been constituted for religious or charitable purpose referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the companies Act, 2013 (18 of 2013).

Enclosed relevant documentary proof evidencing the above defination.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

|--|--|--|

If not, please register immediately and confirm with the above information. In absence of receipt of the DARPAN portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and / or report to the relevant authorities as applicable.

I/We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

### Signature with relevant seal:

| Authorized Signatory | Authorized Signatory | Authorized Signatory |
|----------------------|----------------------|----------------------|
| Place:               |                      |                      |
| Date: / /            |                      |                      |

### INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

#### 1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

#### 2. Applicant Information

- (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF. (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must
- be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company. Body Corporate, Trust, Partnership, Society, Fill and other eligible non-individual application forms are liable to be rejected. Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected. (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKVC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form. Individual Investors:

Individual investors: (i) New individual investors: (ii) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) fran y new individual investor uses the old KRA KYC form, then such investor will be required to differ fill the new CKYC form or provide the missing / additional in formation using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can

- the Existing investors who have done the SEBIATC (KYC Inh 3 KRAS, OVL, NUML, DOTEA, KARY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any initimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Kfin technologies Ltd. or send an email to customercare@miraeasset.com.
- Customeracies and the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted the application. The POA document should contain the signature of both the application. The POA document should be contain the signature of both the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the application and the constituted Attorney. (f) (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MÓA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

#### 3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to the following documents of the new bank account:

a Cancelled original cheque of the new beam mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while directions to beams of Dename the statement in the authorized personnel. The AMC may also collect proof of Old Bank details while a statement of the statement in the statement of effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned. b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details

updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques

#### 4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account: (a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

(d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank

5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds: Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT DEFT. NEFT/RTGS

#### 6. Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
- Mindersset Mutual Fund (WMMF)/MMC billice of Authorised Could of Enterlief). Please method in the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not b) covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate
- from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the processed of the statement of th d) SID/SAI of the relevant scheme.
- For Direct Investments, please mention "Direct" in the column "Broker / Agent Code". Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases: Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.

Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions Custodian on behalf of an FII or a Client.

f) Physical Copies: Investors who requires physicals copies kindly reach us through email id: customercare@miraeasset.com Toll Free Number : 1800-2090-777.

#### 7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the
- Consolidation of account statement shall be obte on the basis of PAN. In case of induliple notion, it shall be PAN of the first holder and pattern of holding.
   The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unitholder(s) in whose folio(s) transaction(s) has/have taken place during the month.
   In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/March)] 4
- . Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.

5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail 5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any chances in the emit address thread for the mail and the AMC will application of the AMC will applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied application of the AMC will be applied to the application of the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the application of the AMC will be applied to the applicatio about any changes in the email address from time to time.

#### Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor.This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

9. Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application.

#### 10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application: 1. Broker code, if already printed on the forms must be struck off and countersigned by the investors

 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

#### 11. Transaction charges (TC)

In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription); (ii) Rs. 100/- from an existing mutual fund either by way of subscription and the subscription of the subscription in the subscription of th Index on who invests to the instantiate even in any induct of the billion by why of subscription, (iii) is. Other homan areasing include fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE SIAR MF, NSE NMFII, ICEX and Channel Partners Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

#### 12. Employee Unique Identification Number (EUIN):

Employee Unique Identification Number (EUIN): In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. It is further clarified that a request provide a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mean surface of EUIN will one of EUIN will be a sense where the the presentioner humans. mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

- 13. Units in Demat mode: All the units of the fund will be held in Dematerialized ('Demat') Form. the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Unit holders must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF. The DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details are matched with the DP data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders onting the units in the demat mode, can submit redemption/switch only through Dpc through stock to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.
- 14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly. AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. ACC represented to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclosure and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided. provided.

#### **INSTRUCTIONS**

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with The investment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existinginvestments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paulon tay in the US (7) Having Item of Nember and the information of US and the USA (1) Having Item of the Item taristic names de autocaristication in our construction of the second search of the second reading of the second seco

#### 15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. **Financial Institution (FI)**: The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of the securities market a securities market is located and that has a meaningful annual value of
- shares traded on the exchange). b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market. c. Active NFE : (is any one of the following):

| Code | Sub-category   |
|------|--|
| 01   | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50<br>percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the<br>production of passive income; |
| 02   | The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;  |

Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment turnese: 03 ehicle whose purpose is to ac ssets for investment purposes;

The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; 04

The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; 05

- The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; 06
- Institution; Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, four is league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets. The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of the fair market value of projectly which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a government at entity or other non-profit organization, or exclease to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely-c (1); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause (23E), and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act Network of the Act and the terminet of the Act and the terminet and the same aprovided in the said su 07
- 08 The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGAJob Card. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. e.

Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. (i) An organization exemptifrom tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of this agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment (xii) A trust exempt from tax under section 664 or described in section 584(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes). Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

the IRS

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity. The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company. The FFI does not maintain a financial account for any non participating FFI. The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following: • No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

  - of the Scheme of the Fund. For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected. In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

#### 17. Ultimate Beneficial Owner (UBO)

Uttimate Beneficial Owner (UBO) Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subscription owned subscription votes of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form. A AUltimate Beneficial Owner means:

I. For Investor other than Trust: A'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the

juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a company; (iii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights. agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant

#### natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular

CIR/MIRSD/11/2012 dated September 5, 2012. C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person (Investor), where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests], UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [If applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust hough a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent trai

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code : The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

# APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET NIFTY50 EQUAL WEIGHT ETF

ACKN

(AUTO SWITCH OUT APPLICATION FORM)



|   |   |  |   | Mutual  | Funa   |
|---|---|--|---|---|--|
| Name & Broker Code/<br>ARN/RIA Code   | Sub Broker /<br>Agent ARN Co  |  | EUIN*   | Internal Code for AMC   | ISC Date Time Stamp<br>Reference No.   |
|   |   |  |   |   |  |
| UN Declaration: Declaration for "Execution Only"<br>by has been intentionally left blank by me/us as this<br>-appropriateness, if any, provided by the employee<br>Jolings/NAV etc. in respect of my/our investments u  | transaction is executed with<br>e/relationship manager/sales  | out any interaction or advice by the em<br>s person of the distributor/sub broker.   | ployee/relationship manager/<br>RIA/Declaration: "I/We herel  | sales person of the above distributor/<br>by give you my/our consent to share/p   | n EUIN. I/We hereby confirm that the EUI sub broker or notwithstanding the advice provide the transactions data feed/portfol   |
|   |   |  |   |   |  |
| Sign of 1 <sup>st</sup> Applicant / Guardian / Auth. Signa  | itory / PoA / Karta   | Sign of 1 <sup>st</sup> Applicant / Guardian / Au  | th. Signatory / PoA / Karta   | Sign of 1 <sup>st</sup> Applicant / Guard   | dian / Auth. Signatory / PoA / Karta   |
| 1. EXISTING UNIT HOLDER INFORM  | ATION (The details in   |  |   | II apply for this application.)   |  |
| DIIO NO.:<br>2. ADDITIONAL PURCHASE IN EXIS   | TING SCHEME FROM  | Name of 1 <sup>st</sup> Unit Holder:(a<br>WHERE THE SWITCH OUT   |   | TENDED  |  |
| YC compliance status: Please (✔)  | 1 <sup>st</sup> Ap  |  | 2 <sup>nd</sup> Applicant   | 3 <sup>rd</sup> Applicant   |  |
| heme -  |   |  | Regular Plan  | Growth (Default)  |  |
| CW frequency is applicable only for Mirae Asse  |   |  |   | ion here will be Daily if frequency n   |  |
| come Distribution cum Capital Withdrawal. IDC   | W ^Frequency can be Daily   | y or Weekly or Monthly; If not selec   | ted Monthly will be consider  | ed as default, refer SID for more de  | etails   |
| ayment Type: Please (✓)   | Non-Third Party F   | Payment Dird Part  |   | ach 'Third Party Payment De   |  |
| ore Banking A/c No.:  | Amount of Cheque /  | DD / Net Purchase  |   |   | CURRENT SAVINGS NR   |
| Cheque / DD / UTR No. & Date  | RTGS / NEFT in figur  |  | Branch  |   | or Cheque Only)  |
|   |   |  |   |   |  |
| RANSACTION CHARGES (Refer Instri<br>ansaction charges, ₹100/- will be de  | ducted from the Purc  | hase amount and paid to th   | e distributor. Units sha  | all be allotted for the balance   | e amount only.   |
| 2a. DEMAT ACCOUNT DETAILS – Mandat<br>ational Securities Depository Li  |   |  |   | ioned as given in folio, matches<br>Services (India) Limited  |  |
| P Name:   |   |  | P Name:   | Services (maia) Emited  |  |
|   | eneficiary A/c No.  |  | Digit A/C No.   |   |  |
|   | ient Masters List (CML  |  | n cum Holding Stateme   | ent De  | elivery Instruction Slip (DIS)   |
| 3. SWITCH REQUEST - I WISH TO S   |   | ,<br>,   | U U   |   |  |
|   |   |  | Regular Plan  | Growth (Default)  | Payout DCW*  |
| rom Scheme -  |   |  | Direct Plan   |   | Reinvestment Frequency^  |
| DCW frequency is applicable only for Mirae Ass<br>acome Distribution cum Capital Withdrawal. IDC  |   |  |   |   |  |
| Amount (in figures) (₹):  |   | Or Units   | (in figures):   |   | Or All Units   |
| mount (in words) (₹):   |   |  |   |   |  |
| o Scheme: Mirae Asset Nifty50 E   | qual Weight ETF   |  |   |   |  |
| 4. DECLARATION AND SIGNATURES<br>o The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) I<br>gree to abide by the terms, conditions, rules and regulations  | Having read and understood the c<br>s governing the scheme. (B) I/We h  | contents of the SID of the Scheme applied fo<br>hereby declare that the amount invested in the   | r (Including the scheme(s) available<br>e scheme is through legitimate sou  | rces only and does not involve and is not de  | signed for the purpose of the contravention of an  |
| rovisions of the Income Tax Act, Anti Money Laundering La<br>sset Mutual Fund. (D) The information given in / with this<br>iformation/details with the AMC / Fund/Registrars and Trans.<br>We will indemnify the Fund, AMC, Trustee, RTA and other ir<br>orm of trail commission or any other mode), payable to him<br>idicative portfolio and/ or any indicative yield by the Fund/AI<br>We have read, understood and shall be bound by the term   | application form is true and corr<br>sfer Agent (RTA) from time to time<br>ntermediaries in case of any dispu<br>for the different competing Schem<br>MC/its distributor for this investme    | ect and further agrees to furnish additional<br>b. I/We hereby confirm that the AMC/Fund sh<br>ite regarding the eligibility, validity and autho-<br>es of various Mutual Funds from amongst w<br>nt. I/We have not received nor have been inn | information sought by Mirae Asse<br>all have the right to share my inform<br>rization of my/our transactions. (E)<br>which the Scheme is being recomm<br>duced by any rebate or gifts, directly | et Investment Managers' (India) Private Lim<br>nation and other details with the regulatory a<br>I/We further declare that "The ARN holder h<br>ended to me/us. (F) I/We hereby confirm tha<br>y or indirectly in making this investment. (G) | nited (AMC) / Fund and undertake to update th<br>and government authorities as and when needer<br>as disclosed to me/us all the commissions (in th<br>at I/We have not been offered/communicated an<br>Applicable to Investors availing the online facilit |
| RIA) through the registrar or otherwise. (I) Applicable to Fore<br>vest into the Scheme as per the said FEMA regulations and<br>tify the AMC, in which event the AMC reserves the right to<br>ponfirm that the information provided by me / us on this Form<br>ill be presumed that applicant is the ultimate beneficial own<br>as concealed the facts of beneficial ownership. I/We also u<br>adhaar: I/We hereby voluntarily submit Aadhar card to the F  | d other applicable laws and regula<br>redeem my / our investments in th<br>is true, correct, and complete. I / \<br>er, with no declaration to submit. I<br>indertake to keep you informed in | ations. (J) I / We confirm that I am / We are no<br>te Scheme(s). (K) FATCA/CRS Certification<br>We also confirm that I / We have read and ur<br>n such case, the concerned SEBI registered<br>writing about any changes/modification to I     | t United States person(s) under the<br>I I / We have understood the inform<br>derstood the FATCA& CRS Terms<br>intermediary reserves the right to r   | e laws of United States or resident(s) of Can<br>nation requirements of this Form (read along<br>and Conditions and hereby accept the same<br>eject the application or reverse the allotmen   | nada. In case of change to this status, I / We sh<br>g with the FATCA & CRS Instructions) and herel<br>e. In case the above information is not provided<br>nt of units, if subsequently it is found that applica   |
|   |   | ·  |   |   |  |
| Signature of 1 <sup>st</sup> Applicant/Guardian/Authorised S<br>(AS IN BANK RECORDS)  |   |  |   |   |  |
| Received Application from Mr.   | / Ms. / M/s   |  |   |   | as per details below:  |
| Scheme Name   |   |  | ent Details   | Date & Stamp  | of Collection Centre / ISC   |
| Received Application from Mr. / Ms. / M/s<br>Scheme Name and Plan<br>Mirae Asset Nifty50 Equal Weight ETF   |   | -  |   |   |  |
| Ac a state of the |   | Dated  |   |   |  |

Bank & Branch\_

Cheque / DD is subject to realisation

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID The application for Auto Switch will be processed on the closing day of the NFO.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.

5. Auto-Switch Transaction reported from Offshore FOF schemes will be process with NAV as per below mentioned table

5a. The acceptance of Auto-switch transaction cut off is as mentioned below:

| SCHEME NAME/ CATEGORY  | Last date of Acceptance of Auto Switch Transaction |  |  |
|--|--|--|--|
| MIRAE ASSET NYSE FANG + ETF Fund of Fund                                   | 02-MAY-2025 [CUTOFF 15:00 HOURS]                   |  |  |
| MIRAE ASSET S&P 500 TOP 50 ETF Fund of Fund                                | 02-MAY-2025 [CUTOFF 15:00 HOURS]                   |  |  |
| Mirae Asset Global X Artificial Intelligence & Technology ETF Fund of Fund | 02-MAY-2025 [CUTOFF 15:00 HOURS]                   |  |  |
| MIRAE ASSET HANG SENG TECH ETF Fund of Fund                                | 30-April-2025 [CUTOFF 15:00 HOURS]                 |  |  |
| Mirae Asset Global Electric & Autonomous Vehicles ETFs Fund of Fund        | 02-MAY-2025 [CUTOFF 15:00 HOURS]                   |  |  |
| Liquid and Debt Funds  | 06-May-2025 [CUTOFF 15:00 HOURS]                   |  |  |
| Equity Funds   | 06-May-2025 [CUTOFF 15:00 HOURS]                   |  |  |

6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.

- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 12. The Application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 13.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 14. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee/ relationship manager / sales person leave the employment of the distributor.