# Mirae Asset Mutual Fund

Trustee: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Investment Manager: Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625

## **Registered & Corporate Office:**

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098. **Tel. No.:** 022-678 00 300 Fax No.: 022- 6725 3940 - 47 **Website:** www.miraeassetmf.co.in E-mail: miraeasset@miraeassetmf.co.in

# KEY INFORMATION MEMORANDUM of MIRAE ASSET TAX SAVER FUND

An open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit

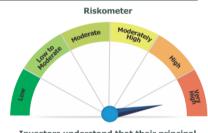
# Product Labelling\_

Mirae Asset Tax Saver Fund is suitable for investors who are seeking\*

\*Investors should consult their financial advisers if they are not clear about the suitability of the product

Growth of capital over long term

• Invests predominantly in equity and equity related instruments: ELSS with a 3 year lock in periods & tax benefits



Investors understand that their principal will be at Very High Risk

## Continuous Offer for units at NAV based prices.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investment Objective	The investment objective of the set from a diversified portfolio of pred Scheme does not guarantee or assur	ominantly equ	•		
Asset	Under normal circumstances, the as	set allocation	will be as follo	DWS:	
Allocation Pattern of the scheme	Types of Instruments		allocation al assets)	Risk Profile	
		Minimum	Maximum	High/Medium/Low	
	Equity and Equity Related Instruments*	80	100	High	
	Debt Instruments, Money Market Instruments, G-Secs, Cash, CBLO, Reverse Repo, etc.	0	20	Low to Medium	
	*Equity and Equity related instrum convertible preference shares, etc.	ents include c	onvertible deb	entures, equity warrants,	
	The funds collected under a plan s preference shares and fully convert may also be made in partly conver issued on rights basis subject to the portion of the debentures so acquire of 12 months.	ible debenture tible issues of condition that ed or subscribe	es and bonds o debentures an , as far as poss ed, shall be dis	f companies. Investment ad bonds including those sible, the non-convertible sinvested within a period	
	The Scheme shall not invest in derivative instruments, securitized debt and shall not engage into stock lending/short selling. All the investment shall be made subject to the guidelines which may be prescribed by the various regulatory authorities, Board of Directors of the Asset Management Company and Trustee Company.				
	In accordance with SEBI Circular the cumulative investments in the related instruments, debt and mone assets under management of the sch	e Fund includ ey market, if a	ling investmer	nt in equity and equity	
	The Scheme will not participate debentures, repo/reverse repo trans invest in foreign securities (includin into any credit default swaps.	sactions of Co	orporate Debt	Securities and shall not	
	Debt securities include, but are no India, State and Local Governmen Sector Undertakings, Public Sector Financial Institutions, Developme collateralized debt securities or permissible under the Regulations f	tts, Governme Banks or Privent Financial any other ins	nt Agencies, S vate Sector Ba Institutions, a struments as	Statutory Bodies, Public inks or any other Banks, and Corporate Entities,	
	The Debt Securities (including mo fixed rate or floating rate, listed, ut by regulation. The Scheme may als as permitted under Regulations / G in those debt securities that are r agency authorized to carry out suc	nlisted, private o invest in dep buidelines. The rated investme	ely placed, am posits of Sched e Investment M ent grade by a	ong others, as permitted luled Commercial Banks Manager will invest only a domestic credit rating	

	etc.
	Pending deployment of funds of a Scheme in securities in terms of investment objectives of the Scheme a mutual fund can invest the funds of the Scheme in short term deposits of scheduled commercial banks in terms of SEBI circular dated April 16, 2007, June 23, 2008 and August 16, 2019 and September 20, 2019.
	Further, the Scheme may, pending deployment of funds invest in units of money market/liquid schemes of Mirae Asset Mutual Fund and/or any other mutual fund. Such investments will be within the limits specified under SEBI (MF) Regulations. The AMC shall not charge any investment management fees with respect to such investment.
	Pending investment of funds of a plan in the required manner, the Mutual Fund may invest the funds in short-term money market instruments or other liquid instruments or both. After three years of the date of allotment of the units, the Mutual Fund may hold upto twenty per cent of net assets of the plan in short-term money market instruments and other liquid instruments to enable them to redeem investment of those unit holders who would seek to tender the units for repurchase.
	In accordance with the Equity Linked Savings Scheme (ELSS) guidelines, investments in equity and equity related instruments shall be to the extent of at least 80% of net assets of the scheme in equity and equity related instruments as specified above. The Scheme will strive to invest its funds in the manner stated above. However, in exceptional circumstances, this requirement may be dispensed with by the Scheme in order that the interest of the investors is protected.
	Subject to SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be for short term and for defensive consideration only. In the event of deviations, portfolio rebalancing will be carried out within 30 calendar days. Where the portfolio is not rebalanced within 30 calendar Days, justification for the same shall be placed before the Investment Committee and reasons for the same shall be recorded in writing. The Investment Committee shall then decide on the course of action. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme.
	Investments other than in equity will be made for managing liquidity in the normal market circumstances. The preferred instruments will be debt and money market instruments include commercial papers, commercial bills, treasury bills, Government securities, CBLO or notice money, certificate of deposit and any other like instruments as specified by Reserve Bank of India from time to time.
Investment Strategy	The Scheme will primarily invest in equity and equity related securities.
Strategy	Equity:
	For the equity portion, to the extent the fund invests in equity shares, the focus would be to build a diversified portfolio of strong growth companies, reflecting our most attractive investment ideas, at all points of time.
	The fund manager may not have any bias towards particular theme or style in picking

investment opportunities. However, the fund manager does have the flexibility to follow a focused approach on the investments.

The fund manager broadly analyses the macro economy, industry trends and business cycles. He will invest in companies that benefit from macroeconomic, industry and sectoral trends (Top down Theme Overlay) after doing bottom up analysis and due diligence, quality of management in terms of corporate governance and commitment to minority shareholders etc.

The universe of stocks will comprise majorly of companies having a robust business models, enjoying sustainable competitive advantages as compared to their competitors and have high return ratios.

The Fund Manager will try to have a large base of stocks in the portfolio to avoid concentration risk and liquidity risk. The Fund Managers will monitor the trading volumes in a particular stock before investment to avoid liquidity risk.

The Scheme will also invest in debt securities and money market instruments.

- The credit quality of the portfolio will be maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies.
- The investment team will primarily use a top down approach for taking interest rate view, sector allocation along with a bottom up approach for security/instrument selection.
- The bottom up approach will assess the quality of security/instrument (including the financial health of the issuer) as well as the liquidity of the security.
- Investments in debt instruments carry various risks such as interest rate risk, reinvestment risk, credit risk and liquidity risk etc. Whilst such risks cannot be eliminated, they may be minimized through diversification.

Risk is an inherent part of the investment function. Effective risk management is critical to fund management for achieving financial soundness. Investments by the Scheme shall be made as per the investment objective of the Scheme and provisions of SEBI (MF) Regulations. AMC has incorporated adequate safeguards to manage risk in the portfolio construction process. Risk control would involve managing risk in order to keep it in line with the investment objective of the Scheme. The risk control process involves identifying & measuring the risk through various Risk Measurement Tools like but not limited to calculating risk ratios, tracking error etc. The AMC has incorporated all the investment restrictions as per SEBI guidelines and "soft" warning alerts at appropriate levels for preemptive monitoring. The system enables identifying & measuring the risk through various risk ratios, average duration and analyzes the same so as to act in a preventive manner.

The risk control measures for managing the debt portion of the scheme are:

- 1. Monitoring risk adjusted returns performance of the fund with respect to its peers and its benchmark.
- 2. Tracking analysis of the fund on various risk parameters undertaken by independent fund research / rating agencies or analysts and take corrective measures if needed.
- 3. Credit analysis plays an important role at the time of purchase of bond and then at

	<ul> <li>the time of regular performance analysis. Our internal research anchors the credit analysis. Sources for credit analysis include Capital Line, CRISIL, ICRA updates etc. Debt ratios, financials, cash flows are analysed at regular intervals to take a call on the credit risk.</li> <li>4. We define individual limits for G Sec, money market instruments, MIBOR linked debentures and corporate bonds exposure, for diversification reasons.</li> <li>The Scheme does not propose to underwrite issuances of securities of other issuers. There will be no exposure to securitized debt securities in the portfolio.</li> </ul>
Risk Profile of the Scheme	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment. Some or all of these risks may adversely affect Scheme's NAV, yield, return and/or its ability to meet its objectives. As per the provision of lock-in under the ELSS Guidelines, the ability of Unitholders to realize returns in the Scheme is restricted for the first three years from the date of their allotment.
	The Scheme is subjected to risk factors associated with investments in Equity Investments, risks associated with Debt & Money Market Instruments like price/interest rate risk, credit risk, liquidity risk, reinvestment risk, pre-payment risk, credit risk, spread risk, concentration risk, etc., Risks associated with investing in Tri-Party Repo through CCIL (TREPS).
Risk Control measures	Risk is an inherent part of the investment function. Effective risk management is critical to fund management for achieving financial soundness. Investments by the Scheme shall be made as per the investment objective of the Scheme and provisions of SEBI (MF) Regulations. AMC has incorporated adequate safeguards to manage risk in the portfolio construction process. Risk control would involve managing risk in order to keep it in line with the investment objective of the Scheme. The risk control process involves identifying & measuring the risk through various Risk Measurement Tools like but not limited to calculating risk ratios, tracking error etc. The AMC has incorporated all the investment restrictions as per SEBI guidelines and "soft" warning alerts at appropriate levels for preemptive monitoring. The system enables identifying & measuring the risk through various risk ratios, average duration and analyzes the same so as to act in a preventive manner.
	<ol> <li>The risk control measures for managing the debt portion of the scheme are:</li> <li>Tracking analysis of Monitoring risk adjusted returns performance of the fund with respect to its peers and its benchmark.</li> <li>the fund on various risk parameters undertaken by independent fund research / rating agencies or analysts and take corrective measures if needed.</li> <li>Credit analysis plays an important role at the time of purchase of bond and then at the time of regular performance analysis. Our internal research anchors the credit analysis. Sources for credit analysis include Capital Line, CRISIL, ICRA updates etc. Debt ratios, financials, cash flows are analysed at regular intervals to take a call on the credit risk.</li> <li>We define individual limits for G Sec, money market instruments, MIBOR linked debentures and corporate bonds exposure, for diversification reasons.</li> </ol>

Plans & Options	<ul> <li>The Scheme will have Regular Plan and Direct Plan** with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription is made by indicating the choice in the application form.</li> <li>Each of the above Regular and Direct Plan under the scheme will have the following Options / Sub-options: (1) Growth Option and (2) Income Distribution cum capital withdrawal option (IDCW). The Income Distribution cum capital withdrawal option shall have 2 sub options: (a) Payout of Income Distribution cum capital withdrawal option ("Payout of IDCW") (b) Reinvestment of Income Distribution cum capital withdrawal option ("Reinvestment of IDCW").</li> <li>Investors subscribing under Direct Plan of the Scheme will have to indicate "Direct Plan" against the Scheme name in the application form i.e. "Mirae Asset Tax Saver Fund - Direct Plan". Treatment for investors based on the applications received is given in the table below:</li> </ul>				
	Scenario	Broker Code mentioned by the	Plan mentioned by the investor	Default Plan to be captured	
		investor			
	1	Not mentioned	Not mentioned	Direct Plan	
	2	Not mentioned	Direct	Direct Plan	
	3 4	Not mentioned Mentioned	Regular Direct	Direct Plan Direct Plan	
	5	Direct	Not Mentioned	Direct Plan	
	6	Direct	Regular	Direct Plan	
	7	Mentioned	Regular	Regular Plan	
	8	Mentioned	Not Mentioned	Regular Plan	
	the applica obtain the form from calendar da of applicati However, application	tion shall be process correct ARN code w the investor/ distribu- ays, the AMC shall re- on without any exit 1 the investors should is treated as a direct code, if already printe	note the following inst	The AMC shall of the receipt of the code is not received under Direct Plan from ructions for ensuri	contact and application d within 30 om the date ng that the
	<ul><li>2. Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA)</li><li>However, if the investor does not specify the application as "Direct" or otherwise, then</li></ul>				
	**DIRECT Scheme din investment	PLAN: Direct Plan rectly with the Mutua s through a Distribute		purchase /subscrib le for investors who	o route their
Applicable NAV (after the scheme opens for	all respect		which the Investor's Ap Official Points of Acc ss Day.		-
repurchase)	An applica	ation will be conside	ered accepted on a Busi	ness Day, subject	to it being

	complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an
	application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.
	Cut off timing for subscriptions/purchases/switch-ins:
	<ul> <li>i. In respect of valid applications received upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time-the closing NAV of the day shall be applicable.</li> <li>ii. In respect of valid applications received after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable.</li> </ul>
	<ul> <li>iii. Irrespective of the time of receipt of applications at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.</li> </ul>
	<ul><li>For Redemption/ Repurchases/Switch out:</li><li>i. In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.</li></ul>
	In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.
Minimum Amount for Application	<b>Purchase:</b> Rs. 500/- and in multiples of Rs. 500/- thereafter. <b>Additional Purchase:</b> Rs.500/- and in multiples of Re.500/- thereafter.
	AMC may revise the minimum/maximum amounts and the methodology for new/additional subscriptions, as and when necessary and subject to the ELSS Rules. Such change may be brought about after taking into account the cost structure for a transaction/account and /or Market practices and/or the interest of existing Unit holders and/or ELSS Rules. Further, such changes shall only be applicable to transactions from the date of such a change, on a prospective basis.
	<b>Redemption:</b> The minimum redemption amount shall be 'any amount' or 'any number of units' as requested by the investor at the time of redemption request. Redemption / switch out shall be subject to compulsory lock in period of 3 years.
Dispatch of Repurchase (Redemption) Request	The redemption or repurchase proceeds shall be dispatched to the unitholders within 10 working days from the date of redemption or repurchase.
Benchmark	Nifty 200 (TRI)
Index	
Dividend Policy	A dividend may be declared by the Trustee, at its discretion, from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations). Such dividends if declared will be paid under normal circumstances, only

Name of the Fund Manager Name of the Trustee Company	to those Unit holders who h guarantee to unit holders as to regularly paid. If the Fund de the dividend warrants within case the delay is beyond 15 d expiry of 15 days till the date Mr. Neelesh Surana Mirae Asset Trustee Company	the rate of eclares a div 15 days fro ays, then the of dispatch of	dividend dist idend under m the date o AMC shall of the warran	ribution nor the Scheme f declaration pay interest	will that dividend , AMC shall disp n of the dividend.
Scheme Performance	Particulars	Regular Pl Growth op		Direct Pla option	n – Growth
	Compounded Annualised Growth Returns (CAGR)	Scheme returns (%)	Benchma rk Returns (%)	Scheme returns (%)	Benchma rk Returns (%)
	Since Inception	19.41	13.59	21.16	13.59
	Last 1 year	86.01	74.61	88.9	74.61
	Last 3 years	17.64	12.99	19.42	12.99
	Last 5 years	21.19	15.01	22.95	15.01
	NAV as on 31/03/2021	25.42		27.44	
	Inception date of the Scheme: Graph depicting absolute re				
	60.00 49.80 50.00 40.00 35.21 30.00 10.00 -10.00 -1.14 2016 2017 2018 MATSF - Direct Bench	23.39 16.04 16.83 10.03 2019 2020 nmark			

	CY Returns		
	60.00		
	50.00 47.88		
	40.0035.21		
	30.00 21.54		
	20.00 <u>21.54</u> 20.00 <u>14.80</u> <u>14.07</u> <u>16.83</u> <u>10.03</u>		
	10.00 5.08		
	0.32		
	-10.00 -2.27		
	2016 2017 2018 2019 2020		
	MATSF - Regular Benchmark		
Expenses of the Scheme	<ul> <li>Past performance may or may not be sustained in a basis for comparison with other investments.</li> <li>Note: As per the SEBI standards for performance re Rs.10/- invested at inception. For this purpose the date of allotment. The calculations of returns shall a the then prevailing NAV. The absolute graph of Di of Allotment/1st Jan, as the case maybe, to 31st E year.</li> <li>Entry Load – N.A. In accordance with SEBI circular no. SEBI/IMD/C</li> </ul>	eporting, the returns are calculated inception date is deemed to assume reinvestment of all pay rect Plan is computed from the December of the respective find	ated on be the outs at e Date nancial
1.Load Structure	2009, no entry load will be charged by the Scheme load will be charged with respect to applications Investment Plan (SIP) / Systematic Transfer Plan with effect from August, 1, 2009. The upfront comm directly to the distributor, based on his assessme service rendered by the distributor.	e to the investor. Similarly, no s for registrations under Syst (STP) accepted by the Mutua mission shall be paid by the in	entry ematic I Fund ivestor
	Exit Load – Nil As per SEBI/IMD/CIR No. 14/120784/08 dated 1	March 18 2008 no load wo	uld be
	As per SEBI/IMD/CIR No. 14/120784/08 dated I	March 18, 2008, no load wo	uld be
		March 18, 2008, no load wo	uld be
2. Recurring expenses	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail	ily net assets of the Scheme Regulations, the maximum red visory fee that can be charged y net assets as in the table belo	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail <b>First Rs. 500 crores</b>	aily net assets of the Scheme vegulations, the maximum receives or the second s	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail <b>First Rs. 500 crores</b> <b>Next Rs. 250 crores</b>	ily net assets of the Scheme y Regulations, the maximum rec visory fee that can be charged y net assets as in the table belo 2.25% 2.00%	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail First Rs. 500 crores Next Rs. 250 crores Next Rs. 1250crores	ily net assets of the Scheme vegulations, the maximum received visory fee that can be charged y net assets as in the table beloved 2.25% 2.00% 1.75%	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail <b>First Rs. 500 crores</b> <b>Next Rs. 250 crores</b> <b>Next Rs. 1250crores</b> <b>Next Rs. 3000 crores</b>	ily net assets of the Scheme y Regulations, the maximum red visory fee that can be charged y net assets as in the table belo 2.25% 2.00% 1.75% 1.60%	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail First Rs. 500 crores Next Rs. 250 crores Next Rs. 1250crores Next Rs. 3000 crores Next Rs. 5000 crores	ily net assets of the Scheme y Regulations, the maximum rec visory fee that can be charged y net assets as in the table belo 2.25% 2.00% 1.75% 1.60% 1.50%	will be curring
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•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail First Rs. 500 crores Next Rs. 250 crores Next Rs. 1250crores Next Rs. 3000 crores Next Rs. 5000 crores	ily net assets of the Scheme vegulations, the maximum red visory fee that can be charged y net assets as in the table belo 2.25% 2.00% 1.75% 1.60% 1.50% Total expense ratio reduction of 0.05% for	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail First Rs. 500 crores Next Rs. 250 crores Next Rs. 1250crores Next Rs. 3000 crores Next Rs. 5000 crores Next Rs. 5000 crores On the next Rs. 40,000 crores of the daily net	ily net assets of the Scheme y Regulations, the maximum red visory fee that can be charged <u>y net assets as in the table belo</u> <u>2.25%</u> <u>2.00%</u> <u>1.75%</u> <u>1.60%</u> <u>1.50%</u> Total expense ratio reduction of 0.05% for every increase of Rs	will be curring
•	As per SEBI/IMD/CIR No. 14/120784/08 dated I charged on Bonus units. The AMC has estimated that upto 2.25% of the da charged to the scheme as expenses. As per the R expenses including investment management and ad Scheme shall be subject to a percentage limit of dail First Rs. 500 crores Next Rs. 250 crores Next Rs. 1250crores Next Rs. 3000 crores Next Rs. 5000 crores Next Rs. 5000 crores On the next Rs. 40,000 crores of the daily net	ily net assets of the Scheme vegulations, the maximum red visory fee that can be charged y net assets as in the table belo 2.25% 2.00% 1.75% 1.60% 1.50% Total expense ratio reduction of 0.05% for	will be curring

	crores of the daily net assets	
Balance of assets	1.05%	
The recurring expenses of operating the charged to the Scheme, are estimated to b of the daily net assets)		
Particulars	% p.a. daily n assets	et
Investment Management & Advisory Fee		
Trustee fee		
Audit fees		
Custodian fees		
RTA Fees		
Marketing & Selling expense incl. agent	commission**	
Cost related to investor communications		
Cost of fund transfer from location to loc	ation	<b>-</b>
Cost of providing account statements and	dividend redemption Upto 2.2:	5%
cheques and warrants	-	
Costs of statutory Advertisements		
Cost towards investor education & aware	ness (at least 2 bps)	
Brokerage & transaction cost over and ab	ove 12 bps for cash	
market transactions@@	_	
GST on expenses other than investment a	nd advisory fees	
GST on brokerage and transaction cost		
Other Expenses*		
Maximum total expense ratio (TE Regulation 52 (6) (c) (i) and (6) (a)	R) permissible under	
^ Additional expenses under regulation 5	2 (6A) (c) Upto 0.20% Upto 0.03	5%
\$ Additional expenses for gross new inflo	ows from specified cities Upto 0.30	0%
Other expenses: Any other expenses which be charged with approval of the Trustee Regulations except those expenses which a @ @ Brokerage and transaction costs which rade and is included in the cost of investi- eash market transactions and 0.05 per cent	within the overall limits as specified are specifically prohibited. The are incurred for the purpose of execu- nent shall not exceed 0.12 per cent in	l in tl ution
For the actual current expenses being char, the Mutual Fund.	ged, the investor should refer to the we	bsite (
**Direct Plan shall have a lower exp commission, etc. and no commission for under Direct Plan. The TER of the Dir abovementioned distribution expenses/ c Plan.	distribution of Units will be paid / detect Plan will be lower to the extent	charge of th
The purpose of the above table is to assist & expenses that the investor in the Scl estimates have been made in good faith as	neme will bear directly or indirectly.	Thes

the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.
All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.
All fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan. The TER of the Direct Plan will be lower to the extent of the distribution expenses/commission which is charged in the Regular Plan and no commission for distribution of Units will be paid / charged under the Direct Plan.
In addition to the limits as specified in Regulation 52(6) of SEBI (Mutual Funds) Regulations 1996 ['SEBI Regulations'] or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely:-
Additional expenses for gross new inflows from specified cities:
<ul> <li>(a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least -</li> <li>(i) 30 per cent of gross new inflows in the scheme, or;</li> </ul>
(ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher:
Provided that if inflows from such cities is less than the higher of sub-clause (i) or subclause (ii), such expenses on daily net assets of the Scheme shall be charged on proportionate basis.
Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.
Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.
This sub clause (a) shall be applicable for inflows received during the NFO period.
Currently, SEBI has specified that the above additional expenses may be charged for inflows from beyond 'Top 30 cities.' The top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.
Additional expenses under regulation 52 (6A) (c) ^ Such expenses will not be charged if exit load is not levied/not applicable to the scheme.
^ (b) GST payable on investment and advisory service fees ('AMC fees') charged by Mirae Asset Investment Managers (India) Private Limited ('Mirae Asset AMC)';

Further, brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions.         Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme: <ul> <li>(a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme:             <li>(b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.</li> <li>Any expenditure in excess of the SEBI regulatory limits shall be borne by the AMC or the Sponsor.</li> </li></ul> The current expense ratios will be updated on the AMC website https://miracassetmf.co.in/downloads/regulatory.             Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.           However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) working days prior to effecting such change.           The above change in the base TER in comparison to previous base TER charged to the scheme shall be immediately communicated to investors. Further, such decrease in TER will be immediately communicated to investors of AMC along with the rationale recorded in writing.           The changes in TER shall also be placed before the
the Scheme:       (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme;         (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.         Any expenditure in excess of the SEBI regulatory limits shall be borne by the AMC or the Sponsor.         The current expense ratios will be updated on the AMC website https://miraeassetmf.co.in/downloads/regulatory.         Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.         However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the scheme through mail or SMS and uploaded on the AMC website.         The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.         The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.         Maiver of Load for Directors       Service Tax : Regular Plan: 1.97% Direct Plan: 0.35%         Waiver of Load for Directions       Not Appl
(a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme; (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.Any expenditure in excess of the SEBI regulatory limits shall be borne by the AMC or the Sponsor.The current expense ratios will be updated on the AMC website https://miraeassetmf.co.in/downloads/regulatory.Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the scheme through email or SMS and uploaded on the AMC website.The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.Waiver of Load for Direct Plan: 0.35%Waiver of Load for DirectWaiver of Load for DirectWaiver of Load for DirectWaiver of Load for DirectWaiver of Load for Direct
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Waiver of Load for Direct ApplicationsNot Applicable.
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Direct Applications
Applications
<b>THA FEMILERE</b> THEY SULF ALL AUTION IN THE DELAYAUT OF TAVALUT HE HE STATEMENT OF
for the Additional Information" or "Scheme Information Document" and to consult their own
<i>Investors</i> unit holder's tax advisors with respect to the specific amount of tax and other
( <i>Unitholders</i> ) implications arising out of their participation in the scheme.
Daily Net The NAV will be declared on all business days. NAV can also be viewed on
Asset Value www.miraeassetmf.co.in and www.amfiindia.com. Investors can also call us on "1800
(NAV) 2090 777" (toll-free from a MTNL / BSNL landline) to know the latest NAV.
Publication
For Investor Mr. Somak Banerjee
Grievances Mirae Asset Mutual Fund
<i>please contact</i> 606, 6th Floor, Windsor Bldg., Off CST Road, Kalina, Santacruz (E),

	Mumbai - 400 098. Telephone Nos.: 6780 0300 e-mail: customercare@miraeasset.com Investors are advised to contact any of the ISCs or the AMC by calling the investor line of the AMC at "1800 2090 777" for any queries. Investors can also visit the website at <u>www.miraeassetmf.co.in</u> for complete details.
Unitholders' Information	<b>Lock-in period:</b> Redemption of Units can be made only after a period of three years (lock-in period) from the date of allotment, as prescribed in the ELSS regulations. It may, however, be noted that in the event of death of the Unit holder, the nominee or legal heir, (subject to production of requisite documentary evidence to the satisfaction of the AMC) as the case may be, shall be able to redeem the investment only after the completion of one year or any time thereafter, from the date of allotment of the Units to the deceased Unit Holder.
	<ul> <li>An allotment confirmation specifying the units allotted shall be sent by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number.</li> <li>Thereafter, a Consolidated Account Statement (CAS), generated based on PAN, containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 15<sup>th</sup> of the succeeding month by mail/email.</li> <li>In case of non-availability of PAN, AMC will send monthly account statement for any financial transactions undertaken during the month on or before 15th day of the succeeding month by mail/email.</li> <li>In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request by mail/email.</li> <li>The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/R&amp;T. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.</li> <li>Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 21<sup>st</sup> day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holder for units held in demam mode will be shown in the statement issued by respective Depository Participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).</li> <li>Monthly Portfolio Discl</li></ul>

half-year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month/ half-year respectively. Mutual Fund/AMC will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.
<b>Half Yearly Unaudited Financial Results:</b> Half yearly Unaudited Financial Results shall be hosted in the prescribed format on the website of the Mutual Fund on www.miraeassetmf.co.in within one month from the close of each half year i.e. on March 31 and on September 30 and an advertisement in this regard shall be published in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated. A link for the half yearly Unaudited Financial Results shall also be provided on website of Association of Mutual Funds in India (AMFI) on www.amfiindia.com
<ul> <li>Annual Financial Results: The Scheme wise annual report or an abridged summary thereof shall be sent:</li> <li>(i) by e-mail to the Unit holders whose e-mail address is available with the Fund,</li> <li>(ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same.</li> </ul>
The scheme wise annual report or an abridged summary thereof shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year).
The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC.
A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

# **Additional Disclosures:**

# a. Details as per SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016

# Fund Managers details:

Sr. No.	Particulars	Details
i.	Name	Mr. Neelesh Surana
ii.	Age	52 years
iii.	Qualification	B.E. (Mechanical), MBA (Finance)
iv.	Last 10 years' experience	Mr. Neelesh Surana is Head of Equities at Mirae Asset Investment Managers (India) Private Limited.In his capacity as Head of Equities, Neelesh spearheads the equity research and investment function. He is responsible for the managing existing equity funds of Mirae Asset (India), as well as, providing research support for the global mandate. Following others Schemes of the Fund are managed or comanaged by him:

		i. Mirae Asset Emerging Bluechip Fund
		An engineering graduate with MBA in Finance, Neelesh has over 28 years of experience in equity research and portfolio management. Prior to Mirae Asset, Neelesh was with ASK Investment Managers Ltd., as Senior Portfolio Manager responsible for managing domestic and international portfolios.
V.	Tenure for which the fund manager has been managing the scheme	5 years and 3 months, (since December 28, 2015)
vi.	Scheme's portfolio turnover ratio	0.98 times as on March 31, 2021

# Portfolio of the Schemes as on March 31, 2021:

# Top 10 Holdings as on March 31, 2021

Name of the Instrument	Industry	Quantity	Market/Fair Value(Rs. in Lacs)	% to Net Assets
Equity & Equity related				
HDFC Bank Limited	Banks	41,29,722	61683.59	8.90%
Infosys Limited	Software	35,71,693	48862.55	7.05%
ICICI Bank Limited	Banks	82,48,912	48016.92	6.92%
Axis Bank Limited	Banks	49,36,266	34427.99	4.96%
Tata Consultancy Services Limited	Software	9,44,826	30025.15	4.33%
Bharti Airtel Limited	Telecom - Services	43,18,000	22337.01	3.22%
State Bank of India	Banks	56,83,799	20706.08	2.99%
Maruti Suzuki India Limited	Auto	2,57,091	17634.39	2.54%
Reliance Industries Limited	Petroleum Products	15,68,304	17102.36	2.47%
JK Cement Limited	Cement & Cement Products	5,49,199	15911.67	2.29%

# Sector allocation as on March 31, 2021

Industry Allocation (Domestic Equities)	% Weightage
Dealer	25.07020/
Banks	25.9793%
Software	11.3761%
Pharmaceuticals	7.9873%
Consumer Non Durables	7.2829%
Petroleum Products	6.2996%
Insurance	5.0436%

Auto	4.6819%
Finance	4.4591%
Consumer Durables	3.4539%
Telecom - Services	3.2211%
Auto Ancillaries	2.6591%
Chemicals	2.6345%
Industrial Products	2.3404%
Cement & Cement Products	2.2946%
Ferrous Metals	1.8976%
Capital Markets	1.8900%
Gas	1.8728%
Construction Project	1.2198%
Aerospace & Defense	0.8165%
Transportation	0.6505%
Textile Products	0.3251%
	0.525170
Retailing	0.2677%
Healthcare Services	0.0429%
Total	98.6965%

Asset allocation	% Weightage
Equity	98.6965%
Exchange Traded Funds	0.3361%
Mutual Fund Units	0.0003%
Cash & Other Receivables	0.9671%
Total	100.0000%

For complete details of the portfolio refer: https://www.miraeassetmf.co.in/downloads/portfolios

Comparison with similar existing schemes of Mirae Asset Mutual Fund:

Scheme Name	Investment Objective	Asset Allocatio	n Pattern			Investment Strategy	No. of folios as on March 31, 2021	AUM as on March 31, 2021
MiraeTheAssetinvestmentLargeobjective ofCap Fundthe schemeistogeneratelonglongtermcapitalappreciationbycapitalizingonpotentialinvestment	investment objective of the scheme	Types of Instrument	Indicative allocation (% of total assets)		Risk Profile	The fund will predominantly invest in large cap companies, yet it has the flexibility to invest upto 20% in non-large cap companies.	733197	23,550.9 6
	S	Mini mu m	Maxi mum		The fund manager may not have any bias towards particular theme, sector, or style in picking			
	appreciation by capitalizing on potential investment	ing Securities of Large Cap	80	100	High	investment opportunities. The Scheme aims to maximize the long term capital appreciation by finding investment opportunities resulting from Indian economic growth and its structural shifts through investing in equities, equities related securities with risk mitigating and controlling measures.		
	opportunitie s by predominant ly investing in equities of large cap companies. The Scheme does not guarantee or assure any returns.	Equities and Equity Related Securities of companies other than Large Cap companies*	0	20	High			
		Money market instruments/ debt securities Instruments **	0	20	Low to Medium			

The below table shows the differentiation of the Scheme with the existing equity schemes of Mirae Asset Mutual Fund:

Mirae Asset	The investment		Indicat allocat			The Consumer Investment Theme responds to lasting	48521	1,174.39
Great	objective of	Instruments		f total	Risk	changes in the emerging and		
Consumer	the scheme		assets)	i iotai	Profile	developed markets. The AMC's		
Fund	is to		Mini	Maxi	TTOIL	strong conviction in long-term		
	generate		mum	mum		opportunities of the consumer		
	long term	Indian	80%	100%	High	theme in India especially has led		
	capital	Equities and	0070	10070	mgn	to develop Mirae Asset Great		
	appreciation	Equity				Consumer Fund. Mirae Asset		
	by investing	Related				Great Consumer Fund aims to		
	in a portfolio	Securities of				capture the wide-ranging		
	of	companies				investment opportunities		
	companies/f	that are				presented by this trend. It does		
	unds that are	likely to				not focus solely on the consumer		
	likely to	benefit either				sector but on a broad range of		
	benefit	directly or				sectors that will benefit from		
	either	indirectly				increased consumption. The		
	directly or	from				portfolio manager aims to		
	indirectly	consumption				construct a concentrated portfolio		
	from	led demand*				of stocks based on high		
	consumption	Money	0	20%	Low to	conviction investment ideas. The		
	led demand	market	Ť		medium	fund manager may not have any		
	in India. The	instruments /				bias towards particular market		
	Scheme	debt				cap or style in selecting		
	does not	securities				investment opportunities.		
	guarantee or	Instruments						
	assure any	and/or units						
	returns.	of debt/liquid						
		schemes of						
		domestic/inte						
		rnational						
		Mutual						
		Funds.						

Mirae Asset Equity Savings Fund	The investment objective of the scheme is to provide capital appreciation and income distribution to the investors by	TypesofInstrumentsIndianEquitiesandEquityRelatedInstruments	Indic e alloca n (% total assets 65 %	atio of	Risk Profile Mediu m to High	The scheme will seek to achieve its investment objective primarily by employing various strategies which seek to exploit available arbitrage opportunities in markets along with pure equity investments and investments in debt and money market instruments.	8558	209.04
		Instrumentsi.Equities& equity relatedinstruments(unhedged)*ii.Equities, equity relatedinstruments andderivativesincluding indexfutures, stockfutures, indexoptions & stockoptions etc. aspart of hedged /	20 % 20 %	45 % 70 %	High Low to Mediu m			
	assure or guarantee any returns.	arbitrage exposure.** Money market instruments / debt securities Instruments and/or units of debt/liquid schemes of domestic Mutual Funds	10 %	35 %	Low to Mediu m			

Mirae	The		Indic	ative	Ris	The Scheme will primarily invest	72504	1,270.33
Asset	investment	Types of	alloca	ation	k	in equity and equity related		
Healthcar	objective of	Instruments	(% 0	f total	Prof	securities. The Scheme will also		
е	the scheme		asset		ile	invest in debt securities and		
Fund	is to seek to	Indian Equities	80	100	Hig	money market instruments.		
	generate	and Equity	%	%	h			
	long term	Related						
	capital	Securities of						
	appreciation	companies that						
	through	are likely to						
	investing in	benefit either						
	equity and	directly or						
	equity	indirectly from						
	related	Healthcare and						
	securities of	allied sectors.*						
	companies	Other equities	0	20%	Hig			
	benefitting	and equity			h			
	directly or	related securities						
	indirectly in	of companies in						
	Healthcare	India.*						
	and allied	Money market	0	20%	Low			
	sectors in	instruments /			to			
	India. The	debt securities,			Med			
	Scheme	Instruments			ium			
	does not	and/or units of						
	guarantee or	debt/liquid						
1	assure any	schemes of						
1	returns.	domestic Mutual						
		Funds						

Mirae Asset Hybrid Equity Fund	The investment objective of the Scheme is to generate capital appreciation along with current income from a combined portfolio of predominant ly investing in equity & equity related instruments and balance in debt and money market instruments. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns.	Types of Instruments	Indicat allocat (% of assets) Mini mum 65 20 0	ion total	Risk Profile High/ Mediu m Mediu m Mediu m to High	This fund is positioned as a lower risk alternative to a pure equities scheme, while retaining some of the upside potential from equities exposure. The Scheme provides the Investment Manager flexibility to shift allocations within the mentioned asset allocation in the event of a change in view regarding an asset class. The Scheme will primarily invest in equity and equity related securities.	133859	4,753.77
Mirae Asset Tax Saver Fund	returns. The investment objective of the scheme is to generate long-term capital appreciation from a diversified portfolio of predominant ly equity and equity related instruments. The Scheme does not guarantee or assure any returns.	TypesoInstrumentsEquity and Equity Related Instruments*DebtDebtInstruments, MoneyMoneyMarkee Instruments, Secs, Cash, CBLO Reverse Repo, etc.	y y y y y y y y y y y y y y y y y y y	ets)	Risk Profile High/ Mediu m/Lo w High Low to Mediu m	The Scheme will primarily invest in equity and equity related securities.	749683	6,972.13

Mirae Asset	The investment		Indic alloca	ative		As per investment objective, the Scheme shall follow a	186962	4,233.14
Midcap	objective of		(%	of	Risk	predominantly Midcap strategy		
Fund	the scheme is to provide	Types of	total	a)		with a minimum exposure of 65% to Mid-Cap equity and		
	long-term	Instruments	Min	assets)		equity related instruments. The		
	capital appreciation from a		imu m	Maxi mum	le	Scheme may also seek participation in other equity and equity related securities to		
	portfolio investing predominant	Equities and equity related securities* of midcap companies**	65 %	100 %	High	achieve optimal portfolio construction.		
	ly in Indian equity and equity	Equities and equity related securities other than above	0%	35 %	High	The Investment Manager will select equity securities on a ottom–up, stock–by–stock basis.		
	related securities of midcap	Money market instruments / debt securities,	0	35 %	Low to Medi	The focus would be to build a portfolio of strong growth companies, reflecting our most		
	companies. From time to time, the	Instruments and/or units of debt/liquid schemes of domestic			um	attractive investment ideas at all points of time.		
	fund manager	Mutual Funds						
	may also participate in other							
	Indian equities and							
	equity related securities for							
	optimal portfolio							
	construction. There is no assurance							
	that the investment							
	objective of the Scheme will be							
	realized.							

Mirae Asset Emerging	The investment objective of	Types of	Indicat allocat (% of	ion	Ris k	The investment strategies for the scheme are as follows: •The scheme aims to generate	1074502	16,198.6 3
Bluechip	the scheme is to	Types of Instruments	assets)		к Pro	income and capital appreciation		
Fund	generate income and capital appreciation from a		Mini mum	Ma xim um	file	from a portfolio primarily investing in Indian equities and equity related securities of large		
	appreciation from a portfolio primarily investing in Indian equities and equity related securities of	IndianEquitiesandEquityRelatedSecurities*Securities*ofLargecapcompanies(top100stocksbymarketcapitalizationatthetimeofinvestment).investment).	35	65	Hig h	cap and mid cap companies at the time of investment. From time to time, the fund manager may also seek participation in other Indian equity and equity related securities to achieve optimal Portfolio construction. •The fund manager may not have any bias towards particular theme, sector or style in picking investment opportunities.		
	large cap and mid cap companies at the time of investment. From time to time, the fund manager may also seek participation in other	Indian Equities and Equity Related Securities* of Mid cap companies (which are not part of the Top 100 stocks but fall within Top 250 stocks by market capitalization at the time of investment).	35	65	Hig h	However, the fund manager does have the flexibility to follow a focused approach on the investments.		
	Indian equity and equity related securities to achieve optimal Portfolio construction. The Scheme does not guarantee or	Other Indian Equities and Equity Related Securities/ Money market instruments (including Tri- party repo)/debt securities Instruments**	0	30	Lo w to Me diu m			
	assure any returns.							

Mirae Asset Focused Fund	An open ended equity scheme investing in a maximum of 30 stocks intending to focus in large cap, mid cap and small cap category	Types of Instruments	ion of tota asso Mi ni mu	ocat (% al	Risk Profile	The Scheme will primarily invest in equity and equity related securities. The fund manager will follow a focused approach on the investments. The investments will be limited to maximum of 30 stocks. The fund has the flexibility to invest across market capitalization in large cap, mid cap and small cap category.	202490	5,479.86
	(i.e., Multi- cap)	Indian equities and equity related securities\$* Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds	%	% t	High Low to Mediu m	The focus would be to build a portfolio of strong growth companies, reflecting our most attractive investment ideas at all points of time.		

Asset Arbitrage Fund	The investment objective of the scheme is to generate capital appreciation and income by predominantl	Types of Instruments	India ve alloc n (% total asset Mi ni m	atio of	Risk Profi le	The scheme will seek to achieve its investment objective primarily by employing various strategies which seek to exploit available arbitrage opportunities in markets. If suitable arbitrage opportunities are not available in the opinion of the Fund Manager, the scheme may predominantly invest in debt and money market	6009	293.77
	y investing in arbitrage opportunities in the cash and derivative segments of the equity	Equities and Equity Linked instruments*	u m 65 %	u m 90 %	Medi um to High	securities. The market provides opportunities to the investor to derive returns from the implied cost of carry between the underlying cash market and the		
		including Index Futures, Stock Futures, Index Options and Stock Options	65 %	90 %	Medi um to High	derivatives market. This provides for opportunities to generate returns that are possibly higher than short term interest rates with minimal active price risk on equities. Implied cost of carry		
	derivative segment and by investing the balance in debt and money market instruments.	Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions)	10 %	35 %	Low to Medi um	and spreads across the spot, futures and options markets can potentially lead to profitable arbitrage opportunities. The Scheme would carry out arbitrage strategies, which would entail taking offsetting positions in the various markets simultaneously.		
	There is no assurance or guarantee that the investment objective of the scheme will be realized	Units issued by REITs & InvITs	0 %	10 %	Medi um to High	The arbitrage strategy can also be on account of buy-back of shares announced by a company and/or differences in prices between two exchanges/markets. In this case the arbitrage strategy will not include an offsetting derivatives transaction.		

Mirae Asset Banking and Financial Services	The investment objective of the scheme is to generate long-term	Types of Instruments	Indicat allocati (% of assets) Mini	ion f total Maxi	Risk Profile	The fund manager broadly analyses the industry trends and business cycles in companies that benefit from the growth in the Banking and Financial services sector that includes but not	31978	515.29
Fund	capital appreciation from a portfolio that is invested predominantl y in equity and equity related securities of companies	Equity and equity related instruments of companies in the Banking and Financial Services Sector in India Other equities	<b>mum</b> 80%	<b>mum</b>	High	limited to Banks, NBFCs, HFCs, MFCs, Broking and securities, Stock exchanges, depositories and related infrastructure providers, Wealth management or various kinds of asset management, Insurance, currency and forex, Credit cards and payment gateways or such infrastructure providers, digital financial institutes, rating		
	engaged in banking and financial	and equity related Instruments	0%	20%	High	agencies and investment companies		
	services sector. The Scheme does not guarantee or assure any returns.	Debt and Money Market Instruments including schemes of Mutual Fund	0%	20%	Low to Mediu m	The Fund Manager may also invest upto 20% of the net assets of the Scheme in equities and equity related securities of companies other than in Banking and Financial services sector. The fund has the flexibility to		
		Units issued by REIT/InVITs	0%	10%	Mediu m to High	invest across market capitalization in portfolio companies within this theme.		

Date: May 31, 2021

# MIRAE ASSET MUTUAL FUND BRANCH OFFICES

Ahmedabad - Unit No:-104, 1st Floor, SPG Empressa, Mithakhali - 380 009.
 Bangalore - Unit No. 204, 2nd Floor Prestige Meridian II, No. 30, M.G Road, Bangalore - 560 001. Tel-080-44227777.
 Bhubaneswar - Ofice No. 202, 2nd Floor, Janpath Tower, Ashok Nagar, Bhubaneswar-751009. Tel-7381029019.
 Chandigarh - SCO 2473-2474, First Floor, Sector 22 C, Chandigarh - 160022. Tel-0172-5030688.
 Chennai - New No.3, Old.No.2, Burkit Road, T. Nagar - 600017. Tel-044-44227777 \* Jaipur - Unit 804, 8th Floor, Okay Plus Tower, Ajmer Road, Jaipur-302001. Tel-0141-2377222.
 Kanpur - Ofice no 303 & 304, 3rd Floor, 14/113 KAN Chambers, Civil Lines, Kanpur - Ofice no 303 & 304, 3rd Floor, 14/113 KAN Chambers, Civil Lines, Kanpur - 206 001. Tel-81770 00201.
 Kolas V. J. C. Bose Road, Kolkata - Krishna Building, 5th Floor, Room No 510, 224, A.J.C. Bose Road, Kolkata-700017. Tel-033-44227777.
 Lucknow - Ofice no. 308, 3rd Floor, Saran Chamber-II, 5, Park Road, Lucknow-226001. Tel-0522 - 4241511.
 Mumbai (Corporate Office) - Unit No. 606, 6th Floor, Windsor Bldg., Off. CST Road, Kalina, Santacruz (E), Mumbai-400 098.Tel-022-67800300.
 Mumbai (Branch Office) - Ground Floor, 3, 4, 9, Rahimtoola House, 7, Homji Street, Fort, Mumbai - 400001. Tel-022-49763740.
 New Delhi - 1110 Ansal Bhavan, 16 K. G. Marg, New Delhi-110001. Tel-011-44227777.
 Pune - 75/76, 4th Floor, C-Wing, Shreenath Plaza, Dnyaneshwar Paduka Chowk, Shivaji Nagar, Pune-411 005. Tel-020-44227777.
 Rada Chowk, Opposite Shastri Maidaan, Rajkot - 360 002 \* Secunderabad - No. 208, Legend Crystal Building, 2nd Floor, PG Road, Secunderabad-500003. Tel-040-66666723.
 Vadodara - Office No. 244, Second Floor, Emerald One, Jetalpur Road, Vadodara - 390020. Tel-9375504443.
 Tel-9375504443.
 Surat - C-225, ITC Building, Majura Gate, Ring Road, Surat - 395002. Tel-0261-4888844.

# KFIN TECHNOLOGIES PRIVATE LIMITED (REGISTRAR)

• Agra - House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Behind Hotel Holiday Inn, Sanjay Place, Agra, Uttar Pradesh - 282 002.• Ahmedabad - Office No. 401, 4th Floor, ABC-I, Off. C.G. Road - Ahmedabad - 380009 • Ajmer - 1-2, 2nd Floor Ajmer Tower, Kutchary Road, Ajmer-305001 • Allahabad - Meena Bazar, 2nd Floor, 10, S. P. Marg, Civil Lines, Subhash Chauraha, Allahabad, Uttar Pradesh - 211 001. • Amritsar - 72-A, Taylor's Road, Aga Heritage Gandhi Ground, Amritsar-143001 • Anand - B-42, Vaibhav Commercial Center, Nr. TVS Down Town Shrow Room, Grid Char Rasta, Anand - 380001 • Asansol - 112/N G. T. Road, Bhanga, Panchil, Bardhaman West Bengal, Asansol - 713303 • Aurangabad - Shop no B - 38, Motiwala Trade Centre, Nirala Bazar Aurangabad 431001• Balasore - 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar, Baleshwar Sadar Balasore - 756001, Orissa • Bangalore - No 35, Skanda Puttanna Road, Basavanagudi, Bangalore 560004• Baroda - SB-5, Mangaldeep Complex, Opp. Mesonic Hall, Productivity Road, Alkapuri, Baroda-390007 • Bharuch - 123 Nexus business Hub Near Gangotri Hotel .B/s Rajeshwari Petroleum Makampur Road Bharuch -392001 Bhavnagar - Ofice No 306-307, Krushna Darshan Complex, 3rd Floor, Parimal Chowk, Above Jed Blue Show Room Bhavnagar - 364002 • Bhilai - Office No.2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], Bhilai 490020, Chhattisgarh • Bhopal - Gurukripa Plaza, Plot No. 48A, Opposite City Hospital, Zone-2, M P Nagar, Bhopal, Madhya Pradesh - 462 011. • Bhubaneswar - A/181, Saheed Nagar, Janardan House, Room No: 07, 3rd Floor, Bhubaneshwar, Orissa - 751007. • Burdwan - 63 G. T. Road, Birhata, Halder Complex, 1st Floor, Burdwan-713101 • Calicut - Sowbhagya Shoping Complex, Areyadathupalam, Mavoor Road, Calicut-673004 • Chandigarh - SCO 2423-2424, Sector 22-C, Chandigarh-160022 • Chennai - Flat No.F11, 1st Floor, Akshya Plaza, (Erstwhile Harris Road), Opp.Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai-600002 • Cochin - Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Ernakualm-682036 • Coimbatore - 1057, 3rd Floor, Jaya Enclave, Avanashi Road, Coimbatore-641018 • Cuttack - Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack-753001 • Dehradun - Kaulagarh Road, Near Sirmour Marg, Above Reliance Webworld, Dehradun-248001 • Dhanbad - 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad-826001 • Durgapur - MWAV-16 Bengal Ambuja, 2nd Floor, City Centre, Durgapur-713216 • Faridabad - A-2B, 2nd Floor, Neelam Bata Road, Peerki Mazar, Nehru Ground, Nit, Faridabad, Haryana -121 001 • Gandhinagar - Plot No.945/2, Sector-7/C, Gandhinagar-382007 • Ghaziabad - 1st Floor, C-7, Lohia Nagar, Ghaziabad-201001 • Gorakhpur - Above V.I.P. House, Ajdacent Å. D. Girls College, Bank Road, Gorakhpur-273001 • Gurgaon - 2nd Floor, Vipul Agora, M. G. Road, Gurgaon - 122001, Harvana • Guwahati - 54, Sagarika Bhawan, R. G. Baruah Road, (AIDC Bus Stop), Guwahati-781024 • Hubli - 22 & 23, 3rd Floor, Eurecka Junction, T. B. Road, Hubli-580029 • Hyderabad -303, Vamsee Estates, Opp. Bigbazaar, Ameerpet, Telangana - 500 016. • Indore - 101, Diamond Trade Centre, Indore, Madhya Pradesh - 452 001 • Jalandhar - Office No 7, 3rd Floor, City Square building, E-H197 Civil Lines, Jalandhar 144001• Jabalpur - 3rd Floor, R.R. Tower. 5, Lajpatkunj, Near Tayabali Petrol Pump, Jabalpur - 482 001. • Jamnagar - 131, Madhav Plazza, Opp Sbi Bank, Nr Lal Bunglow, Jamnagar 361008• Jamshedpur - Madhukunj, 3rd Floor ,Q Road, Sakchi, Bistupur, East Singhbhum, Jamshedpur 831001 Jharkhand • Jodhpur - Shop No. 6, Ground Floor, Gang Tower, Opposite Arora Moter Service Center, Near Bombay Moter Circle, Jodhpur, Rajasthan - 342 003. • Kanpur - 15/46, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur-208001 • Kolhapur - 605/1/4 'E' Ward, Near Sultane Chambers, Shahupuri, 2nd Lane, Kolhapur-416001 • Kolkata - 166A, Rashbehari Avenue, 2nd Floor, Near Adi Dhakerhwari Bastralaya, Opp. Fortis Hospital, Kolkata-700029 • Lucknow - 1st Floor, A.A. Complex, Thaper House, 5 Park Road, Hazratganj, Lucknow-226001 • Ludhiana - SCO 122, 2nd Floor, Above HDFC Mutual fund, Feroze Gandhi Market, Ludhiana 141001• Madurai - G-16/17, AR Plaza, 1st floor, North Veli Street, Madurai 625001• Mangalore - Ground Floor, Mahendra Arcade, Kodial Bail, Mangalore-575 003 • Mehsana - FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana - 384 002 • Moradabad - Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad - 244 001, Uttar Pradesh • Mumbai - Borivali - (Only for non-liquid transactions) Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali West, Mumbai-400091 • Mumbai - Chembur - (Only for Equity transactions) Shop No.4, Ground Floor, Shram Safalya Building, N G Acharya Marg, Chembur, Mumbai-400071 • Mumbai - Fort - (Only for Equity transactions) Ofice No.01/04, 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bombay Stock Exchange, Fort, Mumbai-400001 • Mumbai - Thane - (Only for non-liquid transactions) Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd., Ram Maruti Cross Road, Naupada, Thane West - 400 602 • Mumbai - Vashi - (Only for Equity transactions) A-Wing, Shop No. 205, 1st Floor, Vashi Plaza, Sector 17, Navi Mumbai - 400 073. • Mumbai - Vile Parle - (Only for Equity transactions) 104, Sangam Arcade, V P Road, Opp. Railway Station, Above Axis Bank, Vile Parle West, Mumbai-400056 • Muzaffarpur - First Floor, Saroj Complex, Diwam Road, Near Kalyani Chowk, Muzaffarpur, Bihar - 842001 • Mysore - L-350, Silver Tower, Clock Tower, Ashoka Road, Mysore-570001 • Nadiad - 104/105 Gf City Point, Near Paras Cinema, Nadiad-387001 • Nagpur -Plot No. 2, Survey No. 1032 and 1033 of Gagda Khare Town, Dharampeth, Nagpur – 440010 • Nasik - 5-1, Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan, Nasik- 422002 • Navsari - 103, 1st Floor Landmark Mall, Near Sayaji Library, Navsari, Gujarat-396 445 • New Delhi - 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi-110001 e Panipat - 1st Floor, Krishna Tower, Near HDFC Bank, Opp. Railway Road, G. T. Road, Panipat-132103 • Panijim - City Business Centre, Coelho Pereira Building, Room Nos.18, 19 & 20, Dada Vaidya Road, Panijim-403001 • Patiala - Sco. 27 D, Chhoti Baradari, Patiala-147001 • Patna - 3A, 3rd Floor, Anand Tower, Beside Chankya Cinema Hall, Exhibition Road, Patna-800001 • Pondicherry - No.122(10b), Muthumariamman koil street, Pondicherry - 605001 • Pune -Mozaic Bldg., CTS No. 1216/1, Final Plot No.576/1 TP, Scheme No. 1, F C Road, Bhamburda, Shivaji Nagar, Pune-411004 • Raipur - 2 & 3 Lower Level, Millenium Plaza, Room No. LI 2& 3, Behind Indian Coffee House, Raipur-492001 • Rajkot - 104, Siddhi Vinayak Complex, Dr. YagnikRoad, Opp. Ramkrishna Ashram, Rajkot-360001 • Ranchi - Room No. 307, 3rd Floor, Commerce Towers, Beside Mahabir Towers, Main Road, Ranchi-834001 • Rourkela - (Only for Equity transactions) 2nd Floor, Main Road, Udit Nagar, Rourkela, Sundargarh, Orissa – 769012 • Salem - No 40, 2nd Floor, Brindavan Road, Near Perumal Koil, Fairlands, Salem-636016 • Sambalpur - Sahej Plaza, First Floor, Shop No. 219, Golebazar, Sambalpur, Odisha - 768 001 • Shillong - Mani Bhawan, Thana Road, Lower Police Bazar, Shillong-793 001 • Siliguri - 2nd Floor, Nanak Complex, Sevoke Road, Siliguri - 734001 • Surat - G-5 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat-395002 • Trichy - No 23C/1 E VR Road, Near Vekkaliamman Kalyana Mandapam, Putthur, Trichy - 6200017, Tamilnadu • Trivandrum - 2nd Floor, Akshaya Towers, Above Jetairways, Sasthamangalam, Trivandrum-695010 • Udaipur - Shop No. 202, 2nd Floor, Business Centre, 1C Madhuvan, Opp G.P.O., Chetak Circle, Udaipur, Rajasthan - 313 001 • Valsad - 406 Dreamland Arcade, Opp Jade Blue, Tithal Road, Valsad - 396001, Gujarat • Vapi - A-8, First Floor, Solitaire Business Centre, Opp DCB Bank, GIDC Char Rasta, Silvassa Road, Vapi - 396 191 • Varanasi - D-64/132, 2nd Floor, KA, Mauza, Shivpurwa, Settlement, Plot No 478, Pargana: Dehat Amanat, ohalla Sigra, Varanashi - 221010, Uttar Pradesh • Vijayawada - 1st Floor, H No. 26-23, Sundaramma Street, Gandhi Nagar, Vijayawada, Andhra Pradesh - 520 011. • Visakhapatnam - Ground Floor, 48-10-40, SriNagar Colony, Visakhapatnam, 530016 • Vellore - No.1, M N R Arcade, Ofcers Line, Krishna Nagar, Vellore-632001 • Warrangal - 5-6-95, 1st Floor, Opp. B Ed College, Lashkar Bazar, Chandra Complex, Hanmakonda, Warrangal-506001 • Guntur - D No. 6-10-27, Srinilayam, Arundelpet, 10/1, Guntur - 522002 • Kurnool - Shop No. 47, 2nd Floor, S Komda Shoping Mall, Kurnool – 518001 • Bhagalpur - 2nd Floor, Chandralok Complex, Near Ghanta Ghar, Bhagalpur - 812001, Bihar • Darbhanga - Jaya Complex, 2nd Floor, Above Furniture Planet, Donar Chowk, Darbhanga -846003 • Bilaspur - KFin Technologies Pvt. Ltd, Anandam Plaza; Shop.No. 306; 3rd Floor, Vyapar Vihar Main Road, Bilaspur - 495001, Chattisgarh • Gandhidham -Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 370201 • Junagadh - 124-125 Punit Shopping Center, M.G. Road, Ranavav Chowk, Junagadh - 362001 • Ambala - 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital Ambala Cant, Ambala - 133001,

Haryana • Rohtak - 1st Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001 • Yamuna Nagar - B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk, Yamuna Nagar - 135001, Haryana • Shimla - 1st Floor, Hills View Complex, Near Tara Hall, Shimla 171001• Jammu - Gupta's Tower, 2nd Floor, CB-12, Rail Head Complex, Jammu - 180012 • Bokaro – City Centre, Plot No. HE-07; Sector-IV; Bokaro Steel City - 827004 • Belgaum - CTS No. 3939 / A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001 • Kottayam - 11-4-3/3 Shop No. S-9, 1st Floor, Srivenkata Sairam Arcade, Old CPI Office, Near Priyadarshini College, Nehru Nagar, Khammam - 507002, Telangana • Trichur - 2nd Floor, Brothers Complex, Naikkanal Junction, Shornur Road, Near Dhanalakshmi Bank H O, Thrissur - 680001 • Gwalior - City Centre, Near Axis Bank, Gwalior, Madhya Pradesh - 474 011 • Amaravathi - Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601 • Shillong - Annex Mani Bhawan, Lower Thana Road, Near R K M LP School, Shillong - 793001 • Berhampur (Or) - Opp. Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or) - 760001 • Bhatinda - Second floor, MCB -2-3-01043 Goniana Road Opposite Nippon India MF GT Road, Near Hanuman Chowk Bhatinda - 161001 • Bhilwara - Shop No. 27-28, 1st Floor, Heera Panna Market, Pur Road, Bhilwara - 311001 • Bikaner - 70-71, 2nd Floor, Dr. Chahar Building, Panchsati Circle, Sadul Ganj, Bikaner - 334003 • Kota - D-8, Shri Ram Complex, Opposite Multi Purpose School, Gumanpura, Kota, Rajasthan - 324 007 • Sri Ganganagar - 35E Block, Opp. Sheetla Mata Vaateka Sri Ganganagar - 335001 • Erode - No. 4. Veerappan Traders Complex, KMY Salai, Sathy Road, Opp. Erode Bus Stand, Erode - 638003 • Tirupur - First Floor, 244 A. Above Selvakumar Dept Stores, Palladam Road, Opp. to Cotton Market Complex, Tirupur - 641604 • Agartala - Bidurkarta Chowmuhani, J N Bari Road, Tripura (West) - 799001 • Aligarh - Sebti Complex Centre Point, in the city of Aligarh - 202001, Uttar Pradesh. • Bareilly - 54 - Civil Lines, Ayub Khan Chauraha, Bareilly - 243001, Uttar Pradesh • Meerut - H No 5, Purva Eran, Opp. Syndicate Bank, Hapur Road, Meerut - 250001, Uttar Pradesh • Saharanpur - 18, Mission Market, Court Road, Saharanpur - 247001 • Haldwani - Shop No 5, KMVN Shoping Complex, Haldwani 263139 Uttarakhand • Haridwar - 8, Govind Puri, Opp. LIC - 2, Above Vijay Bank, Main Road, Ranipur More, Haridwar - 249401 • Kharagpur - 180, Malancha Road, Beside Axis Bank Ltd, Kharagpur - 721304 • Nungambakkam - No. 23, Cathedral Garden Road, Nungambakkam, Chennai, Tamil Nadu - 600 034 • Jhansi - 371/01, Narayan Plaza Gwalior Road, Near Jeevan Shah Chauraha, Jhansi -284001 • Chinsura - KFin Technologies Pvt. Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West Bengal • Malda - KFin Technologies Pvt. Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West B • Noida - KFin Technologies Pvt. Ltd, F - 21, Sector - 18, Noida - 201301, Uttar Pradesh • Alwar -KFin Technologies Pvt. Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan • Jaipur - KFin Technologies Pvt. Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan

## AP

APPLICATION FORM - MIRAE (ELSS Fund: An open ended equity linked saving						MIRAE Mutual Fund	ASSET
MIRAE ASSET TAX SAVER FUND		This p	oroduct is suita	ble for investors	who are seekin	g* RISKO	METER
(ELSS Fund: An open ended equity linked saving statutory lock in of 3 years and tax benefit)	scheme with a	Invests lock in p	of capital over long terr predominantly in equity period and tax benefits s should consult their fir	and equity related instrum	North Contraction of the second secon	Moderatory High tags	
Application No.:			e not clear about the su				
			Ĩ			Investors understand will be at Low to	Very High Risk
Name & Broker Code/ ARN/RIA Code**	Sub Broke Agent ARN C		Sub Agent Code	e EUIN*	Internal Code fo		Date Time Stamp Reference No.
*EUIN Declaration: Declaration for "Execution Only' the EUIN box has been intentionally left blank by me/u advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our i	is as this transaction is exemployee/relationship n	cecuted witho nanager/sale	out any interaction or advi s person of the distribute	ce by the employee/relationsl pr/sub broker.** <b>RIA/Declarat</b>	hip manager/sales person o ion: "I/We hereby give you	of the above distributor/sub my/our consent to share	broker or notwithstanding the
Please (			(AS IN BANK F		orgin or o	SIP Application	7
Folio No.         2.       APPLICANT(S) NAME AND INFO         1 <sup>st</sup> SOLE APPLICANT       Mr. / Ms. / M/s.         (Please write the name as per PAN Card)	RMATION [Refer I	given	Folio should be KYC	compliant. Any updation	n in KYC credentials m	ay be filled in the belo	
LEI Code for entities							
CKYC ID No. (KIN)				Pls i		a resident for tax purp	bose / Resident of Canada
<b>GUARDIAN</b> (In case 1 <sup>st</sup> Applicant is a Mi Mr. / Ms. / M/s.	nor)					elationship with Mi	
GUARDIAN CKYC ID No. (KIN)				KYC (Please ✓) Proof Attached	GUARDIAN PAN		
POA / Custodian Name:						KYC (Please	🖌) 🗌 Proof Attached
POA / Custodian CKYC ID No. (KIN)					POA / Custodian PAN		
Contact Person for Corporate Investor	:	Name			Designatio	on:	
3. FIRST APPLICANT AND KYC			rked as ' <mark>*'</mark> are N				
1 <sup>eff</sup> SOLE APPLICANT Individual o *Date of Birth/ Incorporation D M (Individual) (Non-individual) (Please write the Date of birth as per Aadhaar C	МҮҮҮҮ	· ·	e II Ultimate Benefi of Date of Birth (I (For minor applicar	Please√) □ B	Declaration Form in s irth Certificate assport of the Minor		Refer Instruction No. 17 g Certificate / Mark Sheet
Place of Birth / Incorporation: (Please write the Date of birth as per Aadhaar C	Country of B Incorporation	n:	rust 🗌 Bank / Fls	Nationality:	Society/AOP/BOI	Gender Male	
		olic Ltd. Co		Juridicial Person Part	· · · ·	MF Schemes Oth	
a*. Occupation Details [Please tick (✓)]	Privat	te Sector ess	<ul><li>Public Secto</li><li>Retired</li></ul>	r Government Se Retired	ervice Student Propriete	orship Others	sional 🗌 Housewife
b*. Politically Exposed Person (PEP) Statu							PEP Not Applicable
c*. Gross Annual Income (₹) [Please tick (	/)] Below	1 Lakh	1-5 Lakhs	5-10 Lakhs	🗌 10-25 La	ikhs >25 Lal	khs > 1 Crore
d*. Net-worth (Mandatory for Non-Individu	als) ₹			as	on D D M M		(Not older than 1 year)

Foreign Exchange / Money Changer Services

Please attach a cancelled cheque OR a clear photo copy of a cheque Credit via NEFT/RTGS)

Money Lending / Pawning

Refer Instruction Nos. 3 & 4

Address:

State:

Gaming/Gambling/Lottery/Casino Services

Pin Code

NRE CURRENT SAVINGS NRO Other

None of the above

A/c. Type Pls. (✓ )

e\*. Non-Individual Investors involved/providing

4. BANK ACCOUNT DETAILS - Mandatory

any of the mentioned services

Name of the Bank:

**Bank Branch City:** 

**Branch Name:** 

MICR Code

Core Banking A/c No.

3 Jun 2021

# FOR NON-INDIVIDUALS ONLY

10. F/	ATCA & CRS DETA	ILS (Pleas	se con	June	_							<u> </u>													
PART	A To be filled by F	Financial	Institu	ution	s or Di	irec	t Re	portir	ing No	n Fin	ancial En	ntity (N	NFEs)												
We are	e a, cial institution	GIIN																							
or		N	ote: If you	I do not l	have a GII	IIN but	t you ar	e sponso	sored by an	nother er	ntity, please pro	ovide your	r sponsor's	's GIIN ab	oove and in	dicate your s	oonsor's r	ame below							
	reporting NFE se tick (✔ )]	Name	of spo	onso	ring ei	ntity	<b>y</b> :																		
GIIN n	ot available [Pleas	e tick (🗸 )	1	An	plied fo	for		Not r	require	d to a	apply for -	nlease	specif	fv 2 di	aits sub	-categor	v			Not	obtained	I – No	n-parti	cipating	na F
PART	-		-										- C	· ·	•	outogoi	5			inort	obtainot		ii para	oipatin	9 '
1	Is the Entity a pub							-																	
	(that is, a company traded on an estat	y whose s	hares	are r	egula	rly					, please spe			юск ехо	cnange o	n which tr	e stock	is regula	riy tra	aea)					
	traded on an estat	olisned se	curitie	es ma	irket)						exchange:														
2	Is the Entity a rela traded company (a					are			Yes	(If yes	, please spe	ecify nan	me of the	ne listed	d compar	y and one	stock e	exchange	on w	hich the	e stock is	regula	rly trade	ed)	
	regularly traded of						arket	)   1	Name of	flisted	company:														-
								Ν	Nature of	of relat	tion 🗌 S	Subsidiar	ry of the	e Listed	d Compai	ny or	Con	trolled by	a List	ted Cor	mpany				
	Is the Entity an active NFE						1	Name of	f stock	exchange:														-	
3	Is the Entity an ac	tive NFE						[	Yes	(If yes	, please fill l	UBO de	claratior	on in the	e next se	ction.)									
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1a. D \$ Addree: formatic at applat dditional ff passiv PAN / A Election ID City of Count 2. PAN: City o Count 3. PAN: City o	ETAILS OF ULTIMA Name of UBO & Addr Same of UBO & Addr strain a strain of the second second strain as the second strain information as may be recover and has concealed the factor information as may be recover ve NFE, please provide be second strain ve NFE, please provide be secon	usiness (defa ess usiness (defa presumed th ts of benefici quired at your elow addition Number (PA A Job Card, Othe	Ad ault/Recation and a power of the second s	sidentia cantist rship. I/	al/Busine he UBO We also ase atta	rm W8 S [M Ide Eq Dess/R	Regist 8 BEN Aandd PAN/7 Jentifid quival ddition ddition Occ Nati Fath Occ Nati Fath Occ Nati	tered O tered O eclaration ionality her's N cupatio ionality her's N	Ship and J y] (If th ayer n No./ D No.* D No.* D No.* D No.* Contropute on Type ty: Name: Name: Name: on Type ty: Name: on Type ty: Name: on Type ty: Name: on Type ty: Name: on Type ty: Name: on Type	ALL Ta e giv Docu Refer No tached bont. In ormed ecessal : Servi landato :	It documents such case, in writing ab	should MAMF/A out any c	w is no untry of esidence ermanelesidency be self of AMC reso changes low man	ot add f tax cy/ ent yy*	Cou citiz	please       ntry of       enship       JBO and c       reject the       he above in       base       DOB       Gence       Date       Gence       Date       Gence	attacl U (M (M applicati formati coes not Date c ler: Mal of Birth ler	by the apponent of the second	plicani e y) plicani e and AN. (R e, Oth	eclara KY( [ple ackno t or Aut e allotr also un efer Ins	ation fc C (Yes / N ease atta the KYC owledger copy]	orms)) IO) ch ment ignator ts, if sul o provid No. 16) Other	% of t int	erest e the ab	al

Application No.:

The detail of this page should be filled by Non-Individual investors only.

Cheque/DD should be Drawn in favour of the scheme "Mirae Asset Tax Saver Fund"

# Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

e have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advis
A) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed
est into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We sha
(fy the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and here the advection of the second
firm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided,
be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applica
concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (
Ihaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio.

00	MIRAE ASSET TAX SAVER FUND	Amount (Rs) Cheque / DD No.:	
OWLEDGMENT	Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
	ceived Application from Mr. / Ms. / M/s.		For Lumpsum 'OR' SIF

Bank & Branch\_

# Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality

No

2<sup>nd</sup> Applicant

Yes

No

Incorporation			Country of Birth			Country of Birth		
Country Citizenship Nationality	I		Country Citizenship Nationality	1		Country Citizenship Nationality	I	
Are you a US specifi person?	ed	Yes No Please provide Tax Payer Id.	Are you a US specifi person?	ied	Yes No Please provide Tax Payer Id.	Are you a US specific person?	ed	Yes No Please provide Tax Payer Id.
For non-Individual inve	estor, in case your country of incorporation		residence is US, but yo	ou are not	a specified US person then please me	ention exemption code		Refer instruction 15(e))
Individual or Non-Inc if ticked Yes above.	lividual ir	vestors fill this section	Individual investor	have to f	ill in below details in case of joint a	pplicants		
	Countr	y:		Countr	y:		Country	r.
Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:	
	Туре:			Туре:			Туре:	
	Countr	y:		Country:			Country:	
Tax Residency Status: 2	No.:		Tax Residency Status: 2	No.:		Tax Residency Status: 2 No.:		
	Туре:			Туре:			Туре:	
	Countr	у:		Countr	y:		Country	r.
Tax Residency Status: 3	No.:		Tax Residency Status: 3	No.:		Tax Residency Status: 3	No.:	
	Туре:			Туре:			Туре:	
Address Type			Address Type			Address Type		

(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily

13. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and are to able by the terms, conditions, rules and rule and understood the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nomine acknowledging receipts of mylour credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in *I* with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (MC) / Fund and undertake to update the Asset Mutual Fund. (D) The information given in *I* with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (MC) / Fund and undertake to update the Asset Mutual Fund. (D) The information given in *I* with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (MC) / Fund and undertake to update the AMC / Fund/Registrars and Transfer Agent (TRA) from time to time. (We hereby declare that the AMC/Fund Agent other advectory and government authorities as and when needed. *I*/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of mylour transactions. (E) IWe further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We (RIA inve all not con will has Aad

No

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India?

Yes

1<sup>st</sup> Applicant (Sole / Guardian / Non-Individual)

Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality

. . . . .

and Tax Residency

SKN

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

and Tax Residency

. . . . .

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identi cation No. below)

3<sup>rd</sup> Applicant

Yes

No

Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality

and Tax Residency

. . . . .

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Cheque / DD is subject to realisation

MIRAE ASSET	TAY SAVED	FUND	(MATSE)
WIKAE ASSEI	IAA SAVER	FUND	(IVIAI SF)



₹

X Yrly

IFSC / MICR

X H-Yrly

X Mthly

Reference 2

2

FREQUENCY

X Qtly

MIRAE ASSET TAX SAVER FUND	(MATSF)								
(ELSS Fund: An open ended equity linked saving of 3 years and tax benefit)	g scheme with a statu	utory lock in	Application No.:	andate Form with Goa	I SIP & Top-Op Facilit	Mutua		<b>A</b> 55	1
Name & Broker Code/ ARN/RIA Code	Sub Bro Agent ARM		Sub Agent Code	EUIN*	Internal Cod	le for AMC		ate Time S eference No	
EUIN Declaration: Declaration for "Execution Only" the EUIN box has been intentionally left blank by me/ advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our	Transaction (where E us as this transaction is e employee/relationsh investments under Dird	mployee Unique s executed witho ip manager/sale ect Plan of all Sc	Identification Number-EUI ut any interaction or advice s person of the distributor/ nemes managed by you, to t	N* box is left blank). Pleas by the employee/relations sub broker. <b>RIA/Declarat</b> he above mentioned SEB	se refer instruction 12 of hip manager/sales perso ion: "I/We hereby give I-Registered Investment	KIM for complete on of the above di you my/our cons Adviser/ RIA".	details on EU istributor/sub b ent to share/pr	IN. I/We hereb proker or notwit rovide the trans	y confirm that hstanding the sactions data
Signature of 1 <sup>#</sup> Applicant / Guardian / Authorised Sig	natory / PoA / Karta	Signatur	e of 2 <sup>nd</sup> Applicant / Guardian /	Authorised Signatory / Po/	A Signature	e of 3 <sup>rd</sup> Applicant /	Guardian / Auth	norised Signator	ry / PoA
Please 🕢 🗌 Enrollment for New Re	gistration (Please	fill all section	is) OR 🗌 S	SIP Top-up Facility	OR 🗌 Go	al SIP			
1. EXISTING UNIT HOLDER INFOR	MATION (The de	tails in our	records under the fo	olio number mentic	ned will apply for	this applica	ition.)		
Name of 1 <sup>st</sup> Unit Holder					Folio No.				
2. SIP ENROLMENT DETAILS (Plea	se check the Mi	nimum Amo	unt Criteria for the s	cheme applied for	. [Refer Instructio	n 17 Overlea	af]).		
Frequency Please O Monthly (D Scheme: MIRAE ASSET TAX SAVER FU		iarterly	Regular Plan Direct Plan		Payout of Income I cum capital withdra		Distribu	stment of In ition cum ca wal option (	apital
SIP Date D D (Please choose Any If left blank 5 <sup>th</sup> will				(₹) □ 5,000 □	10,000 🗌 25,000	Any oth	ner Amount	t. (₹)	
SIP Start Month (MM/YY) M M Y	Y SIP End Mo	nth (MM/YY)	M M Y Y	OR Perpetual	Dec 2099 (Till you in	nstruct Mirae Ass	set Mutual Fun	id to discontin	ue your SIP)
2a. Goal SIP - Do you want to assign	n a goal f <mark>or yo</mark> ur			s please select (√ )	~~~~			-	0
Please specify your goal amount* ₹	0.0		Kids Marriage		ducation		etirement P	lanning (De	efault) 🥑
Tax Savings 🖉 🗌 Dream Ho	use 🟠 🛛	Dream Car		am Vacation 🔬	Others-		Please spe	cify	
2b. SIP TOP-UP FACILITY (You can s	start SIP Top-up	facility after	minimum 6 months	from 1st SIP) Ref	er Instruction No.	23 on the re	verse on S	ІР Тор-ир	
All Applicants have to submit NACH ma	andate and will n	eed to fill th	e maximum amount i	n line with Top Up	amount, SIP amou	nt & tenure.	(Not availal	ble for micr	ro SIPs)
Top-up Amount (₹) (minimu	ım ₹ 500/- & in mu	Itiples of ₹ 1/	- only) Top-up Start M	Nonth (MM/YY) M	M Y Y To	p-up End Mo	onth (MM/Y	Y) M M	YY
Existing Investors Availing Top-Up: Ple	•				Frequency Pleas	<u> </u>	Half Yearly		y (Default)
3. SIP PAYMENT DETAILS (New Inv Cancelled cheque Leaf Fir	vestors - Please st SIP Cheque No		by of cancelled cheq	ue and mention re		in the form a	and NACH	mandate.)	
Cheque Date	St SIF Cheque No		(a. Turna		Drawn on Bank				
4. BANK ACCOUNT DETAILS (Mar	datory)	A	/с. Туре				SAVINGS		NRC
Name of 1 <sup>st</sup> A/c. Holder as in Bank Record									
Bank Name	5		Core Banking A/c. No.						
						City			
Branch Name & Address									
9 Digit MICR Code			k Account Type 🖌		CURRENT			NRO	
DECLARATION & SIGNATURE: To The Trustees, Mirae Ass such scheme and agree to abide by the terms, conditions, rul reasons of incomplete or incorrect or any other operational re bank account on the date of execution of the said standing in Mutual Funds from amongst which the Scheme is being exceeding ₹50,000 in a rolling 12 month period or in a fin "Securities and Exchange Board India ('SEBI') vide its lette (India) PvtLtd' to 'Mirae Asset Investment Managers (India) Pi	es and regulations govern easons, I/We would not ho istructions. <b>"The ARN ho recommended to me/us</b> ancial year". Aadhaar: I/V r dated November20, 201	hing the scheme & d old Mirae Asset Invo Ider has disclose s". "I/We have not We hereby voluntari 9 bearing reference	conditions of SIP enrolment and setment Managers (India) Privat d to me/us all the commission made any other Micro applic: ly submit.Aadhaar card to the Fu no. SEBI/HO/IMD/DF5/OW/P/2	registration through NACH/Ed e Limited*, their appointed se s (in the form of trail comm attion [including Lumpsum add/AMC for updating my addre 2019/30719/1 ("SEBI INOC") F	CS or Direct Debit (Auto Deb rvice providers or representa ission or any other mode) SIPs] which together with ess in my folio. (Please ti	it). I/We also agree to atives responsible. I atives responsible. I payable to him fo h the current appli ck, if enclosed)	that if the transac /We also underta r the different c cation would re	ction is delayed or ake to keep suffic competing Scher sult in aggregat	r not effected for ient funds in my mes of various te investments
Signature of 1 <sup>#</sup> Applicant/Guardian/Authorised S (AS IN BANK RECORDS)	ignatory/PoA/Karta	Signatur	e of 2 <sup>rd</sup> Applicant/Guardian (AS IN BANK RE(		A Signature	of 3 <sup>rd</sup> Applicant/0 (AS IN B	Guardian/Auth ANK RECORI		ry/PoA
/ UMRN			Bank use			Date	D D M	M Y Y	Y Y
MIRAE ASSET		Bankuso				X MO			ANCEL
		Bank use	I $I$ $I$ $I$ $I$						"INOLL
Litility Codo					Ve hereby	A = = = + 1			
Utility Code Utility Code Utility Code Utility Code		Ban	O Other Bank A		Ve hereby Mirae	Asset Investn	nent Manag	ers (India) F	Pvt. Ltd.

As & when presented

With Bank

DEBIT TYPE

Reference 1

From То Or

Phone No.

An Amount Of Rupees

X Fixed Amount

X Until Cancelled

PERIOD

Maximum Amount

1.

3.

## GENERAL GUIDELINES

This mandate registration form will be submitted through National Automated Clearing House (NACH)

This mandate registration form will be submitted through National Automated Clearing House (NACH). 1. This facility is offered to investors having Bank accounts in select banks mentioned in the link http://www.npci.org.in/The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI. By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment manager to Mirae Asset Mutual Fund acting through their authorised service providers to debit the mentioned BankA/c provided by NACH /ECS (Auto Debit Clearing / Direct Debit) Facility or any other facility for collection of SIP payments.

2. Please submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 100 calendar days from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.

3. Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month. only

4. Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate may be updated in the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.

5. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification. 6. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual

Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.

Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date. 7. Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently Keep its bank account funded for such nord-ebit transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH Debit/Auto Debit/it calt Hoirdays. Debit/Local Holidays

8. Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.

3. Mirae Asset Mirlesponsionity for the same.
9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.
10. Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of the private discontinue or tensoreting multiple baset of a conduct of a conduct without environment will be used as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of the subject of the private and the private as the time of application will be the soft of a fresh the subject to applicable load structure and other terms at the time of application. Any change in any credential of the subject of the private and the private as for the torino and the subject of the private as the subject of the subject to applicable load structure and other terms at the time of application will be based to a conduct and the subject of the private and the subject of the subject of the subject to applicable load structure and other terms at the time of application. Any change in any credential of the subject of the private and the subject of the subject of the subject to applicable load structure and other terms at the time of application terms and the terms at the time of application terms and the subject of the subject of the subject terms and the terms at the terms and the terms at the terms and the terms at the terms and

bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.

11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in

12. Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.

gradient of the original of the second secon

14. The facility will be automatically terminated upon receipt of intimation of earth of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.

15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment

16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions /investments shall not be accepted by the AMC except in the following cases: Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;

ii) Custodian on behalf of an Ell or a Client

(ii) Department of the form of the Mutual Fund on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum I one-time subscription.

(iv) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.

For complete details, please refer the section on Third Party cheques given in the General Instructions

17. Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

Schemes	Monthly / Quarterly Frequency		
Mirae Asset Tax Saver Fund	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter		
Mirae Asset NYSE FANG+ ETF Fund of Fund	₹5000 - 5 Installments - Multiples of ₹ 1 thereafter		
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter		

\*Please refer notice cum addendum dated November 4, 2020 for details on Minimum Application criteria for Mirae Asset Emerging Bluechip Fund

Mirade Asset: Emerging buecking Fund Please note Default Option is Growth. In case of Income Distribution cum capital withdrawal option, default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan. 18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes simultaneously.

19. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens

## Instructions to fill Mandate:

1 LIMRN - To be left blank Date in DD/MM/YYYY format

- Sponsor Bank code to be left blank for office use only.
   Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- 5. Name of the entity to whom the mandate is being given.
- 6. Account type SB/CA/CC/SB-NRE/SB-NRO/OTHER
- 7. Tick Select your appropriate Action
- a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate
- c. Cancel For cancelling the existing registered Mandate
   8. Your Bank Account Number for debiting the amount.
- Name of your bank and branch.
   Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 12. Amount in words 13. Amount in figures
- Frequency at which the debit should happen.
   Whether the amount is fixed or variable.

be considered as yearly frequency.

Details of SIP registered

24. Terms & Conditions - Goal Based SIP

Fixed SIP Installment amount: ₹ 5,000/-

SIP Period: 01-April-2019 till 31-March-2022 (3 years)
 SIP Date: 1st of every month (36 installments)

Based on above details. SIP Installments shall be as follows:

SIP Installment

(in ₹) (A)

5 000

6,000

7.000

8.000

· All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

processed accordingly.

processed.

Installment

No(s).

1 to (

7 to 12

13 to 18

19 to 24

25 to 30

31 to 36

- 16.Reference 1: Any details requested by the entity to whom the mandate is being given
- 17.Reference 2: Any details requested by the entity to whom the mandate is being given.

distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH. 21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in 22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)

Service Centers of distributions of more the Website www.imitedeaseumit.co.in 22. Additional for MICRO Systematic InvestmentPlans (MICRO SIPs) As per SEBI guidelines, Lumpsum and SIP investmentPlans (MICRO SIPs) Systematic Investment Plans (SIPs) by investors, where aggregate of investments. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed Rs. 50,000/. per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN 'exemption in KYC process. This exemption will be applicable ONLY to investments by investments will be accepted subject to PAN 'exemption in KYC process. This exemption will be applicable ONLY to investments by investments will wilduals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be detective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction SII), Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested or attested by ARM holder (AMFI Registered Distributor). All the applicant including second and third applicant (if any) is mandated to submit any of the above documents. 23. Terms & Conditions - SIP Top-up: SIP Top-Up is a facility which will enable investors to increase the amou

Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP
 In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be

processed accordingly. • SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue till 2099. • SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like AACHAuto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installments are sent through Mutual Fund Utility (IMFU), NSE MF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners, Mirea Asset Online SIP (ISIP). As & When relevant systems are put in place, this facility will be automatically offered. • The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up poin. • In case the SIP Top up is cancelled the SIP will continue to be processed with the last tonned un amount till the SIP end date

In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date

SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6
months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been

processed. All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility. or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018 Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows:

Top-Up amount

(in ₹) (B)

1 000

1,000

1,000

SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.

Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
 \* Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned, the same will be taken as default amount of 1 Crore. Further, AMC reserves

Our solution. In the provide an use of the international set of the same wind be added as default and our for international reserves. The right to register any such deficient application as a regular SIP & not as Goal SIP. Facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs). OR through Mutual und Utility (MUPU), OR through MFSS system if NSC of BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners or Mirae Asset online SIP (FSIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms. • Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice if 15 days.

25. Pursuant to recent SEB guideline, we if 01st Feb, 2021, Allotment of units will be based on Realization basis. Here the date and time of receipt of funds into the collection account of the scheme will be considered and it will not be basis the debit date from investor's bank account. It may be please noted that different payment modes may have different clearing cycles, and accordingly, there will difference in the transaction date versus the NAV applied date for across transactions like Lumpsum, SIP or Switches including STP's. In case of Switches or STP's the Allotment will be as per the settlement cycle of the OUT scheme in to the IN scheme

Details of Top-up opted for

Top-Up Amount: Rs. 1.000/-

Top-Up Frequency: Every 6 months

onthly SIP Installment amount

after Top-up (in ₹) (A+B)

6 000

7,000

8.000

10,000

\*(At-least amount to be filled on NACH Mandate)

Example

- 18. Your phone number.
- 19. Your email-id.
- 20. Period for which the debit mandate is valid
- a. Start date
- b. End date
- C or until cancelled
   Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.

# INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

#### 1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and adocuments have been provided in order to avoid unnecessary delays and/or rejection of your application (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Applicant Information

   (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
   (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual application forms are liable to be rejected. Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

### (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

#### Individual Investors:

Individual Investors: (i) New individual investors: (ii) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (iii) frany new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can Invest in schwere already completed Crimpited and have an Crocker that and the Crick provided the Crick paralol that and the Crick paralol the Crick paralol the Crick paralol that and the Crick paralol the Crick paralol

- the Existing Investors who have done the SEBIATC (KYC Inn's KRAS, OVL, NUML, DOTEA, KARY & CAMS). They can continue to invest as it is nany schemes of any Multal Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any initimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- Customicrate@umilerateseter.com [0] All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (ii) In case the application is under a power of Attorney (POA), a duly certified cozy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the application and the constituted Attorney. (f) (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a opy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MÓA nd AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details: It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Dividend payout payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Dividend payout proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

#### 4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/Dividend payout proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account: (a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

(d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Dividend payout Proceeds: Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / ME reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEET/RTGS

#### Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s). Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque a)
- b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR? Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand draft spayable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted. c) li

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme. For Direct Investments, please mention "Direct" in the column "Broker / Agent Code". **Third Party Cheque/Funds Transfer will not be allowed for Investment** subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected: Payment by the AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund Payment by the Schemes managed by the AMC through SIP or lump sum I one-time subscription.
   Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.
  - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions.

deductions. • Custodian on behalf of an FII or a Client. Options Available: Income Distribution cum capital withdrawal option and Growth Default Option: Growth; and under Income Distribution cum capital withdrawal option, Default option: Reinvestment of Income Distribution cum capital withdrawal option. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Reinvestment of Income Distribution cum capital withdrawal option can be availed at Daily, Weekly (Record date Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

#### 7. Communication:

f)

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding

- 2. The CAS shall be generated on a finding vasis and shall be issued on to beride form on being sourcearing immonth to the unit holder(s) in whose folic(s) transaction(s) has/have taken place during the month.
  3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)].
  4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by settlement of the securities in the securities from the Depository by settlement or the securities of transactions across all Mutual Fund schemes and securities from the Depository by settlement or the securities in the securities from the Depository by settlement or the securities in th email/physical mode.

email physical mode. 5. Investors having ME investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word transaction' shall include purchase, redemption, switch, dividend payout, divided reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the folic(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folic(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account. Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month. In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc., may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the provide consent to serious communication only via e-main. Theseors with have provide unlet emain address in the application form or any subsequent communication in any of the foliobelonging to the investor. Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

### 8.

Nomination Details: A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/application form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation the nominee(s) will be captured by the Kegistrar and will be available in the data base maintained. upon receipt or numation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of guardianship if the nominee is a minor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s). Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate. A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee. The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be and manner logal potsolar topicsolar operation accesses in the rank pixel and any liabilities whatsoever that any of them may suffer or incur in connection with a nomination. The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

### 10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

 Broker code, if already printed on the forms must be struck off and countersigned by the investors.
 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as 'Direct" in the interest of the investors.

11. Transaction charges (TC): In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. However, TC - in case of investments through SIP registered, shall be deducted only if the total commitment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/- or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments). If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly

## INSTRUCTIONS

### 12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

#### 13. Units in Demat mode:

Units of MAMF can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Dematform, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL/CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mended in the application or onceptele/incorect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account the able to trade on the stock exchange till the holding as a converted in Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly. AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FAICA details in the CAF for the disclosure and reporting or any tax tertated information obtained or held by the fund to any local or foreign regulatory or tax authority ("TaxAuthority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indica's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existinginvestments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / /C/o address / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA(5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

### 15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days,

The investor may receive more than one request for information if you have multiple relationships with the AMC or its around The investor may receive more than one request to innormation in you have molippe relationships with the more on its group entities. Kindly respond to all our requests, even if you have a liready supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

- Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are: a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an
- established securities market

### c. Active NFE : (is any one of the following):

### Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the 01 production of passive income
- 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment whice whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 03
- 04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial institution;
- The NFE primarily engages in financing and hedging transactions with, or for. Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; 06
- Institution; Any NFE that fuffills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets. The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of project which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpces of this sub-clause, the following shall be iterated as fulfilling the criteriar provided in the said sub-clause, eanely--(1) investor Protection Fruin dierred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause (23EB); and (3) an Investor Protection Fruin dierred to in clause (23EC), of section 10 of the Act: The terret to in clause (23EB); and (3) an Investor Protection Fruin dierret to in clause (23EC). 07
- 08 The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
   e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i). (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 581. (ix) A broker, (ix) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Dividend payout; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses for over losses. Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes). Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI. The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following: No fresh purchases (including SIP and Systematic Transfer Plans)/ additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund. All existing registered SIP and Systematic Transfer Plans would be ceased.

- An existing registered of rand cysterinatic rhansier rans would be cased. For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected. In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

### 17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form

## Ultimate Beneficial Owner means:

For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical For investor other man trust: A natural Person, who, whether acting alone of together, of introduction of other introle (initial person, exercises control through ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company. (iii) more than 15% of the capital or profits of the juridical person, where the juridical person, is a partnership: or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is a nunincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

- ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular R CIR/MIRSD/11/2012 dated September 5, 2012.
- CHAMINGSD 11/2012 dated September 3, 2012.
  C UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the group of the dividuals. UBO-4: Natural person exercising control over the juridical person intrough other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or whore one partner person exercised through voting rights, agreement, interpreting interest UIBO-5. where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code : The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

# APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET TAX SAVER FUND (MATSF)



25 Mar 2021

				Iviate	arrunu
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
UIN Declaration: Declaration for "Execution Only" Tran the EUIN box has been intentionally left blank by me/us as dvice of in-appropriateness, if any, provided by the em	s this transaction is executed witho ployee/relationship manager/sale	out any interaction or advice b as person of the distributor/s	y the employee/relationship ub broker. RIA/Declaration	manager/sales person of the above : "I/We hereby give you my/our co	e distributor/sub broker or notwithstanding nsent to share/provide the transactions o
ed/portfolio holdings/NAV etc. in respect of my/our invest	stments under Direct Plan of all Sci	hemes managed by you, to th	ne above mentioned SEBI-Re	egistered Investment Adviser/ RIA".	
1. EXISTING UNIT HOLDER INFORMATI	ON (The details in our rec	ords under the folio ı	number mentioned wi	Il apply for this applicatio	n.)
olio No.:		e of 1 <sup>₅t</sup> Unit Holder:			
2. ADDITIONAL PURCHASE IN EXISTIN	G SCHEME FROM WHERE	E THE SWITCH OUT T	O NFO SCHEME IS IN	ITENDED	
YC compliance status: Please (✓)	1 <sup>st</sup> Applicant	2	<sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant	
Scheme	Regular Plan     Gr     Direct Plan	rowth Payout o Distributi withdraw	on cum capital Ir al option ca	einvestment of come Distribution cum apital withdrawal option Default)	Income Distribution cum capital withdrawal option frequency*
Income Distribution cum capital withdrawal o	ption frequency is applicabl	le only for Mirae Asset	Cash Management Fur	nd & Mirae Asset Savings Fu	und.
ayment Type: Please (🗸 )	Non-Third Party Paymen	t 🗌 Third Party	Payment (Please atta	ach 'Third Party Payment I	Declaration Form')
Core Banking A/c No.:			А/с. Тур		CURRENT SAVINGS
	ount of Cheque / DD / SS / NEFT in figures (₹)	Net Purchase Amount	Drawn on E Branch		ay-In Bank A/c No. For Cheque Only)
RANSACTION CHARGES (Refer Instruction ansaction charges, ₹100/- will be deducted a. DEMAT ACCOUNT DETAILS – Mandatory ational Securities Depository Limit	ted from the Purchase ar for units in Demat Mode - Ple	mount and paid to the ease ensure that the seq Ce	e distributor. Units sha uence of names as ment entral Depository S	all be allotted for the balar	nce amount only. The as per the Depository Details.
P Name:		DF	P Name:		
P ID I N Benef	. A/C No.	16	Digit A/C No.		
Enclosures: Please ( ) Client	Masters List (CML)	Transaction	cum Holding Stateme	ent 🗌 De	elivery Instruction Slip (DIS)
3. SWITCH REQUEST - I WISH TO SWIT					— · · · · ·
rom Scheme	Regular Plan     Gr     Direct Plan	rowth Payout o Distributi withdraw	on cum capital Ir al option ca	einvestment of come Distribution cum apital withdrawal option Default)	Income Distribution cum capital withdrawal option frequency*
amount (in figures) (₹):		Or Units (	in figures):		Or All Unit
mount (in words) (₹):					
o Scheme: Mirae Asset Tax Saver Fund				Regular Plan 🗌 Direct	Plan Growth (Default)
Income Distribution cum capital withdrawal o	ption frequency is applicabl	le only for Mirae Asset	Cash Management Fur		/
4. DECLARATION AND SIGNATURES / T	1 9 11	,	0	Ũ	
o The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Havin gree to abide by the terms, conditions, rules and regulations gove rovisions of the Income Tax Act, Anti Money Laundering Laws or sset Mutual Fund. (D) The information given in / with this appli formation/details with the AMC / Fund/Registrars and Transfer A We will indemnify the Fund, AMC, Trustee, RTA and other intermorm of trail commission or any other mode), payable to him for the didicative portfolio and/ or any indicative yield by the Fund/AMC/fits We have read, understood and shall be bound by the terms & co RIA) through the registrar or otherwise. (I) Applicable to Foreign R viewst into the Scheme as per the said FEMA regulations and othe ofify the AMC, in which event the AMC reserves the right to redeconfirm that the information provided by me / us on this Form is tru ill be presumed that applicant is the utilimate beneficial owner, wil as concealed the facts of beneficial ownership. I/We also undert, adnaar: I/We hereby voluntarily submitAadhar card to the Fund/AMC	rring the scheme. (B) I/We hereby deck any other applicable laws enacted by th action form is true and correct and furt gent (RTA) from time to time. I/We hered ediaries in case of any dispute regarding different competing Schemes of variou distributor for this investment. I/We hav nditions of the PIN agreement available lesident's Residing in India- I /We confir r applicable laws and regulations. (J) I / em my / our investments in the Scheme( e, correct, and complete. I //We also con th no declaration to submit. In such case ake to keep you informed in writing abo	are that the amount invested in the le Government of India from time her agrees to furnish additional by confirm that the AMC/Fund sha gthe eligibility, validity and author is Mutual Funds from amongst we not received nor have been ind or on the AMC website for transacti m that I/We satisfy the Residency, We confirm that I am / We are no s). (K) FATCA/CRS Certification: firm that I / We have read and unc the concerned SEBI registered i	s cheme is through legitimate so to time. (C) Signature of the nomi information sought by Mirae Ass all have the right to share my infor ization of my/our transactions. (E) right he Scheme is being recomm uced by any rebate or gifts, direct Ing online. (H) RIA: I/We hereby a /test as prescribed under FEMAp / United States person(s) under th I /I We have understood the infor Jerstood the FATCA& CRS Terms intermediary reserves the right to	Irces only and does not involve and is no nee acknowledging receipts of mylour cr et Investment Managers (India) Private nation and other details with the regulato IIWe further declare that "The ARN hold ended to melves. (F) IWe hereby confirm y or indirectly in making this investment. gree to consent the AMC to share my tra rovisions. IWe further declare that I/We le laws of United States or resident(s) of nation requirements of this Form (read al and Conditions and hereby accept the s eject the application or reverse the allot	It designed for the purpose of the contravention or redit will constitute full discharge of liabilities of Limited (AMC) / Fund and undertake to updat and government authorities as and when ner fer has disclosed to me/us all the commissions ( I that I/We have not been offered/communicate (G) Applicable to Investors availing the online fa insaction details to the registered investment at am/are "Person Resident in India" and are allow Canada. In case of change to this status, I / I/We long with the FATCA & CRS Instructions) and h ame. In case the above information is not provic ment of units, if subsequently it is found that app
Signature of 1 <sup>st</sup> Applicant/Guardian/Authorised Signa (AS IN BANK RECORDS)		e of 2 <sup>rd</sup> Applicant/Guardian / (AS IN BANK REC			nt/Guardian/Authorised Signatory/PoA BANK RECORDS) as per details below:
Scheme Name and	d Plan	Payme	ent Details	Date & Starr	np of Collection Centre / ISC
Received Application from Mr. / Ma Scheme Name and Mirae Asset Tax Save (AUTO SWITCH OUT APPLIC/		mount (Rs)			

Cheque / DD No.:\_

Dated\_ Bank & Branch\_

ACKNOWLE

Cheque / DD is subject to realisation

## INSTRUCTIONS

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF
  reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme
  please refer to SID.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. The application for Auto Switch will be processed on the closing day of the NFO .
- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferer Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11.For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- 12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 14.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 15.Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the Plan.
- 16.Investors subscribing under Direct Plan of the Mirae Asset Tax Saver Fund will have to indicate the Scheme / Plan name in the application form as "Mirae Asset Tax Saver Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- 17.Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor