Mirae Asset Mutual Fund

Trustee: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Investment Manager: Mirae Asset Investment Managers (India) Private Limited

CIN: U65990MH2019PTC324625

Registered & Corporate Office:

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098

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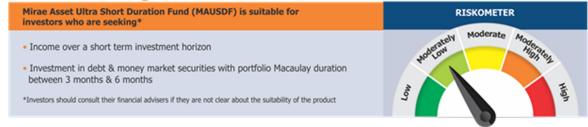
KEY INFORMATION MEMORANDUM

of

MIRAE ASSET ULTRA SHORT DURATION FUND (MAUSDF)

An Open ended ultra-short-term debt scheme investing in instruments such that the Macaulay duration* of the portfolio is between 3 months to 6 months (*please refer to page no.32 of SID)

Product Labelling



Investors understand that their principal will be at Moderately Low Risk

Offer of Units of Rs. 1000/- per unit during the New Fund Offer Period and on applicable NAV during the Continuous offer

New Fund Offer opens on:28/09/2020New Fund Offer closes on:06/10/2020

Scheme re-opens for continuous Sale and Repurchase from 08/10/2020

The Units of the Scheme will be available for Subscription / Switch-in not later than five business days from the date of allotment. The Trustee may close the NFO before the above mentioned date by giving at least one day notice in one daily Newspaper.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Name of the Scheme	Mirae Asset Ultra Short Duration Fund (MA)	USDF)		
Type of the scheme	An Open ended ultra-short term debt sch Macaulay duration* of the portfolio is betw Page no.32 of SID)			
Investment Objective	The investment objective of the scheme is to by investing primarily in a portfolio comprisi There is no assurance or guarantee that the realized	ing of debt &	money marke	t instruments.
Asset Allocation	Under normal circumstances, the asset alloca	s follows:		
Pattern of the scheme	Types of Instruments	Risk Profile		
		Minimum	Maximum	
	Debt & Money market instruments including government securities*	0%	100%	Low to Medium
	Units issued by REITs & InvITs	0%	10%	Medium to High
	 The Scheme may invest in Structure exceeding 10% of the debt portfolio of instruments shall not exceed 5% of the detection. The Scheme may invest in derivating Scheme for the purpose of hedging are. The cumulative gross exposure through InvITs and derivative positions should Scheme. The scheme may participate in repo in concerned scheme. The exposure to Repo in corporate bond concerned scheme. A maximum of 10% of net assets may maximum single issuer exposure may limits permitted by SEBI from time to time. The Scheme shall not participate in Cred. The securities mentioned in the asset allocated placed, secured or unsecured, rated or unrated acquired through secondary market purchase offers, Private Placement, right offers (included The Scheme may invest in the schemes of Mextant SEBI (Mutual Funds) Regulations as a Subject to SEBI (MF) Regulations, the asset from time to time, keeping in view market regulations and political and economic face. 	the scheme about the scheme and portfolio of the scheme and portfolio be debt, money and portfolio be debt, money and exceed argument of the scheme and of any es, Initial Publing renunciation pattern conditions, allocation pattern conditions, allocation pattern conditions, and allocation pattern conditions.	and the group of the scheme. 50% of the scheme. 50% of the scalancing purmarket, units in 100% of the securities. The securities of the securities of the securities of the securities of the securities. The scalar of the securities of the securiti	net assets of the poses. issued by REITs & net assets of the of net assets of the nd InvITs and the assets or upto the unlisted, privately e securities may be (IPO), other public tiated deals. with the applicable above may change tunities, applicable

percentages can vary substantially depending upon the perception of the Investment Manager; the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be for short term and for defensive consideration only. In the event of deviations, portfolio rebalancing will be carried out within 30 calendar days. Where the portfolio is not rebalanced within 30 calendar days, justification for the same shall be placed before the Investment Committee and reasons for the same shall be recorded in writing. The Investment Committee shall then decide on the course of action. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme.

Investment Strategy

The Scheme seeks to generate returns and reduce interest rate risk by keeping the portfolio Macaulay duration between 3 months & 6 months. The maturity profile of debt instruments may be selected in accordance with the Fund Manager's view regarding market conditions, interest rate outlook and rating.

Though every endeavor will be made to achieve the objective of the Scheme, the AMC / Sponsors / Trustee do not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment.

The Scheme is subjected to risk factors associated with risks associated with Debt & Money Market Instruments, Derivatives, segregated portfolio, Securitized Debt, Structured Obligations and Credit Enhancement, Investments in REITs and InvITs, Repo in Corporate Debt etc.

Risk Control measures

Concentration Risk

The AMC will mitigate this risk by investing in sufficiently large number of issuers spread across the sectors so as to maintain optimum diversification and keep issuer/sector specific concentration risk relatively low.

Liquidity Risk

The Schemes will invest in debt instruments and money market instruments. While the liquidity risk for government securities, money market instruments and short maturity corporate bonds may be low, it may be high in case of medium to long maturity corporate bonds. Liquidity risk is today characteristic of the Indian fixed income market. The Schemes will however, endeavor to minimize liquidity risk by investing in securities having a liquid market.

Credit Risk - The fund has a rigorous credit research process. There is a regulatory and internal cap on exposure to each issuer. This ensures a diversified portfolio and reduced credit risk in the portfolio.

Risks Associated with Repo in Corporate Debt

1) Illiquidity Risk

The repo market for corporate debt securities is over the counter (OTC) and illiquid. Hence, repo obligations cannot be easily sold to other parties. Therefore, to mitigate such risks, it has been stipulated that gross exposure to Repo in corporate bonds would be limited to 10% of net assets of the concerned scheme. Further, the tenor of repo would be taken based on nature and unit holders' pattern of the scheme.

2) Counter-party risk

Credit risk would arise if the counter-party fails to repurchase the security as contracted or if counterparty fails to return the security or interest received on due date. To mitigate such risks, the schemes shall carry out repo transactions with only those counterparties, which has a credit rating of 'A1+' or 'AA- and above'. In case of lending of funds as a repo buyer, minimum haircuts on the value of the collateral security have been stipulated, and we would receive the collateral security in the scheme's account before the money is lent to the counter-party. Overall, we would have a limited number of counter-parties, primarily comprising of Mutual Funds, Scheduled Commercial banks, Financial Institutions and Primary dealers. Similarly, in the event of the scheme being unable to pay back the money to the counterparty as contracted, the counter-party may hurriedly dispose of the assets (as they have sufficient margin) and the net proceeds may be refunded to the Scheme. Thus, the Scheme may suffer losses in such cases. Sufficient funds flow management systems are in place to mitigate such risks.

3) Collateral Risk (as a repo buyer)

Collateral risks arise due to fall in the value of the security (change in credit rating and/or interest rates) against which the money has been lent under the repo arrangement. To mitigate such risks, we have stipulated the minimum credit rating of the issuer of collateral security.

('AA' for long-term instruments/A1+ for money market instruments), maximum duration of the collateral security (10 years) and minimum haircuts on the value of the security.

Risks associated with investing in Tri-Party Repo through CCIL (TREPS)

The mutual fund is a member of securities segment and Tri-party Repo trade settlement of the Clearing Corporation of India (CCIL). All transactions of the mutual fund in government securities and in Triparty Repo trades are settled centrally through the infrastructure and settlement systems provided by CCIL; thus reducing the settlement and counterparty risks considerably for transactions in the said segments. CCIL maintains prefunded resources in all the clearing segments to cover potential losses arising from the default member. In the event of a clearing member failing to honour his settlement obligations, the default Fund is utilized to complete the settlement. The sequence in which the above resources are used is known as the "Default Waterfall". As per the waterfall mechanism, after the defaulter's margins and the defaulter's contribution to the default fund have been appropriated, CCIL's contribution is used to meet the losses. Post utilization of CCIL's contribution if there is a residual loss, it is appropriated from the default fund contributions of the non-defaulting members. Thus the scheme is subject to risk of the initial margin and default fund contribution being invoked in the event of failure of any settlement obligations. In addition, the fund contribution is allowed to be used to meet the residual loss in case of default by the other clearing member (the defaulting member). However, it may be noted that a member shall have the right to submit resignation from the membership of the Security segment if it has taken a loss through replenishment of its contribution to the default fund for the segments and a loss threshold as notified have been reached. The maximum contribution of a member towards replenishment of its contribution to the default fund in the 7 days (30 days in case of securities segment) period immediately after the afore-mentioned loss threshold having been reached shall not exceed 5 times of its contribution to the Default Fund based on the last re-computation of the Default Fund or specified amount, whichever is lower. Further, it may be noted that, CCIL periodically prescribes a list of securities eligible for contributions as collateral by members. Presently, all Central Government securities and Treasury bills are accepted as collateral by CCIL. The risk factors may undergo change in case the CCIL notifies securities other than Government of India securities as eligible for contribution as collateral.

Plans and Options

Mirae Asset Ultra Short Duration Fund shall have Regular Plan and Direct Plan and offers two options viz., Growth and Dividend under both the Plans.

The Dividend Option shall have 2 sub options: (a) Dividend Payout (b) Dividend Reinvestment.

Growth Option: The returns from investments are generated through capital appreciation of units' price and no dividend will be declared.

Dividend Option: Under this option, a dividend may be declared by the Trustee, at its discretion, from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

Dividend Payout sub-option: The dividend declared by the Fund shall be paid to the investors who appears in the Unitholders /Beneficial Owners whose names appear in the Register of Unit holders maintained by the Mutual Fund/statement of beneficial ownership maintained by the Depositories, as applicable, under the Dividend Payout Option of the plan, as on the record date.

Dividend Re-Investment sub-option: The Dividend declared by the fund shall be reinvested in the Plan and accordingly the units shall be issued to the investor at the NAV prevailing at the date of declaration of dividend. There shall, however, be no Entry Load and Exit Load on the dividend so reinvested.

On payment of dividend, the NAV of the units under Dividend option will fall to the extent of the dividend payout and applicable statutory levies, if any. It must be distinctly understood that the actual declaration of dividend and frequency thereof is at the sole discretion of Board of Directors of the Trustee Company.

The default option for the unitholders will be Regular Plan - Growth Option if he is routing his investments through a distributor and Direct Plan - Growth option if he is a direct investor.

If the unit holders selects Dividend option but does not specify the sub-option then the default sub-option shall be Dividend Reinvestment.

Investors subscribing under Direct Plan of the Scheme will have to indicate "Direct Plan" against the Scheme name in the application form i.e. "MIRAE ASSET ULTRA SHORT DURATION FUND- Direct Plan". Treatment for investors based on the applications received is given in the table below:

Scenario	mentioned by the	_	Default Plan to be captured			
	investor					
1	Not mentioned	Not mentioned	Direct Plan			
2	Not mentioned	Direct	Direct Plan			
3	Not mentioned	Regular	Direct Plan			
4	Mentioned	Direct	Direct Plan			
5	Direct	Not Mentioned	Direct Plan			
6	Direct	Regular	Direct Plan			
7	Mentioned	Regular	Regular Plan			
8	Mentioned	Not Mentioned	Regular Plan			

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

The AMC reserves the right to introduce a new option / investment Plan at a later date, subject to the SEBI (MF) Regulations. The AMC also reserves the right to discontinue / withdraw any option / investment plan, if deemed fit, after taking approval of the Board of Directors of AMC and Trustee.

Applicable NAV (after the scheme opens for repurchase)

Cut-off time is the time before which the Investor's Application Form(s) (complete in all respects) should reach the Official Points of Acceptance to be entitled to the Applicable NAV of that Business Day.

An application will be considered accepted on a Business Day, subject to it being complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.

Cut off timing for subscriptions/purchases/switch- ins for an amount less than Rs. 2,00,000/- (Rs. Two lakhs only).

For Purchases including switch-ins:

- i. In respect of valid applications received by 3.00 p.m. by the Fund along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the day on which application is received shall be applicable.
- ii. In respect of valid applications received after 3.00 p.m. by the Fund along with a local cheque or a demand draft payable at par at the Official Point(s) of Acceptance where the application is received, the closing NAV of the following Business Day shall be applicable.
- iii. In respect of valid applications with an outstation cheques or demand drafts not payable at par at the Official Points of Acceptance where the application is received, the closing NAV of day on which the cheque or demand draft is credited shall be applicable.

Cut off timing for subscriptions/purchases/switch- ins amount equal to or greater than Rs. 2,00,000 (Rs. Two lakhs only):

- i. In respect of valid applications received for an amount equal to or more than Rs. 2 lakhs upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable.
- ii. In respect of valid applications received for an amount equal to or more than Rs. 2 lakh after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day the closing NAV of the next Business Day shall be applicable.
- iii. Irrespective of the time of receipt of applications for an amount equal to or more than Rs. 2 lakh at the Official Point(s) of Acceptance, where the funds for the entire

	amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day the closing NAV of such subsequent Business Day shall be applicable.
	It is clarified that all multiple applications for investment at the Unit holders' PAN and holding pattern level in a Scheme (irrespective of amount or the plan/option/sub-option) received on the same Business Day, will be aggregated to ascertain whether the total amount equals to Rs. 2 lakh or more and to determine the applicable Net Asset Value. Transactions in the name of minor received through guardian will not be aggregated with the transaction in the name of same guardian. The criteria for aggregation of multiple transactions shall be as decided by the AMC at its sole discretion from time to time.
	For Redemption/ Repurchases/Switch out i. In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.
	In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.
Minimum	Purchase: Rs. 5000/- and in multiples of Re. 1/- thereafter
Amount for	Additional Purchase: Rs.1000/- and in multiples of Re.1/- thereafter.
Application	Redemption: The minimum redemption amount shall be 'any amount' or 'any number of
11	units' as requested by the investor at the time of redemption request.
Dispatch of	The redemption or repurchase proceeds shall be dispatched to the unitholders within 10
Repurchase	working days from the date of redemption or repurchase.
(Redemption)	
Request	
Benchmark	NIFTY Ultra Short Duration Debt Index
Index	
Dividend	A dividend may be declared by the Trustee, at its discretion, from time to time (subject to
Policy	the availability of distributable surplus as calculated in accordance with the Regulations).
	There is no assurance or guarantee to unit holders as to the rate of dividend distribution nor
	will that dividend be regularly paid. If the Fund declares a dividend under the Scheme,
	AMC shall dispatch the dividend warrants within 30 days from the date of declaration of
	the dividend. In case the delay is beyond 30 days, then the AMC shall pay interest @15%
	p.a. from the expiry of 30 days till the date of dispatch of the warrant. In accordance with
	SEBI Circular no. SEBI/ IMD/ Cir No. 1/64057/06 dated April 4, 2006, the procedure for
	Dividend Distribution shall be followed.
Name of the	The Scheme will be managed by Mr. Mahendra Kumar Jajoo
Fund	
Manager	
Name of the	Mirae Asset Trustee Company Private Limited
Trustee	
Company	
Expenses of the Scheme	During New Fund Offer and Ongoing Offer Periods
1.Load Structure	Entry Load: Not Applicable: In accordance with SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor. Similarly, no entry load will be charged with respect to applications for registrations under Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) accepted by the Mutual Fund with effect from August, 1, 2009. The upfront commission shall be paid by the investor directly to the distributor, based on his assessment of various

factors including the service rendered by the distributor.

Exit Load: NIL

2. Recurring expenses

There are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

The AMC has estimated that upto 2.00% of the daily net assets of the Scheme will be charged to the scheme as expenses. As per the Regulations, the maximum recurring expenses including investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

First Rs. 500 crores	2.00%
Next Rs. 250 crores	1.75%
Next Rs. 1250crores	1.50%
Next Rs. 3000 crores	1.35%
Next Rs. 5000 crores	1.25%
on the next Rs. 40,000 crores of the daily net assets	Total expense ratio reduction
	of 0.05% for every increase of
	Rs 5,000 crores of daily net
	assets or part thereof
Balance of assets	0.80%

The recurring expenses of operating the Scheme on an annual basis, which shall be charged to the Scheme, are estimated to be as follows (each as a percentage per annum of the daily net assets)

Particulars	% p.a. of daily net assets
Investment Management & Advisory Fee	Upto 2.00%
Trustee fee	
Audit fees	
Custodian fees	
RTA Fees	
Marketing & Selling expense incl. agent commission	
Cost related to investor communications	
Cost of fund transfer from location to location	
Cost of providing account statements and dividend redemption	
cheques and warrants	
Costs of statutory Advertisements	
Cost towards investor education & awareness (at least 2 bps)	
Brokerage & transaction cost over and above 12 bps for cash market transactions and 5 bps for derivative trades @@	
GST on expenses other than investment and advisory fees	
GST on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under	
Regulation 52 (6) (c) (i) and (6) (a)	Upto 2.00%
^ Additional expenses under regulation 52 (6A) (c) Upto 0.05%	Upto 0.05%
Additional expenses for gross new inflows from specified cities	Upto 0.30%
*Other expenses: Any other expenses which are directly attributable to the	ne Scheme, may be

charged with approval of the Trustee within the overall limits as specified in the

Regulations except those expenses which are specifically prohibited.

- ^ In terms of SEBI Circular No SEBI/HO/IMD/DF2/CIR/P/2018/15 dated February 02, 2018, in case exit load is not levied / not applicable, the AMC shall not charge the said additional expenses.
- @@ Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.

For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.

In addition to the limits as specified in Regulation 52(6) of SEBI (Mutual Funds) Regulations 1996 ['SEBI Regulations'] or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely:-

Additional expenses for gross new inflows from specified cities:

- (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI from time to time are at least -
- (i) 30 per cent of gross new inflows in the scheme, or;
- (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher:

Provided that if inflows from such cities is less than the higher of sub-clause (i) or sub-clause (ii), such expenses on daily net assets of the Scheme shall be charged on proportionate basis.

Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.

Currently, SEBI has specified that the above additional expenses may be charged for inflows from beyond 'Top 30 cities.' The top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

Provided further that, additional TER can be charged based on inflows only from retail investors from B30 cities in terms of SEBI circular no.

SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018 read with SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019.

'Retail investors' are defined as individual investors with an inflow of an amount upto Rs 2,00,000/- per transaction.

This sub clause (a) shall be applicable for inflows received during the NFO period.

(b) GST payable on investment and advisory service fees ('AMC fees') charged by Mirae Asset Investment Managers (India) Private Limited ('Mirae Asset AMC)';

Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme:

- (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme;
- (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.

The current expense ratios will be updated on the AMC website https://miraeassetmf.co.in/downloads/regulatory at least 3 working days prior to the effective date of the change.

Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.

However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the scheme through email or SMS and uploaded on the AMC website.

The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.

The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.

Illustration of impact of expense ratio on scheme's returns

Particulars		NAV
Opening NAV per unit	A	10.000
Gross Scheme Returns @ 8.75%	В	0.875
Expense Ratio @ 1.50 % p.a. (including distribution expenses) charged during the	$C = (A \times 1.50\%)$	0.150
year		
Closing NAV per unit	D = A + B - C	10.725
Net 1 Year Return	D/A - 1	7.25%

The above calculation is provided to illustrate the impact of expenses on the scheme returns and should not be construed as indicative Expense Ratio, yield or return.

TER for the Segregated Portfolio a) AMC shall not charge investment and advisory fees on the segregated portfolio. However, TER (excluding the investment and advisory fees) can be charged, on a prorata basis only upon recovery of the investments in segregated portfolio. b) The TER so levied shall not exceed the simple average of such expenses (excluding the investment and advisory fees) charged on daily basis on the main portfolio (in % terms) during the period for which the segregated portfolio was in existence. c) The legal charges related to recovery of the investments of the segregated portfolio may be charged to the segregated portfolio in proportion to the amount of recovery. However, the same shall be within the maximum TER limit as applicable to the main portfolio. The legal charges in excess of the TER limits, if any, shall be borne by the AMC. The costs related to segregated portfolio shall in no case be charged to the main portfolio. Waiver Not Applicable. of Load for Direct Applications Tax treatment Investors are advised to refer to the paragraph on Taxation in the "Statement of Additional Information" or "Scheme Information Document" and to consult their own unit holder's tax for advisors with respect to the specific amount of tax and other implications arising out of Investors (Unitholders) their participation in the scheme. The NAV will be declared on all business days. NAV can also be viewed on Daily Asset Value www.miraeassetmf.co.in and www.amfiindia.com. Investors can also call us on "1800 2090 777" (toll-free from a MTNL / BSNL landline) to know the latest NAV. (NAV) **Publication** For Investor Mr. Somak Banerjee Mirae Asset Investment Managers (India) Private Limited Grievances please contact 606, 6th Floor, Windsor Bldg., Off CST Road, Kalina, Santacruz (E), Mumbai - 400 098. Telephone Nos.: 6780 0300 e-mail: Banerjee.somak@miraeasset.com Investors are advised to contact any of the ISCs or the AMC by calling the investor line of the AMC at "1800 2090 777" (toll-free from a MTNL / BSNL landline) for any queries. Investors can also visit the website at www.miraeassetmf.co.in, for complete details. Unitholders' **Account Statement:** Information The AMC shall issue to the investor whose application has been accepted, an account statement specifying the number of units allotted will be sent by ordinary post / courier / secured encrypted electronic mail to each Unit Holder, stating the number of Units purchased, within 5 Business Days, from the closure of NFO. In case of specific request received from investors, Mutual Fund shall provide the account statement to the investors within 5 working days from the receipt of such request without any charges. **Account Statement for ongoing transactions:** An allotment confirmation specifying the units allotted shall be sent by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number.

- Thereafter, a Consolidated Account Statement (CAS), generated based on PAN, containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month by mail/email.
- In case of non-availability of PAN, AMC will send monthly account statement for any financial transactions undertaken during the month on or before 10th day of the succeeding month by mail/email.
- In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request by mail/email.
- The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/R&T. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.
- Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose email address is available, unless a specific request is made to receive in physical form.
- The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically.

For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

Monthly/Half Yearly Portfolio Disclosures: The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the half-year i.e. March 31 and September 30, on its website viz. https://www.miraeassetmf.co.in/ and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each half year respectively.

Further, the Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the fortnight on its website viz. https://www.miraeassetmf.co.in/ within 5 days from the close of fortnight.

In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email monthly and half yearly statement of scheme portfolio within 10 days from the close of month/half year respectively.

Mutual Fund / AMC will publish an advertisement every half year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Half Yearly Unaudited Financial Results: Half yearly Unaudited Financial Results shall be hosted in the prescribed format on the website of the Mutual Fund on www.miraeassetmf.co.in within one month from the close of each half year i.e. on March 31 and on September 30 and an advertisement in this regard shall be published in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the

Mutual Fund is situated. A link for the half yearly Unaudited Financial Results shall also be provided on website of Association of Mutual Funds in India (AMFI) on www.amfiindia.com.

Annual Financial Results: An annual report of the Scheme or an abridged format thereof will be prepared as at the end of each financial year (March 31) and copies of the same will be sent (i) by e-mail to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted/requested for the same as soon as possible but not later than 4 months from the closure of the relevant financial year. The physical copy of the Scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the Scheme wise annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

Date: September 15, 2020

APPLICATION FORM - MIRAE ASSET ULTRA SHORT DURATION FUND (MAUSDF)

An Open ended ultra-short term debt scheme investing in instruments such that the Macaulay duration* of the portfolio is between 3 months to 6 months (*please refer to page no.32 of SID)

Offer for units of ₹ 1000/- each during the New Fund Offer period and at NAV based prices upon re-opening. Scheme re-opens for continuous sale & re-purchase on and from 08/10/2020



MIRAE ASSET ULTRA SHORT DURATION FUND

(An Open ended ultra-short term debt scheme investing in instruments such that the Macaulay duration* of the portfolio is between 3 months to 6 months (*please refer to page no.32 of SID))

New Fund Offer open on: 28/09/2020 New Fund Offer closes on: 06/10/2020

Application No.:

MICR Code

This product is suitable for investors who are seeking*

■ Income over a short term investment horizon

 Investment in debt & money market securities with portfolio Macaulay duration between 3 months & 6 months

*Investors should consult their financial advisers if they are not clear about the suitability of the product



Investors understand that their principal

				·	will be Moderately Low Risk
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
EUIN Declaration: Declaration for "Execution Only the EUIN box has been intentionally left blank by mel advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our	us as this transaction is executed with e employee/relationship manager/sal	out any interaction or advice bles person of the distributor/s	by the employee/relationship ub broker. RIA/Declaration	p manager/sales person of the above on: "I/We hereby give you my/our cons	distributor/sub broker or notwithstanding the
Please V Lumpsum Investment		Micro Applicati	on	SIP	Application
TRANSACTION CHARGES (Please	any one of the below. Re	fer Instruction No. 11)		
Applicable transaction charges will be decregistered Distributor) based on the investor. EXISTING UNIT HOLDER INFOR	lucted in case your distributor or's assessment of various facto	ors including the services Folio Number, KIN, S	ges. Upfront commiss rendered by the ARN section 2 & proceed	Holder.	e investor to the ARN Holder (AMF
Folio No.		CKYC Identification	on No. (KIN)		
2. APPLICANT(S) NAME AND INFO 1st SOLE APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card)	RMATION [Refer Instructio	n 2] If the 1 ^t / Sole Ap		PAN	
CKYC ID No. (KIN)			Pls ind		for tax purpose / Resident of Canada No ^s (\$Default if not ✓)
GUARDIAN (In case 1 st Applicant is a M Mr. / Ms. / M/s.	inor)			Relationsh Mother	ip with Minor (Please √) ☐ Father ☐ Legal Guardiar
GUARDIAN CKYC ID No. (KIN)			KYC (Please ✓) ☐ Proof Attached	GUARDIAN PAN	
POA / Custodian Name:				KY	′C (Please ✓) ☐ Proof Attached
POA / Custodian CKYC ID No. (KIN)			I	POA / Custodian PAN	
Contact Person for Corporate Investo	r: Name			Designation:	
3. FIRST APPLICANT AND KYC	DETAILS All fields ma	arked as '*' are Mar	ndatory		
1st SOLE APPLICANT Individual of				eclaration Form in section 11a	a & 11b - Refer Instruction No. 17
*Date of Birth/ Incorporation (Individual) (Non-individual) (Please write the Date of birth as per Aadhaar C		of of Date of Birth (Ple (For minor applicant)	· _		School Leaving Certi cate / Mark Sheet Others
Place of Birth / Incorporation:	Country of Birth / Incorporation:	1	Nationality:	Gender	Male Female Other
(Please write the Date of birth as per Aadhaar C Type: Resident Individual Sol		Trust Bank / Fls	Fils PIO	Society/AOP/BOI Minor	through Guardian
	rate Company Public Ltd. Co			ership Firm FOF - MF Scher	
a*. Occupation Details [Please tick (✓)]	Private Sector Business	Public Sector Retired	Government Ser	vice Student Proprietorship	☐ Professional ☐ Housewife ☐ Others_
b*. Politically Exposed Person (PEP) State	us (Also applicable for authorised	l signatories/Promoters/Ka	rta/Trustee/Whole time I		
c*. Gross Annual Income (₹) [Please tick (1-5 Lakhs	5-10 Lakhs		□ >25 Lakhs □ > 1 Crore
d*. Net-worth (Mandatory for Non-Individu				n D D M M Y Y	Y Y (Not older than 1 year
e*. Non-Individual Investors involved/ any of the mentioned services		Exchange / Money Cha ending / Pawning	inger Services	Gaming/Gambling/Lottery/ None of the above	Casino Services
4. BANK ACCOUNT DETAILS - I	Mandatory [Refer Instruc	tion Nos. 3 & 4]			
Core Banking A/c No.			A/c. Type	Pls. (✓) ☐ NRE ☐ CURREN	T SAVINGS NRO Othe
Branch Name:	Ad	ldress:			
Bank Branch City:	Sta	ate:		Pin C	ode

IFSC Code (Mandatory for Credit via NEFT/RTGS)

Please attach a cancelled cheque OR a clear photo copy of a cheque

Mode of Holding: Anyon			ds marked as '*' a			
	e or Survivor	Single	_ Joi	nt	(Please note that the Defa	ult option is Anyone or Survivor)
2 nd APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Ca		se of Minor Applicant)			Gender	Male Female Other
PAN Details		Pls inc	dicate if US Person or a	resident for tax purpose	/ Resident of Canada	es
CKYC ID No. (KIN)			KYC P	s 🕢 🗌 Proof Attach	ned Date of Birth (Manda (As per PAN Card)	atory) D D M M Y Y Y Y
Place of Birth		Country of Birth			Nationality:	
a*. Occupation Details [Please	tick (✓)]		ablic Sector	Government Service Agriculture		rofessional Housewife thers (Please specify)
b*. Politically Exposed Person (P	EP) Status	I am PEP 🔲 I ar	m Related to PEP	Not Applicable		
c*. Gross Annual Income (₹) [F d*. Net-worth ₹	lease tick (♥)]		5 Lakhs	5-10 Lakhs	☐ 10-25 Lakhs ☐ > (Not older than 1 year)	25 Lakhs
Mode of Holding: Anyon	ne or Survivor	Single	☐ Jo	int (F	Please note that the Default	option is Anyone or Survivor)
3 rd APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Ca	(Not Applicable in cas				Gender	Male Female Other
PAN Details		Pls inc	dicate if US Person or a	resident for tax purpose	/ Resident of Canada	es ☐ No* (*Default if not ✓)
CKYC ID No. (KIN)			KYC P	s 🕢 🗌 Proof Attach	ned Date of Birth (Manda (As per PAN Card)	atory) D D M M Y Y Y Y
Place of Birth		Country of Birth			Nationality:	
a*. Occupation Details [Please	tick (✓)]	Business Re		Government Service Agriculture		rofessional Housewife thers Please specify)
b*. Politically Exposed Person (P c*. Gross Annual Income (₹) [F	,			Not Applicable 5-10 Lakhs	☐ 10-25 Lakhs ☐ >	25 Lakhs > 1 Crore
d*. Net-worth ₹			s on D D M M		(Not older than 1 year)	20 Lakiis T Oloic
6a. MAILING ADDRESS [Ple	ase provide your E-n	nail ID and Mobile Nu	mber to help us ser	ve you better]		
Local Address of 1st Applicant						
Tel. Off.		City		State	Pin Code	
			Resi.		Mobile	
E - Mail^^						
AADleses Hee Bleek Letters Invest						
"Please Use Block Letters. Investi	ors providing email ID wo	uld mandatorily receive a	all Communications, Sta	tement of Accounts and	Abridged Annual Report throug	h e-mail only.
		•	•		Abridged Annual Report throug	•
	Applicant [Please pro	•	•			•
6b. Mandatory for NRI / FII A	Applicant [Please pro	•	O. Box No. may not	be sufficient. For O	rerseas Investors, Indian A	Address is preferred]
6b. Mandatory for NRI / FII A Overseas Correspondence Addre	spplicant [Please pro	vide Full Address. P.	O. Box No. may not Third Party Pa	be sufficient. For Over	verseas Investors, Indian A	Address is preferred]
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS	ss ENT DETAILS (For c	vide Full Address. P.	Third Party	yment (Please attach	'Third Party Payment Decla	ration Form') Dividend
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND	ss ENT DETAILS (For o	complete information	Third Party	yment (Please attach ils please refer to Insan Growth (Defa	rerseas Investors, Indian A 'Third Party Payment Declastructions No. 6.) Div. Payout	ration Form') Dividend Div. Reinvestment (Default)
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND Payment Type [Please (*/)]	ENT DETAILS (For CET ULTRA SHO	complete information	Third Party	yment (Please attach ils please refer to Insan Growth (Defa	'Third Party Payment Decla	ration Form') Dividend Div. Reinvestment (Default)
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND	ENT DETAILS (For CET ULTRA SHO	complete information PRT DURATION Party Payment)	Third Party Payon Investment Deta Regular Pl Direct Plan Third Party Pay	yment (Please attach ils please refer to Insan Growth (Defa	'Third Party Payment Declastructions No. 6.) Ult) Div. Payout irrd Party Payment Declaration	ration Form') Dividend Div. Reinvestment (Default)
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND Payment Type [Please (*/)]	ENT DETAILS (For CET ULTRA SHO	complete information PRT DURATION Party Payment)	Third Party	ment (Please attach ils please refer to Insan Growth (Defament (Please attach 'The Net Purchase	'Third Party Payment Declastructions No. 6.) ult) Div. Payout Drawn on Bank /	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No.
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND Payment Type [Please (*/)]	ENT DETAILS (For CET ULTRA SHO	complete information PRT DURATION Party Payment)	Third Party	ment (Please attach ils please refer to Insan Growth (Defament (Please attach 'The Net Purchase	'Third Party Payment Declastructions No. 6.) ult) Div. Payout Drawn on Bank /	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No.
6b. Mandatory for NRI / FII / Overseas Correspondence Addre 7. INVESTMENT AND PAYN Scheme - MIRAE ASS FUND Payment Type [Please (✓)] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA	ENT DETAILS (For of ET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i	complete information PRT DURATION Party Payment) Cheque / DD / n figures (Rs.)	Third Party Party Party Party Party Party Direct Plarty Party Party DD Charges, if any	yment (Please attach ils please refer to Ins an Growth (Defa ment (Please attach 'Tr Net Purchase Amount	'Third Party Payment Declastructions No. 6.) ult) Div. Payout irrd Party Payment Declaration Drawn on Bank / Branch	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only)
Overseas Correspondence Addres 7. INVESTMENT AND PAYN Scheme - MIRAE ASS FUND Payment Type [Please (✓)] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA National Securities Depository	ENT DETAILS (For of ET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i	complete information PRT DURATION Party Payment) Cheque / DD / n figures (Rs.)	Third Party	yment (Please attach ils please refer to Insan	'Third Party Payment Declastructions No. 6.) ult) Div. Payout Drawn on Bank / Branch	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only)
Overseas Correspondence Addres 7. INVESTMENT AND PAYN Scheme - MIRAE ASS FUND Payment Type [Please (✓)] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA National Securities Depository DP Name	ENT DETAILS (For CET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i	complete information PRT DURATION Party Payment) Cheque / DD / n figures (Rs.)	Third Party	yment (Please attach ils please refer to Ins an Growth (Defa ment (Please attach 'Tr Net Purchase Amount ce of names as mention al Depository Service me	'Third Party Payment Declastructions No. 6.) ult) Div. Payout irrd Party Payment Declaration Drawn on Bank / Branch	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only)
Overseas Correspondence Addres 7. INVESTMENT AND PAYM Scheme - MIRAE ASS FUND Payment Type [Please (*)] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA National Securities Depository DP Name DP ID N	ENT DETAILS (For CET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i	complete information PAT DURATION Party Payment) Cheque / DD / n figures (Rs.)	Third Party	yment (Please attach ils please refer to Insan	triverseas Investors, Indian A third Party Payment Declar structions No. 6.) ult) Div. Payout indian Party Payment Declaration Drawn on Bank / Branch ed under section 3 matches as is (India) Limited (CDSL)	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only) per the Depository Details.
Overseas Correspondence Addres 7. INVESTMENT AND PAYN Scheme - MIRAE ASS FUND Payment Type [Please (✓)] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA National Securities Depository DP Name	ENT DETAILS (For CET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i	vide Full Address. P. complete information PAT DURATION Party Payment) Cheque / DD / n figures (Rs.)	Third Party	yment (Please attach ils please refer to Ins an Growth (Defa ment (Please attach 'Tr Net Purchase Amount ce of names as mention al Depository Service me A/C No.	'Third Party Payment Declastructions No. 6.) ult) Div. Payout inid Party Payment Declaration Drawn on Bank / Branch ed under section 3 matches as is (India) Limited (CDSL)	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only) per the Depository Details.
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Overseas Correspondence Addres 7. INVESTMENT AND PAYN Scheme - MIRAE ASS FUND Payment Type [Please ()] Cheque / DD / UTR No. & Date 8. DEMAT ACCOUNT DETA National Securities Depository DP Name DP ID I N Enclosures - Please () 9. 9. NOMINATION DETAILS [PLEASE REGISTER MY/O	ENT DETAILS (For of ET ULTRA SHO Self (Non-Third Amount of C RTGS / NEFT i Limited (NSDL) Benef. A/C No. Client Masters List (C Winor / HUF / POA Ho JR NOMINEE AS PER Date	vide Full Address. P. complete information PRT DURATION Party Payment) Cheque / DD / In figures (Rs.) s in Demat Mode - Please ML) Ider / Non Individuals BELOW DETAILS of Birth	Third Party Payon Investment Deta Regular Pl Direct Plan Third Party Pay DD Charges, if any	yment (Please attach ils please refer to Instant an Growth (Defa ment (Please attach 'Th	'Third Party Payment Declastructions No. 6.) ult) Div. Payout Di	ration Form') Dividend Div. Reinvestment (Default) Form') Pay-In Bank A/c No. (For Cheque Only) per the Depository Details. Slip (DIS)
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	FATCA & CRS DETAIL								FATCA &	CRS c	lassificati	on)					
PAR We a		GIIN	istitutions or	Direct	Reporting N	on Financial E	ntity (N	IFES)									
	ncial institution		e: If you do not have a	GIIN but y	ou are sponsored by	another entity, please p	rovide your	sponsor's GIIN a	above and indica	te your sp	onsor's name bel	ow					
Direc	ct reporting NFE ☐ use tick (✔)]	Name o	of sponsoring	entity:	:												
GIIN	not available [Please	tick (√)]	Applied	for	Not requi	red to apply for -	please	specify 2 c	ligits sub-c	ategory	,		Not ob	tained –	Non-p	participa	ating FI
PAR	TB (please fill any o	ne as app	propriate "to l	e fille	d by NFEs o	ther than Direc	t Repo	rting NFEs	s")								
1	Is the Entity a publi				☐ Ye	es (If yes, please sp	ecify any	one stock ex	xchange on v	vhich the	e stock is requ	ılarly tra	aded)				
	(that is, a company traded on an establ					of stock exchange							,				
2	Is the Entity a relate traded company (a			are	☐ Ye	Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)											
	regularly traded on				Name of listed company:												
					Natur	Nature of relation Subsidiary of the Listed Company or Controlled by a Listed Company											
					Name	of stock exchange	:										
3	Is the Entity an acti	ve NFE			Ye	es (If yes, please fill	UBO de	claration in th	ne next section	n.)							
					Natur	e of Business:											_
					Pleas	e specify the sub-ca	ategory o	of Active NFE		Mentio	on code: Refe	r instru	ction 15(c)			
4	Is the Entity a pass	ive NFE			☐ Ye	es (If yes, please fill	UBO de	claration in th	ne next section	n.)							
						e of Business:											
11a.	DECLARATION FOR	III TIMAT	E BENEFICIA	OWN		details refer in											
*This de	eclaration is not needed for C	Companies th	at are listed on an	/ recogn	ized stock excha	nge or is a Subsidia	ry of suc	h Listed Com									
person(Stateme	s), confirming ALL countries ent and Auditor's Letter with re	of tax reside quired details	ency / permanent r s as mentioned in F	esidency orm W8 I	y / citizenship ar BENE.	d ALL Tax Identifica	tion Num	bers for EAC	H controlling	person(s	s). Owner-doc	umente	d FFI's sh	ould provid	de FFI (Owner R	eporting
11b.	DETAILS OF ULTIMAT Name of UBO & Addres				indatory] (If t AN/Tax Payer											-	6
	Name of ODO & Addres	55	Address Type	Ide	ntification No./ uivalent ID No.*	Document Type Refer instructio No. 15(d)	n Re	untry of tax esidency/ ermanent esidency*	Count	•	UBO Co (Mandato		[please the acknow	Yes / NO) se attach e KYC /ledgeme :opy]		of bene interes	
informa that app addition	ess Type: Residential or Bus tion is not provided, it will be p licant has concealed the facts al information as may be requ sive NFE, please provide belo	resumed that s of beneficial ired at your e	t applicant is the UE I ownership. I/We al end.	80, with r so under	no declaration to rtake to keep you	submit. In such case informed in writing al	, MAMF/A bout any o	MC reserves changes/modi	the right to re fication to the	ect the a above in	pplication or re formation in fu	verse th ture and	ne allotmer l also unde	nt of units, i ertake to pro	f subse ovide ar	quently it	
PAN /	Any other Identification N	lumber (PAN,	Aadhaar, Passport,		Occupation Ty	be: Service, Busine	ss, Othe	rs		DOR:	Date of Birth						
	ID, Govt. ID, Driving Licence NREGA J	Job Card, Others)		Nationality: Father's Name:	Mandatory if PAN	is not ava	ailable			er: Male, Fem	ale, Otl	ner				
1. PAI					Occupation Ty			- · · · ·									
	of Birth:				Nationality:					Date o	of Birth:						
Cou	ntry of Birth:				Father's Name					Gende	er Male	e L	Female	Oth	er		
2. PAI	V:				Occupation Ty	oe:				Date	of Birth:						
City	of Birth:				Nationality:								Female	Oth	or		
Cou	ntry of Birth:				Father's Name					Gende	er		remale	Oth	ei		
3. PAI	N:				Occupation Ty	oe:				Date o	of Birth:						
City	of Birth:				Nationality:									a			
Cou	ntry of Birth:				Father's Name					Gende	er Male	e L	Female	Oth	er		
	onal details to be filled by contr ude US, where controlling per				anent residency/	citizenship/Green C	ard in any	y country other	rthan India.	1							

Application No.:

Cheque/DD should be Drawn in favour of the scheme "MIRAE ASSET ULTRA SHORT DURATION FUND"

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India? Yes

1	If Voc	nloaco	provido	countr	diac i	n which	the ontity	ic o	rocidont	for toy	nurnoco	and the	accordated	Tov	Identi cation	No.	holow)
۱	II TES	, piease	provide	Country	//IES I	II WIIICH	tille elltity	150	Hesidelli	iui lax	. purpose	and the	associateu	Idx	identi cation	I INO.	DEIOW)

1 st Applicar	nt (Sole / Gu	uardian / Non-Individual)		pplicant	3 rd Applicant					
Do you have any no Country(ies) of Birt Citizenship / Nation and Tax Residency	h / ality	Yes No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency			Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency				
Country of Birth / ncorporation		Country of Birth			Country of Birth					
Country Citizenship Nationality			Country Citizenship Nationality	0/		Country Citizenship Nationality	1			
Are you a US speci person?			Are you a US specific person?	fied	Yes No Please provide Tax Payer Id.	Are you a US specified person?		Yes No Please provide Tax Payer Id.		
or non-Individual investor in case, if you country of incorporation		ax resistance in US, but	you are not	a specified US person then please	mention exemption code)	Refer instruction 15(e))			
Individual or Non-Ir if ticked Yes above		vestors fill this section	Individual investo	r have to fi	Il in below details in case of joint	applicants				
				Country	r:		Country	r:		
Tax Residency Status: 1			Tax Residency Status: 1			Tax Residency Status: 1	No.:			
	Type:		Type:				Type:	2:		
	Country	y:	Country:		<i>r</i> :		Country	<i>r</i> :		
Tax Residency Status: 2	No.:		Tax Residency Status: 2	No.:		Tax Residency Status: 2	No.:	No.:		
	Type:			Type:		Type:				
	Country	y:		Country	r:		Country	r:		
Tax Residency Status: 3	No.:		Tax Residency Status: 3	No.:		Tax Residency Status: 3	No.:			
	Type:			Type:		-	Type:			
Address Type	1	_	Address Type			Address Type				
(Address Type: Res	idential or	Business (default) / Residential / E	Business / Registered	Office) (Fo	address mentioned in form / exis	sting address appearin	g in folio)			
case of applications	with POA, t	he POA holder should fill separate f	orm to provide the abov	e details ma	andatorily.					
3. DECLARAT	ON AND	SIGNATURES / THUMB IMPR	ESSION OF APPLI	CANT(s) [Refer Instructions 2(f) of KIN	1]				

Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited* (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (HI) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to (RTA) Infrogrant for eight and Technology and the application is residently used as prescribed united PERMA provisions. Invest intrined excise the said FEMA regulations and other applicable laws and reper applicable laws and reper applicable laws and reper applicable laws and reper applicable laws and other applicable laws and reper applicable laws and reper applicable laws and reper applicable laws and repert applicable laws and repert applicable laws and repert applicable laws and repeat and other applicable laws and other

Adahaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio.

*Securities and Exchange Board of India ("SEBI") vide its letter dated November 20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI NOC") had granted their non-objection to transfer the AMC Business from 'Mirae Asset Global Investments (India) PvtLtd' to 'Mirae Asset Investment Managers (India) Private Limited'. Kindly refer notice cum addendum no. AD/28/2019 dated November 25, 2019 for further details.

Received Application from Mr. / Ms. / M/s.		For □ Lumpsum 'OR' □ SIF as per details below:
Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
MIRAE ASSET ULTRA SHORT DURATION FUND	Amount (Rs)	
	Cheque / DD No.:	
	Dated	
	Bank & Branch	

MIRAE ASSET ULTRA SHORT DURATION FUND

SYSTEMATIC INVESTMENT PLAN (SIP)

Registration Cum Mandate Form with Goal SIP & Top-Up Facility



An Open ended ultra-short term debt scheme investing in instruments such that the Macaulay duration* of the portfolio is between 3 months to 6 months Application No.: Mutual Fund (*please refer to page no.32 of SID) Sub Broker / Name & Broker Code/ **ISC Date Time Stamp EUIN* Sub Agent Code** Internal Code for AMC **ARN/RIA Code** Agent ARN Code Reference No. EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. RIA/Declaration: "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA". Signature of 1st Applicant / Guardian / Authorised Signatory / PoA / Karta Signature of 2nd Applicant / Guardian / Authorised Signatory / PoA Signature of 3rd Applicant / Guardian / Authorised Signatory / PoA ☐ Enrollment for New Registration (Please fill all sections) SIP Top-up Facility OR Goal SIP EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.) Name of 1st Unit Holder Folio No. SIP ENROLMENT DETAILS (Please check the Minimum Amount Criteria for the scheme applied for. [Refer Instruction 17 Overleaf] Frequency Please Monthly (Default) Quarterly Regular Plan Direct Plan ☐ Growth (Default) ☐ Dividend Reinvestment (Please ✓ Scheme: MIRAE ASSET ULTRA SHORT DURATION FUND Dividend Payout (Please choose Any Date from 1st till 28th of the month, SIP Date If left blank 5th will be considered as the default date SIP End Month (MM/YY) OR Perpetual Dec 2099 (Till you instruct Mirae Asset Mutual Fund to discontinue your SIP) SIP Start Month (MM/YY) Goal SIP - Do you want to assign a goal for your SIP) your goal [Refer Instruction 24 Overleaf ☐ Kids Marriage (**) Retirement Planning (Default) Please specify your goal amount ₹ ☐ Dream Car (๑齑) Tax Savings 🔎 □ Dream House □ Dream Vacation _ Others-SIP TOP-UP FACILITY (You can start SIP Top-up facility after minimum 6 months from 1st SIP) Refer Instruction No. 23 on the reverse on SIP Top-up All Applicants have to submit NACH mandate and will need to fill the maximum amount in line with Top Up amount, SIP amount & tenure. (Not available for micro SIPs) (minimum ₹ 500/- & in multiples of ₹ 1/- only) Top-up Start Month (MM/YY) Top-up End Month (MM/YY) Top-up Amount (₹) Existing Investors Availing Top-Up: Please provide current SIP IH Number as per SOA Frequency Please (Half Yearly Yearly (Default) SIP PAYMENT DETAILS (New Investors - Please provide copy of cancelled cheque and mention relevant SIP details in the form and NACH mandate.) Cancelled cheque Leaf First SIP Cheque No. Drawn on Bank Cheque Date ☐ NRO A/c. Type ☐ NRE ☐ CURRENT ☐ SAVINGS **BANK ACCOUNT DETAILS (Mandatory)** Name of 1st A/c. Holder as in Bank Records Bank Name Core Banking A/c. No **Branch Name & Address** City ☐ CURRENT SAVINGS ■ NRO Bank Account Type ☐ NRF 9 Digit MICR Code DECLARATION & SIGNATURE: To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme & conditions of SIP enrolment and registration through NACH/ECS or Direct Debit (Auto Debit). I/We also agree that if the transaction is delayed or not effected for reasons of incomplete or incorrect or any other operational reasons, I/We would not hold Mirae Asset Investment Managers (India) Private Limited*, their appointed service providers or representatives repossible. If we also undertake to keep sufficient funds in what account on the date of execution of the said standing instructions. "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us". "I/We have not made any other Micro application [including Lumpsum + SIPs] which together with the current application would result in aggregate investments exceeding \$50,000 in a rolling 12 month period or in a financial year." Adahaar: I/We hereby volunity submit Aadhaar card to the Fund/AMC for updating my address; in my folio. [Pelases tick, if enclosed).

Securities and Exchange Board of India ("SEBI") vide its letter dated November 20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI INOC") had granted their non-objection to transfer the AMC Business from "Mirae Asset Global Investments". "Securities and Exchange Board of India ("SEBI") vide its letter dated November20, 2019 bearing reference no. SEBI/HO/IMD/IDF5/OW/P/2019/30719/1 ("SEBI INOC") had granted their non-objection to transfer the AMC Business from 'Mirae Asset Global Investments (India) PvtLtd' to 'Mirae Asset Investment Managers (India) Private Limited'. Kindly refer notice addendum no. AD/28/2019 dated November 25, 2019 for further details. **UMRN** Date MIRAE ASSET Sponsor Bank Code ✓ CREATE X MODIFY X CANCEL I/We hereby **Utility Code** Mirae Asset Investment Managers (India) Pvt. Ltd authorize To Debit (tick ✓) SB CA SB-NRE SB-NRO Other Bank A/c IFSC / MICR With Bank ₹ An Amount Of Rupees X Qtly X H-Yrly X Yrly ✓ As & when presented **DEBIT TYPE** X Fixed Amount ✓ Maximum Amount **FREQUENCY** X Mthly Reference 1 Reference 2 1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. 3.1 have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity/ corporate or the bank where I have authorized the debit. **PERIOD** From То

Or

X Until Cancelled

Phone No.

GENERAL GUIDELINES

- This mandate registration form will be submitted through National Automated Clearing House (NACH).

 1. This facility is offered to investors having Bank accounts in select banks mentioned in the link http://www.npci.org.in/The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI. By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment manager to Mirae Asset Mutual Fund acting through their authorised service providers to debit the mentioned Bank A/c provided by NACH / ECS (Auto Debit Clearing / Direct Debit) Facility or any other facility for collection of
- Please submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 100 calendar days from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such
- applications.

 Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every
- Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate may be updated in the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual
- Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.

 Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the arransaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for
- any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH Debit/Auto Debit/Local Holidays.

 Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of
- damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.

 9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.

 10. Kindly note that any change in original SIP enrollment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any research of the Auto-Lives or transaction modification will be retaid as fresh betturitions and relicants will be taken to the product of the Auto-Lives or transaction modification will be retaid as fresh betturitions.
- redential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.

 11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in

- advance.

 2. Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.

 3. In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason Account Closed or In sufficient balance or any technical reasons as provided by NPCI /Aggregator.

 4. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.

 5. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.
- date of each investment.
- date of each investment.

 16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions/ investments shall not be accepted by the AMC except in the following cases:

 1) Payment by employer on behalf of employee under Systematic Investment Plan (SiP) facility through payroll deductions; ii) Custodian on behalf of an FII or a Client.

 (iii) Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum I one-time subscription.

 (iv) Payment by a Corporate to its Agent) Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.

 For complete details, please refer the section on Third Party cheques given in the General Instructions.

Office of the Subscription.
 For complete details, please refer the section on Third Party cheques given in the General Instructions.
 Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

minimal outstand and an equation of the state of the minimal and an end of the state of the stat		
Schemes	Monthly / Quarterly Frequency	
Mirae Asset Ultra Short Duration Fund	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter	
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter	

Please note Default Option is Growth. In case of Dividend, default option is Dividend reinvestment. In case of ambiguity at Plan level, it will always be under Direct Plan.

- level, it will always be under Direct Plan.

 18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes simultaneously.

 19. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

- 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account
- 20. Mina Asset mutual Fund Spotson Ball MINTC Tale Tolk talable for the ballik charges, it any debited from investor's ballik account by the destination bank, on account of payment through NACH.

 21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in

 22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)

Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to Rs.50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments by individuals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Sip. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested or attested by ARN holder (AMFI Registered Distributor). All the applicants

- including second and third applicant (if any) is mandated to submit any of the above documents.

 23. Terms & Conditions SIP Top-up:

 SIP Top-Up' is a facility which will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP. The features, terms and conditions for availing the 'Top-Up' facility shall be as follows:
 - SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency. Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP-In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be processed accordingly
 - SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will
 - Continue till 2099.

 SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like NACH/Auto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installments are sent through Mutual Fund Utility (MFU), NSE MF II system of NSE or 85E Star MF platform of SEs or any other platforms of these stock exchanges or Channel partners, Mirae Asset Online SIP (I-SIP). As & When relevant systems are put in place, this facility will be automatically offered.
 - The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.

 In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date.
 - SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been

 - processed.

 All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility.

 or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6

 Months from 15th of September, 2018
 - WOULDS TOM 15 NOT September, 2018

 Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month.

 An Illustration: The Top-Up facility will work as follows:

Details of SIP registered	Details of Top-up opted for
 Fixed SIP Installment amount: ₹ 5,000/- SIP Period: 01-April-2019 till 31-March-2022 (3 years) SIP Date: 1st of every month (36 installments) 	Example: • Top-Up Amount: Rs. 1,000/- • Top-Up Frequency: Every 6 months

Based on above details, SIP Installments shall be as follows

Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5,000	NA	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000*
			*(At-least amount to be filled on NACH Mandate)

24. Terms & Conditions - Goal Based SIP

- SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption
- Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned on the SIP application in section 2a., then the SIP will be registered as a regular SIP & not as Goal SIP.
- SIP & not as Goal SIP.

 Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through
 Mutual und Utility (MFU), OR through MFSS system if NSE or BSE Star MF platform of BSE or any other platforms of these
 stock exchanges or Channel partners or Mirae Asset online SIP (I-SIP). As & when relevant systems are put in place, this
 facility will be automatically offered on respective platforms.
 Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to
 discontinue the Goal SIP, with a prior notice if 15 days.

 All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

Instructions to fill Mandate:

- UMRN To be left blank
- Date in DD/MM/YYYY format
- Sponsor Bank code to be left blank for office use only.

 Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- 5. Name of the entity to whom the mandate is being given.
- Account type SB/CA/CC/SB-NRE/SB-NRO/OTHER Tick - Select your appropriate Action
- a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate Cancel - For cancelling the existing registered Mandate
- 8. Your Bank Account Number for debiting the amount.

- 11. Your Bank branch MICR code
- Name of your bank and branch.
 Your Bank branch IFSC code OR

- 12. Amount in words
- 13. Amount in figures
- 14. Frequency at which the debit should happen.15. Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given 17.Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number
- 19. Your email-id.
- 20. Period for which the debit mandate is valid a. Start date
- b. End date
- c. Or until cancelled
 21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Applicant information
 (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF. (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

- Individual Investors:

 (j) New Individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application from (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can
- the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- customercare@imaeasset.com.

 (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

 (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/dividend payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/dividend proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

 Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details
updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques

4. Multiple Bank Accounts Registration Facility:
The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/dividend proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

(d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Dividend Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS

6. Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
 Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque
- b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account chaques, the CAF must be accompanied with a photocopy of the chaque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

d) Applicants should indicate the Option (Dividend/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker/Agent Code".

- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected:

 Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum I one-time subscription.

 Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum /
- onetime subscription.

 Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll

Custodian on behalf of an FII or a Client. Options Available: Dividend and Growth Default Option: Growth; and under Dividend Option, Default option:

Dividend Reinvestment. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Dividend Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

- Instrologer and pattern of holding.

 2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.

 3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]

 4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by ampil (holding) and many control of the property of the pr
- 5. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word transaction shall include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the folio(s) wherein the PAN transfer plan and bonus transactions. CAS shall not be received by the Unit noticers for the folio(s) wherein the PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account. Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month. In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the foliobelonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN):
This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/application form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, lettler from nominee, attested copy of the death certificate of the unit holder, lettler from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of guardianship if the nominee is a minor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s). Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate. A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded upon the Redemption of the Redemption proceeds shall be valid and effectual against any demand made upon the the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may sufer or incur in connection with a nomination. The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

 Broker code, if already printed on the forms must be struck off and countersigned by the investors.
 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Transaction charges (TC):
In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100/. from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. However, TC - in case of investments through SIP registered, shall be deducted only if the total commitment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/ or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments). If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

INSTRUCTIONS

12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application

13. Units in Demat mode:

Units of MMR can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL/CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the applications where the details are inactive with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in

14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FALCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("TaxAuthority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account peculiar financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to represent the total control of the perportiate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor process to information provisely. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA(5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (6) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is because if US probled US provided us (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) the US (7) Having IS (8) Having IS s based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

Details under PATCA CRS
As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group The lines of may receive more and role requests to linitimisation if you have intrinsiple relationships with the whole entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution,

Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

- Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:
 a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).

 b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an
- established securities market

01 Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50

c. Active NFE: (is any one of the following):

Code Sub-category

UI	percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE; shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory or residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this NFE's accountry or fersidence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely: (1) an Investor Protection Fund referred to in clause (23EC), of section 1 of the Act;
80	The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
 e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v)A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Dividends; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of royalites derived in the active conduct of a dusiness conducted, at least in part, by employees or unit in PTC, willinumes, excess or gains over losses from the sale or exchange of financial assets that gives fise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the other entures organized under the applicable laws of the Online's states (0.5.) and residents of canada as defining under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

 No fresh purchases (including SIP and Systematic Transfer Plans)/ additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

 All existing registered SIP and Systematic Transfer Plans would be ceased.

 - For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.

 In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or
 - Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intinate AMC its Registrar (KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

Ultimate Beneficial Owner means:

- For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.
- ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs). Applicating for integrit integrals. The identification for legislation of which is the accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.
- UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company, UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBC-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBC-6: The settlor(s) of the trust. UBC-7: Trustee(s) of the Trust. UBC-8: The Protector(s) of the Trust [if applicable]. UBC-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.
- 18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET ULTRA SHORT DURATION FUND



	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
IN Declaration: Declaration for "Execution Only" Tran EUIN box has been intentionally left blank by me/us as rice of in-appropriateness, if any, provided by the emp d/portfolio holdings/NAV etc. in respect of my/our inves	this transaction is executed with ployee/relationship manager/sal	nout any interaction or advice b les person of the distributor/s	by the employee/relationship sub-broker. RIA/Declaratio	manager/sales person of the above dis : "I/We hereby give you my/our conse	stributor/sub broker or notwithstandin
	PoA / Karta Sign			Sign of S' Applicant / S	
LEXISTING UNIT HOLDER INFORMATION No.:		ecords under the folione of 1 st Unit Holder:	number mentioned w	vill apply for this application.)	
2. ADDITIONAL PURCHASE					
YC compliance status: Please (✓)	1st Applicant	_ 2	nd Applicant	3 rd Applicant	
cheme		Regular Plan Direct Plan	Growth (Default)	Div. Payout Div. Reinvestment (Defau	Div frequency*
Dividend frequency is applicable only for Mira ayment Type: Please (✓)	ee Asset Casn Manageme Non-Third Party Paymer			tach 'Third Party Payment Dec	Varation Form')
ore Banking A/c No.:		mild Faity	 		URRENT SAVINGS I
Am	ount of Cheque / DD /	Net Purchase	Drawn on	. ,	In Bank A/c No.
	S / NEFT in figures (₹)	Amount	Brand		r Cheque Only)
ANSACTION CHARGES (Refer Instruction					
nsaction charges, ₹ 100/- will be deduct. DEMAT ACCOUNT DETAILS – Mandatory					•
tional Securities Depository Limit				Services (India) Limited (• • • •
Name:		DI	P Name:	, ,	,
PID I N Benef.	. A/C No.	16	Digit A/C No.		
	Masters List (CML)	Transaction	cum Holding Statem	ent Deliv	ery Instruction Slip (DIS)
SWITCH REQUEST - I WISH TO SWITC	, ,				, , ,
rom Scheme		Regular Plan Direct Plan	Growth	☐ Div. Payout ☐ Div. Reinvestment	Div frequency*
mount (in figures) (₹):		Or Units ((in figures):		Or All Unit
nount (in words) (₹):					
Scheme: MIRAE ASSET ULTRA SHOR DURATION FUND	RT Regular	Plan Direct P	lan Growth (E	Default) Div. Payout	Div. Reinvestment (Def
Scheme: MIRAE ASSET ULTRA SHOR DURATION FUND				Default) Div. Payout	Div. Reinvestment (Def
Scheme: MIRAE ASSET ULTRA SHOR DURATION FUND ividend frequency is applicable only for Mira DECLARATION AND SIGNATURES / T. The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having ee to abide by the terms, conditions, rules and regulations gover visions of the Income Tax Act, Anti Money Laundering Laws or a tet Mutual Fund. (D) The information given in / with this applic mation/details with the AMC / Fund/Registrars and Transfer Age will indemnify the Fund, AMC, Trustee, RTA and other interme of trail commission or any other mode), payable to him for the cative portfolio and/or any indicative yield by the Fund/AMC/its e have read, understood and shall be bound by the terms & con A) through the registrar or otherwise. (I) Applicable to Foreign Rs est into the Scheme as per the said FEMA regulations and other fy the AMC, in which event the AMC reserves the right to redeet firm that the information provided by me / us on this Form is true be presumed that applicant is the ultimate beneficial owner, with concealed the facts of beneficial ownership. I/We also underta that? I/We hereby voluntarily submit Aadhar card to the Fund/AM securilies and Exchange Board of India ("SEBI") vide its letter	are Asset Cash Manageme HUMB IMPRESSION OF gread and understood the contents of grining the scheme. (8) I/We hereby dec any other applicable laws enacted by attention form is true and correct and fu gent (RTA) from time to time. I/We her didaries in case of any dispute regardi different competing Schemes of varic distributor for this investment. I/We he ridditions of the PIN agreement availab esident's Residing in India:- I/We con rapplicable laws and regulations. (J) I m my / our investments in the Scheme e, correct, and complete. I / We also cc n no declaration to submit. In such cas sale to keep you informed in writing ab MC for updating the same in my folio. dated November 20, 2019 bearing of	ent Fund & Mirae Asset S APPLICANT(s) [Refer If the SID of the Scheme applied for clare that the amount invested in th the Government of India from time rither agrees to furnish additional i eby confirm that the AMC/Fund sha ng the eligibility, validity and author usus Mutual Funds from amongst wave not received nor have been ind lee on the AMC website for transact firm that I/We satisfy the Residency I/We confirm that I am / We are no e(s). (K) FATCA/CRS Certification onfirm that I/We have read and une se, the concerned SEBI registered yout any changes/modification to the reference no. SEBI/HO/IMD/DF5/	Savings Fund. Instructions 2(f) of K (Including the scheme(s) availal e scheme is through legitimate s to time. (C) Signature of the non information sought by Mirae Ass all have the right to share my info ization of my/our transactions. (I hich the Scheme is being recome uuced by any rebate or gifts, direc ing online. (H) RIA: I/We hereby y test as prescribed under FEMA t United States person(s) under I I / We have understood the info derstood the FATCA& CRS Term intermediary reserves the right to ne above information in future & OW/P/2019/30719/1 ("SEBI NC	ble during the New Fund Offer period); I/We he burces only and does not involve and is not des innee acknowledging receipts of mylour credit tel Investment Managers (India) Private Limir mation and other details with the regulatory a E) I/We further declare that "The ARN holder hemoded to me/us. (F) I/We hereby confirm that tily or indirectly in making this investment. (G) agree to consent the AMC to share my transar provisions. I/We further declare that I/We an/Method to the telephone of the telephone of the same series of this Form (read along as and Conditions and hereby accept the same or eject the application or reverse the allotmenialso undertake to provide any other additional CC") had granted their non-objection to transfer	preby apply for units of the said such schemigned for the purpose of the contravention will constitute full discharge of liabilities of ted" (AMC). Fund and undertake to updand government authorities as and when ne as disclosed to melwas all the commissions. If tile to the said tile tile tile tile tile tile tile tile
Scheme: MIRAE ASSET ULTRA SHOR DURATION FUND ividend frequency is applicable only for Mira	are Asset Cash Manageme HUMB IMPRESSION OF gread and understood the contents of gring the scheme. (8) I/We hereby dec any other applicable laws enacted by atton form is true and correct and fu gring the great of any dispute regardin different competing Schemes of vau- distributor for this investment. I/We he diditions of the PIN agreement available seident's Residing in India:- I/We also correct, and complete. I/ We also correct, and complete. I/ We also cornect, and complete. I/ We also cornect, and complete. I/ We also dated November 20, 2019 bearing in Mc for updating the same in my folio dated November 20, 2019 bearing in ndia) Private Limited'. Kindly refer not	ent Fund & Mirae Asset S APPLICANT(s) [Refer f the SID of the Scheme applied for clare that the amount invested in th the Government of India from time rither agrees to furnish additional i eby confirm that the AMC/Fund sh, ing the eligibility, validity and author ous Mutual Funds from amongst w ave not received nor have been ind le on the AMC website for transact firm that I/We satisfy the Residency I/We confirm that I am / We are no confirm that I/We have read and unese, the concerned SEBI registered oout any changes/modification to th reference no. SEBI/HO/IMD/DF5// icice cum addendum no. AD/28/2019 ure of 2 st Applicant/Guardian / (AS IN BANK REC	Savings Fund. Instructions 2(f) of K (Including the scheme(s) availal e scheme is through legitimate s to time. (C) Signature of the non information sought by Mirae Ass all have the right to share my info tization of mylour transactions. (I thich the Scheme is being recom tuced by any rebate or grifts, direc ing online. (H) RIA: I/We hereby ty test as prescribed under FEMA tt United States person(s) under I/I We have understood the info derstood the FATCA& CRS Terr intermediary reserves the right to the above information in future & OW/P/2019/30719/1 ("SEBI NC d dated November 25, 2019 for fu	ble during the New Fund Offer period); I/We he burces only and does not involve and is not des innee acknowledging receipts of mylour credit tel Investment Managers (India) Private Limir mation and other details with the regulatory a E) I/We further declare that "The ARN holder himended to me/us. (F) I/We hereby confirm thatly or indirectly in making this investment. (G) agree to consent the AMC to share my transar provisions. I/We further declare that I/We and/the laws of United States or resident(s) of Carmation requirements of this Form (read along as and Conditions and hereby accept the same or eject the application or reverse the allotmen also undertake to provide any other additional CC") had granted their non-objection to transfirther details. Signature of 3 rd Applicant/G (AS IN B/	preby apply for units of the said such schemigned for the purpose of the contravention will constitute full discharge of liabilities of ted" (AMC). I Fund and undertake to upde and government authorities as and when ne as disclosed to melvas all the commissions t I/We have not been offered/communicate Applicable to Investors availing the online of cition details to the registered investment a are "Person Resident in India" and are allowada. In case of change to this status, I / We with the FATCA & CRS Instructions) and h. In case the above information is not proviou of units, if subsequently it is found that applint information as may be required at your elements.

Dated_____ Bank & Branch_

INSTRUCTIONS

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. The application for Auto Switch will be processed on the closing day of the NFO .
- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- 12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 14.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 15.Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Dividend Payout), by default, the units will be allotted under the Growth Option of the Plan.
- 16.Investors subscribing under Direct Plan of the Mirae Asset Ultra Short Duration Fund will have to indicate the Scheme / Plan name in the application form as "Mirae Asset Ultra Short Duration Fund Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- 17. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor.