

## Mirae Asset Mutual Fund

**Trustee:** Mirae Asset Trustee Company Private Limited  
**CIN:** U65191MH2007FTC170231

**Investment Manager:** Mirae Asset Investment Managers (India) Private Limited  
**CIN:** U65990MH2019PTC324625

### Registered & Corporate Office:

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East),  
Mumbai – 400098

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## KEY INFORMATION MEMORANDUM OF

### Mirae Asset Silver ETF

**(NSE Symbol: SILVRETF BSE Scrip Code: 543922)**

An open-ended scheme replicating/tracking Domestic Price of Silver

### PRODUCT LABELLING

Mirae Asset Silver ETF is suitable for investors who are seeking\*

- Investors seeking returns that are in line with the performance of silver over the long term, subject to tracking errors.
- Investments in physical silver of 99.9% purity (fineness).

\*Investors should consult their financial advisors if they are not clear about the suitability of the product.



### *Continuous Offer for units at NAV based prices.*

The units of the Scheme are listed on the National Stock Exchange of India Ltd. (NSE) and BSE Limited (BSE). All investors including Market Makers and Large Investors can subscribe (buy) / redeem (sell) units on a continuous basis on the NSE/BSE on which the Units are listed during the trading hours on all the trading days. In addition, Market Makers can directly subscribe to / redeem units of the Scheme on all Business Days with the Fund in 'Creation Unit Size' at NAV based prices on an ongoing basis. Large Investors can transact directly with the Fund for an amount greater than INR 25 crores.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website [www.miraeassetmf.co.in](http://www.miraeassetmf.co.in).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

**DISCLAIMER OF NSE:**

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter no. NSE/LIST/5386 dated November 30, 2021 permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the stock exchanges on which the Mutual Fund's Units are proposed to be listed subject to, the Mutual Fund fulfilling the various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document; nor does it warrant that the Mutual Fund's Units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its Sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any Units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

**DISCLAIMER OF BSE:**

"BSE Ltd. ("the Exchange") has given vide its letter no. LO/IPO/AH/MF/IP/167/2021-22 dated December 01, 2021 permission to use the Exchange's name in this SID as one of the Stock Exchanges on which this Mutual Fund's Units are proposed to be listed. The Exchange has scrutinized this SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to. The Exchange does not in any manner:-

- i) warrant, certify or endorse the correctness or completeness of any of the contents of this SID; or
- ii) warrant that this scheme's units will be listed or will continue to be listed on the Exchange; or
- iii) take any responsibility for the financial or other soundness of this Mutual Fund, its promoters, its management or any scheme or project of this Mutual Fund;

and it should not for any reason be deemed or construed that this SID has been cleared or approved by the Exchange.

Every person who desires to apply for or otherwise acquires any unit of this Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/ acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

An investor, by subscribing or purchasing an interest in the Product(s), will be regarded as having acknowledged, understood and accepted the disclaimer referred to in Clauses above and will be bound by it.

| <b>Name of the Scheme</b>   | Mirae Asset Silver ETF   |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
|---|--|------|---------------|----------------------|---|--|--------------|--|--|---------|-----|------|-----------|---|---|----|---------------|
| <b>Category of the Scheme</b>   | Exchange Traded Funds  |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| <b>Type of the Scheme</b>   | An open-ended scheme replicating/tracking Domestic Price of Silver   |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| <b>Scheme Code</b>  | MIRA/O/O/OET/22/11/0051  |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| <b>Investment Objective</b>   | To generate returns that are in line with the performance of physical silver in domestic prices, subject to tracking error. The Scheme does not guarantee or assure any returns.   |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| <b>Asset Allocation Pattern of the scheme</b>   | <p>Under normal circumstances the asset allocation will be as follows:</p> <table border="1"> <thead> <tr> <th rowspan="2">Types of Instruments</th><th colspan="2">Indicative allocation (% of total assets)</th><th rowspan="2">Risk Profile</th></tr> <tr> <th></th><th></th></tr> </thead> <tbody> <tr> <td>Silver*</td><td>95%</td><td>100%</td><td>Very High</td></tr> <tr> <td>Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds.</td><td>0</td><td>5%</td><td>Low to Medium</td></tr> </tbody> </table> <p>*includes physical silver and other silver related instruments^ which may be permitted by Regulator from time to time. Exchange Traded Commodity Derivatives (ETCDs) having silver as the underlying shall be considered as ‘silver related instrument’ for Silver ETF.</p> <p>As per Clause 3.3.2.3 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, Investment in ETCDs having silver as the underlying by Silver ETFs will be subject to following conditions:</p> <p>Exposure to derivatives of silver may be required in certain situations wherein it will be beneficial to the Scheme to invest in silver derivatives as compared to investing into physical Silver or when it is not possible to invest in physical silver due various reasons. The exposure to ETCDs having silver as the underlying shall not exceed 10% of net asset value of the scheme. However, the above limit of 10% shall not be applicable to Silver ETFs where the intention is to take delivery of the physical silver and not to roll over its position to next contract cycle.</p> <p>The cumulative gross exposure through Physical Silver, Money Market Instruments and ETCDs shall not exceed 100% of the net assets of the Scheme in accordance with Clause 12.24 of SEBI Master Circular dated May 19, 2023.</p> <p>Investment in the physical silver shall be of standard 30 kg bar’s with fineness of 999 parts per thousand (or 99.9% purity) confirming to London Bullion Market Association (LBMA) Good Delivery Standards. This may change as per the regulatory guidelines in future. During buying or selling, for a concerned transaction, in case of any variation in the weight of the silver bar (away from 30 kg), same shall be adjusted in the cash</p> |      |               | Types of Instruments | Indicative allocation (% of total assets) |  | Risk Profile |  |  | Silver* | 95% | 100% | Very High | Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds. | 0 | 5% | Low to Medium |
| Types of Instruments  | Indicative allocation (% of total assets)  |      | Risk Profile  |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
|   |  |      |               |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| Silver*   | 95%  | 100% | Very High     |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |
| Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds. | 0  | 5%   | Low to Medium |                      |   |  |              |  |  |         |     |      |           |   |   |    |               |

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|  | <p>component i.e. higher weight will reduce cash component and lower weight will increase cash component for the concerned investor.</p> <p>The Scheme may invest in the schemes of Mutual Funds in accordance with the applicable extant SEBI (Mutual Funds) Regulations as amended from time to time.</p> <p>The Scheme will not invest in ADR/ GDR / Foreign Securities / Securitized Debt/ structured obligation/ Repo in Corporate Debt Securities nor will it engage in short selling. The scheme does not intend to invest into any credit default swaps. The scheme shall not invest in instruments having Special Features as defined in clause 12.2 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023. The scheme shall not invest in Units issued by REITs and InvITs. The scheme shall not engage in Securities Lending or short selling.</p> <p>However, the cumulative gross exposure to silver, Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds will not exceed 100% of the net assets of the scheme in accordance with Clause 12.24.1 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.</p> <p>Pending deployment of funds of a Scheme in securities in terms of investment objectives of the Scheme a mutual fund can invest the funds of the Scheme in short term deposits of scheduled commercial banks in terms of Clause 12.16 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.</p> <p>Further, the Scheme may, for meeting liquidity requirements invest in units of money market/liquid schemes of Mirae Asset Mutual Fund and/or any other mutual fund provided that aggregate inter-scheme investment made by all schemes under the same management or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of the mutual fund. The AMC shall not charge any investment management fees with respect to such investment.</p> <p>Subject to SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages can vary substantially depending upon the perception of the Investment Manager; the intention being at all times to seek to protect the interests of the Unit holders. As per Clause 1.14.1.2 of SEBI Master Circular dated May 19, 2023, such changes in the investment pattern will be for short term and for defensive consideration only. The Scheme shall rebalance the portfolio in case of any deviation to the asset allocation. Such rebalancing shall be done within 7 days from the date of occurrence of deviation.</p> <p><b>Change in Asset Allocation Pattern</b></p> <p>The Scheme, out of the funds allocated shall primarily invest in Silver (includes physical Silver and other Silver related instruments which may be permitted by Regulator from time to time) and shall invest in debt and money market securities, only to the extent necessary to meet the liquidity requirements for honoring repurchase / redemptions / expenses. In view of the nature of the Scheme, the asset allocation pattern as indicated above may not change, except in line with the changes made in SEBI (MF) Regulations, from time to time.</p> |
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|                            | <p>The tracking error i.e. the annualised standard deviation of the difference in daily returns between physical silver and the NAV of Silver ETF based on past one year rolling over data (For ETFs in existence for a period of less than one year, annualized standard deviation shall be calculated based on available data) shall not exceed 2%. In case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMC, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the AMC, if any. The same shall be disclosed on a daily basis on the websites of AMC and AMFI.</p>   |
| <b>Investment Strategy</b> | <p>Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising Silver (includes physical Silver and other Silver related instruments which may be permitted by Regulator from time to time) and instruments related to silver (including derivatives as and when permitted by SEBI), Debt Securities and Money Market Instruments. Investments in silver (includes physical Silver and other Silver related instruments which may be permitted by Regulator from time to time) would be primarily assessed with regard to its fineness. The AMC will endeavor to address the key risks associated with investments in Silver Bullion as under:</p> <ol style="list-style-type: none"> <li><b>1. Quality and Purity Risk</b> <ul style="list-style-type: none"> <li>Physical Silver purchased by the Scheme will be of fineness (or purity) of 999 parts per 1,000 (99.9%) or higher.</li> <li>Custodian will accept physical silver only if the silver is compliance with the Good Delivery norms as specified by LBMA.</li> </ul> </li> <li><b>2. Passive Investments</b> <p>The Scheme is a passively managed fund that shall be investing substantial portion of its assets in physical silver and tracking its performance as close as possible to the price of silver. Therefore, irrespective of decline / rise in prices of physical silver, The Scheme shall remain invested in silver and being a passively managed fund, no active calls based on outlook of silver prices will be taken by the Fund.</p> </li> <li><b>3. Custody risk</b> <p>There is a risk that part or all of the physical silver belonging to the Scheme could be lost, damaged or stolen. In order to ensure safety, the said silver will be stored with custodian in its vaults. Silver held by custodian is also insured.</p> </li> <li><b>4. Tracking Error</b> <p>Tracking error means the variance between daily returns of the underlying benchmark (silver in this case) and the NAV of the Scheme for any given period. NAV of the Scheme is dependent on valuation of silver. Silver has to be valued based on the formula prescribed by SEBI. NAV so computed may vary from the price of Silver in the domestic market.</p> <p>Tracking error could be the result of a variety of factors including but not limited to:</p> <ul style="list-style-type: none"> <li>Delay in the purchase or sale of silver due to - <ul style="list-style-type: none"> <li>➤ Illiquidity of silver,</li> </ul> </li> </ul> </li> </ol> |

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|  | <ul style="list-style-type: none"> <li>➤ Delay in realization of sale proceeds,</li> <li>➤ Creating a lot size to buy the required amount of silver</li> </ul> <ul style="list-style-type: none"> <li>• The Scheme may buy or sell the silver at different points of time during the trading session at the then prevailing prices which may not correspond to its closing prices.</li> <li>• The potential for trades to fail, which may result in the Scheme not having acquired silver at a price necessary to track the benchmark price.</li> <li>• The holding of a cash position and accrued income prior to distribution of income and payment of accrued expenses.</li> <li>• Disinvestments to meet redemptions, recurring expenses, dividend payouts etc.</li> <li>• Execution of large buy / sell orders</li> <li>• Transaction cost (including taxes and insurance premium) and recurring expenses</li> <li>• Realization of Unit holders' funds</li> </ul> <p>Tracking error due to movement in prices of physical silver will impact the performance of the Scheme. However, the Scheme will endeavor to keep tracking error as low as possible by:</p> <ul style="list-style-type: none"> <li>• Use of silver related derivative instruments, as and when allowed by SEBI Regulations</li> <li>• Rebalancing of the portfolio.</li> <li>• Setting off of incremental subscriptions against redemptions.</li> </ul> <p>The tracking error i.e. the annualised standard deviation of the difference in daily returns between physical silver and the NAV of Silver ETF based on past one year rolling over data (For ETFs in existence for a period of less than one year, annualized standard deviation shall be calculated based on available data) shall not exceed 2%. In case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMC, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the AMC, if any. The same shall be disclosed on a daily basis on the websites of AMC and AMFI.</p> <p>Along with the disclosure of tracking error, Silver ETF schemes shall also disclose the tracking difference i.e. the difference of returns between physical silver and the Silver ETF, on the website of the AMC on monthly basis for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units.</p> <p>For detailed investment strategy, refer SID.</p> |
| <b><i>Risk Profile of the Scheme</i></b> | <p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment.</p> <p>The Scheme is subjected to risk factors associated with risks associated with Exchange traded Schemes, Risk factors associated with investing in Silver and Silver related instruments, Risks Related to the Custody of Silver, Tracking Error Risk, Risk associated with Lending of physical Silver, Risks Associated with Debt &amp; Money Market Instruments, Risks Associated with Derivatives, Risks associated with segregated portfolio, Risks associated with investing in Tri-Party Repo through CCIL (TREPS).</p>   |
| <b><i>Risk Control measures</i></b>      | Risks Associated with Debt & Money Market Instruments   |

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|   | <p>Credit Risk - The fund has a rigorous credit research process. There is a regulatory and internal cap on exposure to each issuer. This ensures a diversified portfolio and reduced credit risk in the portfolio.</p> <p>While these measures are expected to mitigate the above risks to a large extent, there can be no assurance that these risks would be completely eliminated.</p>  |
| <b>Plans and Options</b>                                      | <p>The Scheme does not offer any Plans/Options for investment.</p> <p>The AMC and the Trustees reserve the right to introduce such other Plans/Options as they deem necessary or desirable from time to time, in accordance with the SEBI Regulations.</p>  |
| <b>Applicable NAV (after the scheme opens for repurchase)</b> | <p><b>In case of Purchase / Redemption directly with Mutual Fund (By Market Makers and Large Investors):</b></p> <p><b>Direct transaction in ETFs through AMCs</b><br/> Direct transaction with AMCs shall be facilitated for investors only for transactions above a specified threshold. In this regard, to begin with any order placed for redemption or subscription directly with the AMC must be of greater than INR 25 Cr. The aforesaid threshold shall not be applicable for Market Makers.</p> <p>All direct transactions in units of ETFs by Market Makers or other eligible investors (as mentioned above) with AMCs shall be at intra-day NAV based on the actual execution price of the underlying portfolio.</p> <p>The requirement of “cut-off” timing shall not be applicable for direct transaction with AMCs in ETFs by Market Makers and other eligible investors.</p> <p><b>For Redemption of units directly with the Mutual Fund (other than Market Makers and Large Investors):</b><br/> Investors can directly approach the AMC for redemption of units of ETF, for transaction of upto INR 25 Cr. without any exit load, in case of the following scenarios:</p> <ol style="list-style-type: none"> <li>Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or</li> <li>No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or</li> <li>Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days.</li> </ol> <p>In case of the above scenarios, applications received from investors for redemption up to 3.00 p.m. on any trading day, shall be processed by the AMC at the closing NAV of the day.</p> <p>Such instances shall be tracked by the AMC on an ongoing basis and in case any of the above mentioned scenario arises, the same shall be disclosed on the website of the Mutual Fund.</p> <p><b>Settlement of Purchase/Sale of Units of the Scheme on NSE/ BSE</b></p> <p>Buying/Selling of Units of the Scheme on NSE/ BSE is just like buying/selling any other normal listed security. If an investor has bought Units, an investor has to pay the purchase amount to the broker/sub-broker such that the amount paid is realized before the funds pay-in day of the settlement cycle on the Stock Exchange(s). If an investor</p> |

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|                           | <p>has sold Units, an investor has to deliver the Units to the broker/sub-broker before the securities pay- in day of the settlement cycle on the Stock Exchange(s). The Units (in the case of Units bought) and the funds (in the case of Units sold) are paid out to the broker on the pay-out day of the settlement cycle on the Stock Exchange(s). The Stock Exchange(s) regulations stipulate that the trading member should pay the money or Units to the investor within 24 hours of the pay-out.</p> <p>If an investor has bought Units, he should give standing instructions for ‘Delivery-In’ to his /her/its DP for accepting Units in his/her/its beneficiary account. An investor should give the details of his/her beneficiary account and the DP-ID of his/her/its DP to his/ her/its trading member. The trading member will transfer the Units directly to his/her/ its beneficiary account on receipt of the same from NSE’s/ BSE’s Clearing Corporation.</p> <p>An investor who has sold Units should instruct his/her/its Depository Participant (DP) to give ‘Delivery Out’ instructions to transfer the Units from his/her/its beneficiary account to the Pool Account of his/her/its trading member through whom he/she/it have sold the Units. The details of the Pool A/C (CM-BP-ID) of his/her trading member to which the Units are to be transferred, Unit quantity etc. should be mentioned in the Delivery Out instructions given by him/her to the DP. The instructions should be given well before the prescribed securities pay-in day. SEBI has advised that the Delivery Out instructions should be given at least 24 hours prior to the cut-off time for the prescribed securities pay-in to avoid any rejection of instructions due to data entry errors, network problems, etc.</p> |
| <b>Creation Unit Size</b> | <p>‘Creation Unit Size’ is the number of Units of the Scheme, which is exchanged against a predefined quantity and purity of physical Silver called the Portfolio Deposit and / or a Cash Component. For redemption of Units it is vice versa i.e. a fixed number of Units of Scheme are exchanged for Portfolio Deposit and Cash Component. The Portfolio Deposit and Cash Component may change from time to time and is discussed separately under this Scheme Information Document.</p> <p><b>Portfolio Deposit:</b> Portfolio Deposit consists of pre-defined quantity and purity of physical silver and announced by AMC from time to time.</p> <p><b>Cash Component:</b> Cash component represents the difference between the applicable net asset value of a creation unit and the market value of the Portfolio deposit.</p> <p>The Portfolio Deposit and Cash Component may change from time to time due to change in NAV and will be announced by the AMC on its website. The Creation Unit size for the scheme shall be 30,000 units. The Portfolio Deposit and the cash component will change from time to time as decided by AMC. The Creation Unit size may be changed by the AMC at their discretion and the notice of the same shall be published on website of Mutual Fund (<a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a>)</p>   |



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| <b>Minimum Amount for Application</b>                        | <p>On an on-going Basis:</p> <p>Directly with the AMC: Minimum amount of Rs. 25 crores. This shall not be applicable to Market Makers.</p> <p>On the Exchange: Units of the ETF can be subscribed (in multiples of 1 Unit) during the trading hours on all trading days on the NSE and/ or BSE on which the Units will be listed.</p> <p>Market Makers: Application for subscription of Units directly with the Fund in Creation Unit Size at NAV based prices in exchange of Portfolio Deposit and Cash Component. Note: Allotment of units will be done after deduction of applicable stamp duty and transaction, if any.all trading days on the NSE and BSE on which the Units are listed.</p>   |
| <b>Dispatch of Repurchase (Redemption) Request</b>           | The redemption or repurchase proceeds shall be dispatched to the unitholders within 3 working days from the date of redemption or repurchase.   |
| <b>Benchmark Index</b>                                       | The benchmark for the Scheme is the Domestic Price of Physical Silver (based on LBMA Silver daily spot fixing price).   |
| <b>Name of the Fund Manager</b>                              | Mr. Ritesh Patel since inception<br>Mr. Akshay Udeshi (co-fund Manager) since August 28, 2023   |
| <b>Name of the Trustee Company</b>                           | Mirae Asset Trustee Company Private Limited   |
| <b>Expenses of the Scheme</b><br><br><b>1.Load Structure</b> | <p><b>Entry Load: Not Applicable</b></p> <p>In accordance with the requirements specified by in Clause 10.4 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, no entry load will be charged for purchase/additional purchase/switch-in accepted by AMC.</p> <p><b>Exit Load:</b></p> <ul style="list-style-type: none"> <li>• <b>For investors transacting directly with the AMC:</b> No Exit load will be levied on redemptions made by Authorized Participants / Large Investors directly with the AMC.</li> <li>• <b>For investors transacting on the exchange:</b> Not Applicable.</li> </ul> <p>Investors other than Market Makers can redeem units directly with the Fund for transactions upto Rs. 25 crores at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for units without any exit load if:</p> <ul style="list-style-type: none"> <li>• Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or</li> <li>• No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or</li> </ul> |

| <p><b>2. Recurring Expenses</b></p>  | <ul style="list-style-type: none"> <li>• Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days.</li> </ul> <p>Such instances shall be tracked by the AMC on an ongoing basis and in case if any of the above mentioned scenario arises, the same shall be disclosed on the website of the Mutual Fund.</p> <p>For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres.</p> <p>The Mutual Fund may charge the load within the stipulated limit of 5% and without any discrimination to any specific group. The Repurchase Price however, will not be lower than 95% of the NAV.</p> <p>The Trustee reserves the right to modify/alter the load structure and may decide to charge an exit load on the Units with prospective effect, subject to the maximum limits as prescribed under the SEBI Regulations. At the time of changing the load structure, the AMC shall take the following steps:</p> <ul style="list-style-type: none"> <li>• Arrangements shall be made to display the changes/modifications in the SID in the form of a notice in all the Mirae Asset ISCs' and distributors' offices and on the website of the AMC.</li> <li>• The notice-cum-addendum detailing the changes shall be attached to SIDs and Key Information Memoranda. The addendum will be circulated to all the distributors so that the same can be attached to all SIDs and Key Information Memoranda already in stock.</li> <li>• The introduction of the exit load along with the details shall be stamped in the acknowledgement slip issued to the investors on submission of the application form and may also be disclosed in the statement of accounts issued after the introduction of such load.</li> <li>• Any other measures which the mutual funds may feel necessary.</li> </ul> <p>The AMC may change the load from time to time and in case of an exit/repurchase load this may be linked to the period of holding. It may be noted that any such change in the load structure shall be applicable on prospective investment only. The exit load (net off GST, if any, payable in respect of the same) shall be credited to the Scheme of the Fund.</p> <p>The distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor.</p> <p>The recurring expenses of operating the Scheme on an annual basis, which shall be charged to the Scheme, are estimated to be as follows (each as a percentage per annum of the daily net assets)</p> |             |                            |                                      |            |             |
|--------------------------------------|--|-------------|----------------------------|--------------------------------------|------------|-------------|
|                                      | <table border="1"> <thead> <tr> <th>Particulars</th><th>% p.a. of daily net assets</th></tr> </thead> <tbody> <tr> <td>Investment Management &amp; Advisory Fee</td><td rowspan="3">Upto 1.00%</td></tr> <tr> <td>Trustee fee</td></tr> <tr> <td>Audit fees</td></tr> </tbody> </table>  | Particulars | % p.a. of daily net assets | Investment Management & Advisory Fee | Upto 1.00% | Trustee fee |
| Particulars                          | % p.a. of daily net assets   |             |                            |                                      |            |             |
| Investment Management & Advisory Fee | Upto 1.00%   |             |                            |                                      |            |             |
| Trustee fee                          |  |             |                            |                                      |            |             |
| Audit fees                           |  |             |                            |                                      |            |             |

|  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
|--|---|----------------|----------|--|---|---|---|-----------------------------------|---|--|---|---------------------------------------|-----------------|--|--|
|  | <table border="1"> <tr><td>Custodian fees</td></tr> <tr><td>RTA Fees</td></tr> <tr><td>Marketing &amp; Selling expense incl. agent commission</td></tr> <tr><td>Cost related to investor communications</td></tr> <tr><td>Cost of fund transfer from location to location</td></tr> <tr><td>Cost of providing account statements and redemption cheques and IDCW warrants</td></tr> <tr><td>Costs of statutory Advertisements</td></tr> <tr><td>Cost towards investor education &amp; awareness (1 bps)</td></tr> <tr><td>Brokerage &amp; transaction cost over and above 12 bps and 5 bps for cash market transactions and derivative transaction respectively @@</td></tr> <tr><td>GST on expenses other than investment and advisory fees</td></tr> <tr><td>GST on brokerage and transaction cost</td></tr> <tr><td>Other Expenses*</td></tr> <tr><td><b>Maximum total expense ratio (TER) permissible under Regulation 52 (6) (b)</b></td></tr> </table>  | Custodian fees | RTA Fees | Marketing & Selling expense incl. agent commission | Cost related to investor communications | Cost of fund transfer from location to location | Cost of providing account statements and redemption cheques and IDCW warrants | Costs of statutory Advertisements | Cost towards investor education & awareness (1 bps) | Brokerage & transaction cost over and above 12 bps and 5 bps for cash market transactions and derivative transaction respectively @@ | GST on expenses other than investment and advisory fees | GST on brokerage and transaction cost | Other Expenses* | <b>Maximum total expense ratio (TER) permissible under Regulation 52 (6) (b)</b> |  |
| Custodian fees   |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| RTA Fees   |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Marketing & Selling expense incl. agent commission   |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Cost related to investor communications  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Cost of fund transfer from location to location  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Cost of providing account statements and redemption cheques and IDCW warrants  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Costs of statutory Advertisements  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Cost towards investor education & awareness (1 bps)  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Brokerage & transaction cost over and above 12 bps and 5 bps for cash market transactions and derivative transaction respectively @@ |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| GST on expenses other than investment and advisory fees  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| GST on brokerage and transaction cost  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| Other Expenses*  |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
| <b>Maximum total expense ratio (TER) permissible under Regulation 52 (6) (b)</b>   |   |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |
|  | <p>*Other expenses: Any other expenses which are directly attributable to the Scheme, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.</p> <p>For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.</p> <p>@@ Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.</p> <p>The purpose of the above table is to assist the investor in understanding the various costs &amp; expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.</p> <p>All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.</p> <p>In addition to the limits as specified in Regulation 52(6) of SEBI (Mutual Funds) Regulations 1996 [‘SEBI Regulations’] or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely:-</p> <p>GST payable on investment and advisory service fees (‘AMC fees’) charged by Mirae Asset Investment Managers (India) Private Limited (‘Mirae Asset AMC’);</p> <p>Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme:</p> |                |          |  |   |   |   |                                   |   |  |   |                                       |                 |  |  |

- (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme;  
 (b) Investor education and awareness initiative fees of 1 basis points on daily net assets of respective Scheme.

The current expense ratios will be updated on the AMC website <https://miraeassetmf.co.in/downloads/regulatory> at least 3 working days prior to the effective date of the change.

Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.

However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors.

The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.

The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.

**Illustration of impact of expense ratio on scheme's returns (by providing simple example)**

| Particulars                  |                 | Direct Plan |
|------------------------------|-----------------|-------------|
| Opening NAV per unit         | A               | 10.000      |
| Gross Scheme Returns @ 8.75% | B               | 0.875       |
| Expense Ratio @ 1.00 % p.a.  | C = (A x 1.00%) | 0.100       |
| Closing NAV per unit         | D = A + B - C   | 10.775      |
| Net 1 Year Return            | E/A - 1         | 7.75%       |

\*Distribution/Brokerage expense is not levied on Direct Plan

The above calculation is provided to illustrate the impact of expenses on the scheme returns and should not be construed as indicative Expense Ratio, yield or return.

**TER for the Segregated Portfolio**

- AMC shall not charge investment and advisory fees on the segregated portfolio. However, TER (excluding the investment and advisory fees) can be charged, on a pro-rata basis only upon recovery of the investments in segregated portfolio.
- The TER so levied shall not exceed the simple average of such expenses (excluding the investment and advisory fees) charged on daily basis on the main portfolio (in % terms) during the period for which the segregated portfolio was in existence.
- The legal charges related to recovery of the investments of the segregated portfolio may be charged to the segregated portfolio in proportion to the amount of recovery.

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|  | <p>However, the same shall be within the maximum TER limit as applicable to the main portfolio. The legal charges in excess of the TER limits, if any, shall be borne by the AMC.</p> <p>d) The costs related to segregated portfolio shall in no case be charged to the main portfolio.</p>   |
| <b>Waiver of Load for Direct Applications</b>        | Not Applicable.  |
| <b>Tax treatment for the Investors (Unitholders)</b> | Investors are advised to refer to the paragraph on Taxation in the “Statement of Additional Information” or “Scheme Information Document” and to consult their own unit holder's tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the scheme.  |
| <b>Daily Net Asset Value (NAV)</b>                   | The NAV will be declared on all business days. NAV can also be viewed on <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a> and <a href="http://www.amfiindia.com">www.amfiindia.com</a> . Investors can also call us on “1800 2090 777” (toll-free from a MTNL / BSNL landline) to know the latest NAV.   |
| <b>Indicative Net Asset Value (iNAV)</b>             | iNAV i.e. the per unit NAV based on the current market value of the scheme portfolio during the trading hours of the scheme, will be disclosed on a continuous basis on NSE and BSE and will be updated depending upon the availability of the underlying price of silver.   |
| <b>For Investor Grievances please contact</b>        | <p><b>Mr. Chaitanya Chaubal</b><br/> Mirae Asset Investment Managers (India) Private Limited<br/> 606, 6th Floor, Windsor Bldg., Off CST Road, Kalina, Santacruz (E), Mumbai - 400 098.<br/> <b>Telephone Nos.:</b> 6780 0300 <b>e-mail:</b> <a href="mailto:customercare@miraeasset.com">customercare@miraeasset.com</a><br/> <b>Investors are advised to contact any of the ISCs or the AMC by calling the investor line of the AMC at "1800 2090 777" (toll-free from a MTNL / BSNL landline) for any queries. Investors can also visit the website at <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a>, for complete details.</b></p>  |
| <b>Unitholders' Information</b>                      | <p>The AMC shall send an allotment confirmation specifying the units allotted by way of e-mail and/or SMS within 5 Business Days of receipt of valid application to the Unit holders registered e-mail address and/or mobile number.</p> <p>As the units of the Scheme will be issued, traded and settled compulsorily in dematerialized (electronic) form, the statement of holding of the Unitholder i.e. beneficiary account holder will be sent by the respective DPs periodically.</p> <p><b>Half yearly Disclosures: Portfolio / Financial Results:</b> The AMC/Mutual Fund shall within one month from the close of each half year, that is on March 31st and on September 30th, host a soft copy of its unaudited financial results on their website <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a>. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of the SEBI (Mutual Funds) Regulations, 1996 and such other details as are necessary for the purpose of providing a true and fair view of the operations of Mirae Asset Mutual Fund.</p> <p>The AMC/Mutual Fund shall publish an advertisement disclosing the hosting of unaudited financial results on their website <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a> in at least one</p> |

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|--|--|
|  | <p>English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.</p> <p>The mutual fund shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). The AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.</p> <p><b>Monthly Portfolio:</b> The AMC/Mutual Fund shall disclose portfolio (along with ISIN) as on the last day of the month for all their schemes on its website on <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a> or before the tenth day of the succeeding month in a user friendly format.</p> <p><b>Annual Financial Results:</b> An annual report of the Scheme or an abridged format thereof will be prepared as at the end of each financial year (March 31) and copies of the same will be sent (i) by e-mail to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted/requested for the same as soon as possible but not later than 4 months from the closure of the relevant financial year. The physical copy of the Scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the Scheme wise annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).</p> <p><b>Product Labeling and Risk-o-meter:</b> The Risk-o-meter shall have following six levels of risk:</p> <ol style="list-style-type: none"> <li>Low Risk</li> <li>Low to Moderate Risk</li> <li>Moderate Risk</li> <li>Moderately High Risk</li> <li>High Risk and</li> <li>Very High Risk</li> </ol> <p>The evaluation of risk levels of a scheme shall be done in accordance with Clause 17.4 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.</p> <p>Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.</p> <p>The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.</p> |
|--|--|

|                             |  |
|-----------------------------|--|
|                             | <p>The Product Labelling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.</p> <p><b>Tracking Error (TE):</b> The AMC shall disclose tracking error based on past one year rolling data, on a daily basis, on the website of AMC and AMFI.</p> <p><b>Tracking Difference (TD):</b> Tracking difference i.e. the annualized difference of daily returns between the index or goods and the NAV of the scheme shall be disclosed on the website of the AMC and AMFI, on a monthly basis, for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units.</p> <p><b>Scheme Summary Document:</b> The AMC has provided on its website a standalone scheme document for all the Schemes which contains all the details of the Scheme viz. Scheme features, Fund Manager details, investment details, investment objective, expense ratios, portfolio details, etc. Scheme summary document is uploaded on the websites of AMC, AMFI and stock exchanges in 3 data formats i.e. PDF, Spreadsheet and a machine readable format (either JSON or XML).</p> |
| <b>Segregated Portfolio</b> | In case of a credit event at issuer level and to deal with liquidity risk, Creation of segregated portfolio shall be subject to guidelines specified by SEBI from time to time. For complete details please refer SID of the Scheme.   |
| <b>Stamp Duty</b>           | Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on applicable mutual fund transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including dividend reinvestment) to the unitholders would be reduced to that extent. Please refer Statement of Additional Information for more details.  |

**Disclosures in terms of Clause 5.8 of SEBI Master Circular dated May 19, 2023:**

**Fund Manager Details**

| Sr. No. | Particulars   | Details  |                                      |
|---------|---------------|--|--------------------------------------|
| i.      | Name          | Mr. Ritesh Patel                                 | Mr. Akshay Udeshi (Co- Fund Manager) |
| ii.     | Age           | 33 years   | 28 years                             |
| iii.    | Qualification | Bachelors in financial market, CMT L-2 Candidate | MBA - Finance; B.E(Electronics)      |

|     |  |  |   |
|-----|--|--|---|
| iv. | Past experience  | <p>Mr. Ritesh Patel has over 10 years of experience in Commodities market. Prior to joining Mirae Asset Investment Managers (India) Private Limited, Mr. Patel has worked with companies like Aditya Birla Money Ltd, IIFL Securities, Ventura Securities, Choice Broking Pvt. Ltd and Waves research.</p> <p>Currently, Mr. Ritesh Patel is also managing Mirae Asset Gold ETF.</p> | <p>Mr. Akshay Udeshi has over 4 years of experience in the field of financial services. He has been associated with the AMC since June 2021. Prior to this assignment, Mr. Udeshi was associated with Reliance Retail Limited where he was involved in product development in the affordability space. He also has an experience with L&amp;T Financial Services where he was involved in product management of secured lending products.</p> <p>Others schemes co-managed by him:</p> <ol style="list-style-type: none"> <li>1. Mirae Asset Nifty 100 ESG Sector Leaders ETF</li> <li>2. Mirae Asset Nifty 100 ESG Sector Leaders Fund of Fund</li> <li>3. Mirae Asset Gold ETF</li> </ol> |
| v.  | Tenure for which the fund manager has been managing the scheme | 8 Months (Managing since February 2023)  | 1 months (Managing since August 28, 2023)   |
| vi. | Scheme's portfolio turnover ratio                              | N.A.   | NA  |

**Disclosures in terms of clause 5.8 of SEBI Master Circular dated May 19, 2023:**

**A. Top 10 Holdings as on September 30, 2023**

| Name of the Instrument | Market/Fair Value(Rs. in Lacs) | % to Net Assets |
|------------------------|--------------------------------|-----------------|
| Silver                 | 1208.16                        | 96.83%          |
| TREPS                  | 2.02                           | 0.16%           |



**Asset Allocation as on September 30, 2023**

| <b>Asset allocation</b>  | <b>% Weightage</b> |
|--------------------------|--------------------|
| Silver                   | 96.8340%           |
| Cash & Other Receivables | 3.1660%            |
| <b>Total</b>             | <b>100 %</b>       |

For complete details of the portfolio refer: <https://www.miraeassetmf.co.in/downloads/portfolios>

**B. The aggregate investment in the scheme under the following categories as on September 30, 2023:**

| <b>Sr. No.</b> | <b>Categories</b>              | <b>Amount (Rs.)</b> |
|----------------|--------------------------------|---------------------|
|                | AMC's Board of Directors       | Nil                 |
|                | Scheme's Fund Manager(s)       | Nil                 |
|                | Other key managerial personnel | Nil                 |

**Comparison with similar existing schemes of Mirae Asset Mutual Fund:**

The below table shows the differentiation of the Scheme with the existing ETFs of Mirae Asset Mutual Fund:

| <b>Scheme Name</b>                        | <b>Investment Objective</b>   | <b>Asset Allocation Pattern</b>   | <b>Investment Strategy</b>   | <b>AUM as on September 30, 2023 (in Rs. crores)</b> | <b>No. of Folios as on September 30, 2023</b> |
|---|---|---|--|---|---|
| <b>Mirae Asset Nifty IT ETF</b>           | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty IT Total Return Index, subject to tracking error. There is no assurance or guarantee that the investment objective of the scheme would be achieved.           | Securities included in the Nifty IT Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0 to 5%           | Mirae Asset Nifty IT ETF will be managed passively with investments in stocks in the same proportion as in the Nifty IT Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty IT Index in similar weight proportion.                                   | NA since this is a new scheme                       |   |
| <b>Mirae Asset Nifty 200 Alpha 30 ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty 200 Alpha 30 Total Return Index, subject to tracking error. There is no assurance or guarantee that the investment objective of the scheme would be achieved. | Securities included in the Nifty 200 Alpha 30 Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0 to 5% | The Mirae Asset Nifty 200 Alpha 30 ETF will be managed passively with investments in stocks in the same proportion as in the Nifty 200 Alpha 30 Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty 200 Alpha 30 Index in similar weight proportion. | NA since this is a new scheme                       |   |

|   |  |  |   |          |      |
|---|--|--|---|----------|------|
| <b>Mirae Asset S&amp;P BSE Sensex ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the S&P BSE Sensex Total Return Index, subject to tracking error. There is no assurance or guarantee that the investment objective of the scheme would be achieved | Securities included in the S&P BSE Sensex Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5% | Mirae Asset S&P BSE Sensex ETF will be managed passively with investments in stocks in the same proportion as in the S&P BSE Sensex Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of S&P BSE Sensex Index in similar weight proportion.  | 10.83    | 337  |
| <b>Mirae Asset Nifty 50 ETF</b>           | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty 50 Index, subject to tracking error. The Scheme does not guarantee or assure any returns.  | Securities included in the Nifty 50 Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5%       | The NIFTY 50 ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in Nifty 50 Index. The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, taking into account the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements. | 1,636.52 | 6400 |

|   |   |   |  |          |       |
|---|---|---|--|----------|-------|
| <b>Mirae Asset Nifty Next 50 ETF</b>                | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty Next 50 Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns.                 | Securities included in the Nifty Next 50 Index: 95% to 100%<br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds, but excluding subscription and redemption cash flow*: 0% to 5%<br><br>*Subscription cash flow is the subscription money in transit before deployment and redemption cash flow is the money kept aside for meeting redemptions. | The NIFTY NEXT 50 ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in Nifty Next 50 Index. The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, taking into account the change in weights of stocks in the Index as well as the incremental collections /redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements. | 128.71   | 2862  |
| <b>Mirae Asset Nifty 100 ESG Sector Leaders ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty100 ESG Sector Leaders Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns.   | Securities included in the Nifty100 ESG Sector Leaders Index: 95% to 100%<br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5%   | The Mirae Asset Nifty 100 Sector Leaders ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in Nifty100 ESG Sector Leaders Index.   | 134.64   | 4363  |
| <b>Mirae Asset NYSE FANG+ ETF</b>                   | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the NYSE FANG+ Total Return Index, subject to tracking error and forex movement. The Scheme does not guarantee or assure any returns. | Securities included in the NYSE FANG+ Index: 95% 100%<br>Money market instruments / debt securities, Instruments and/or units of schemes of domestic Mutual Funds. 0 - 5%   | The Mirae Asset NYSE FANG+ ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in NYSE FANG+ Index.  | 1,533.17 | 89961 |

|  |   |   |  |        |       |
|--|---|---|--|--------|-------|
| <b>Mirae Asset S&amp;P 500 Top 50 ETF</b>        | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the S&P 500 Top 50 Total Return Index, subject to tracking error and forex movement. The Scheme does not guarantee or assure any returns. | Securities included in the S&P 500 Top 50 Index: 95% 100%<br>Money market instruments / debt securities, Instruments and/or units of schemes of domestic Mutual Funds. 0 - 5%                                 | The Mirae Asset S&P 500 Top 50 ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in S&P 500 TOP 50 Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of S&P 500 TOP 50 Index in similar weight proportion.                                  | 565.90 | 16735 |
| <b>Mirae Asset Nifty India Manufacturing ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty India Manufacturing Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns.         | Securities included in the Nifty India Manufacturing Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5% | The Mirae Asset Nifty India Manufacturing ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in Nifty India Manufacturing Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty India Manufacturing Index in similar weight proportion. | 90.15  | 3449  |

|   |   |  |  |        |       |
|---|---|--|--|--------|-------|
| <b>Mirae Asset Nifty Financial Services ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty Financial Services Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns.          | Securities included in the Nifty Financial Services Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5% | The Mirae Asset Nifty Financial Services ETF will be managed passively with investments in stocks in a proportion that match as close as possible to the weights of these stocks in Nifty Financial Services Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty Financial Services Index in similar weight proportion.<br><br>The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, considering the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements. | 259.61 | 32392 |
| <b>Mirae Asset Hang Seng TECH ETF</b>           | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Hang Seng TECH Total Return Index, subject to tracking error and forex movement. The Scheme does not guarantee or assure any returns. | Securities included in the Hang Seng TECH Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of schemes of domestic Mutual Funds: 0% to 5%                       | The Mirae Asset Hang Seng TECH ETF will be managed passively with investments in stocks in a proportion that match the weights of these stocks in Hang Seng TECH Index.  | 204.93 | 17897 |

|   |   |   |  |        |       |
|---|---|---|--|--------|-------|
| <b>Mirae Asset Nifty Midcap 150 ETF</b>         | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty Midcap 150 Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns.            | Securities included in the Nifty Midcap 150 Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5%            | The Mirae Asset Nifty Midcap 150 ETF will be managed passively with investments in stocks in the same proportion as in the Nifty Midcap 150 Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty Midcap 150 Index in similar weight proportion.   | 520.69 | 19466 |
| <b>Mirae Asset Gold ETF</b>                     | The investment objective of the scheme is to generate returns that are in line with the performance of physical gold in domestic prices, subject to tracking error. The Scheme does not guarantee or assure any returns.  | Gold and gold related instruments: 95% to 100%<br><br>Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5%    | The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising Gold (includes physical Gold and other Gold related instruments which may be permitted by Regulator from time to time) and instruments related to gold (including derivatives as and when permitted by SEBI), Debt Securities and Money Market Instruments. Investments in gold (includes physical Gold and other Gold related instruments which may be permitted by Regulator from time to time) would be primarily assessed with regard to its fineness | 16.87  | 1280  |
| <b>Mirae Asset Nifty 100 Low Volatility ETF</b> | The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty 100 Low Volatility 30 Total Return Index, subject to tracking error. The Scheme does not guarantee or assure any returns. | Securities included in the Nifty 100 Low Volatility 30 Index: 95% to 100%<br><br>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0% to 5% | The Mirae Asset Nifty 100 Low Volatility 30 ETF will be managed passively with investments in stocks in the same proportion as in the Nifty 100 Low Volatility 30 Index.<br><br>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty 100 Low Volatility 30 Index in similar weight proportion.  | 5.93   | 221   |

|  |  |   |  |              |            |
|--|--|---|--|--------------|------------|
| <b>Mirae Asset Nifty 8-13 Yr G-Sec ETF</b> | <p>The investment objective of the Scheme is to provide returns before expenses that correspond to the returns of Nifty 8-13 yr G-Sec Index, subject to tracking errors. However, there is no assurance that the investment objective of the Scheme will be realized and the Scheme does not assure or guarantee any returns</p> | <p>Government securities, TREPS on Government Securities, Treasury bills: 95% to 100%</p> <p>Money Market instruments (Treasury Bills, Government Securities and Tri-party Repo on government securities or T-bills Only)*: 0% to 5%</p> <p>*Money Market Instruments will include only treasury bills and government securities having a residual maturity upto one year, Tri-party Repo on government securities or T-bills and any other like instruments as specified by the Reserve Bank of India from time to time.</p> | <p>The fund is a passively managed index fund which will employ an investment approach designed to track the performance of Nifty 8-13 yr G-Sec Index.</p> <p>The Scheme will invest in Government Securities which are constituents of the underlying Index viz. Nifty 8-13 yr G-Sec Index. Based on the availability of issuances, it is expected that the portfolio allocation could be different than that of underlying index allocation and could result in Tracking Error. That said broad risk parameters of the portfolio of the Scheme such as credit rating, weighted average YTM, weighted average maturity, asset allocation etc. are expected to be similar to the Underlying Index.</p> | <b>76.29</b> | <b>129</b> |
| <b>Mirae Asset Nifty Bank ETF</b>          | <p>The investment objective of the scheme is to generate returns, before expenses, that are commensurate with the performance of the Nifty Bank Total Return Index, subject to tracking error. There is no assurance or guarantee that the investment objective of the scheme would be achieved.</p>                             | <p>Securities included in the Nifty Bank Index: 95% to 100%</p> <p>Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds: 0 to 5%</p>  | <p>The Mirae Asset Nifty Bank ETF will be managed passively with investments in stocks in the same proportion as in the Nifty Bank Index.</p> <p>The investment strategy of the Scheme will be to invest in a basket of securities forming part of Nifty Bank Index in similar weight proportion.</p> <p>The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, considering the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in debt and money market instruments, to meet the liquidity requirements.</p>     | 21.33        | 813        |



|   |  |  |   |        |      |
|---|--|--|---|--------|------|
| <b>Mirae Asset Nifty 1D Rate Liquid ETF</b> | <p>The investment objective is to seek to provide current income, commensurate with low risk while providing a high level of liquidity through a portfolio of Tri-Party Repo on Government Securities or T-bills / Repo &amp; Reverse Repo. The Scheme endeavors to provide returns that before expenses, closely correspond to the returns of Nifty 1D Rate Index subject to tracking errors. However, there is no assurance that the investment objective of the Scheme will be realized and the Scheme does not assure or guarantee any returns</p> | <p>Securities included in the Nifty 1D Rate Index (TREPS): 95% to 100%</p> <p>Units of Liquid schemes, Money Market Instruments (with maturity not exceeding 91 days), cash &amp; cash equivalents: 0% to 5%</p> | <p>The AMC uses a “passive” approach to try and achieve Scheme’s investment objective. Unlike other Fund, the Scheme does not try to “beat” the markets. The AMC does not make any judgments about the investment merit of a particular instrument or a particular industry segment nor will it attempt to apply any economic, financial or market analysis. Subject to the Regulations and the applicable guidelines the Scheme may invest in the schemes of Mutual Funds.</p> | 289.04 | 1591 |
|---|--|--|---|--------|------|

|                               |  |  |   |       |      |
|-------------------------------|--|--|---|-------|------|
| <b>Mirae Asset Silver ETF</b> | To generate returns that are in line with the performance of physical silver in domestic prices, subject to tracking error. The Scheme does not guarantee or assure any returns. | <p>Silver*:- 95% to 100 % Money market instruments including Tri Party REPO/ debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds:- 0% to 5%</p> <p>*includes physical silver and other silver related instruments^ which may be permitted by Regulator from time to time. Exchange Traded Commodity Derivatives (ETCDs) having silver as the underlying shall be considered as 'silver related instrument' for Silver ETF.</p> | <p>Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising Silver (includes physical Silver and other Silver related instruments which may be permitted by Regulator from time to time) and instruments related to silver (including derivatives as and when permitted by SEBI), Debt Securities and Money Market Instruments. Investments in silver (includes physical Silver and other Silver related instruments which may be permitted by Regulator from time to time) would be primarily assessed with regard to its fineness. The AMC will endeavor to address the key risks associated with investments in Silver Bullion as under:</p> <ol style="list-style-type: none"> <li>1. Quality and Purity Risk</li> <li>2. Passive Investments</li> <li>3. Custody risk</li> <li>4. Tracking Error</li> </ol> | 12.48 | 1413 |
|-------------------------------|--|--|---|-------|------|

**Date: October 30, 2023**

APPLICATION FORM - MIRAE ASSET SILVER ETF

NSE Symbol: SILVRETF BSE code: 543922  
An open-ended scheme replicating/tracking Domestic price of Silver



Application No.:

This product is suitable for investors who are seeking\*

- Investors seeking returns that are in line with the performance of silver over the long term, subject to tracking errors.
- Investments in physical Silver of 99.9% purity (fineness)

Scheme Riskometer

Investors understand that their principal will be at Very High Risk

| Name & Broker Code/<br>ARN/RIA Code | Sub Broker /<br>Agent ARN Code | Sub Agent Code | EUIN* | Internal Code for AMC | ISC Date Time Stamp<br>Reference No. |
|-------------------------------------|--------------------------------|----------------|-------|-----------------------|--------------------------------------|
|                                     |                                |                |       |                       |                                      |

**EUIN Declaration:** Declaration for Execution Only Transaction(where Employee Unique Identification Number-EUIN\* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN.I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributors/sub broker. **RIA/Declaration:** "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you,to the above mentioned SEBI-Registered Investment Adviser/RIA".

Sign of 1<sup>st</sup> Applicant / Guardian / Auth. Signatory / PoA / Karta

Sign of 2<sup>nd</sup> Applicant / Guardian / Auth. Signatory / PoA

Sign of 3<sup>rd</sup> Applicant / Guardian / Auth. Signatory / PoA

Please ☒ Lumpsum Investment ☐ Micro Application ☐ SIP Application ☐

TRANSACTION CHARGES (Please ☒ any one of the below. Refer Instructions No. 11)

☐ I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS OR ☐ I AM AN EXISTING INVESTOR IN MUTUAL FUNDS

Applicable transaction charges will be deducted in case your distributor has opted for such charges. Upfront commission shall be paid directly by the investor to the ARN Holder(AMFI registered Distributor)based on the investor's assessment of various factors including the services rendered by the ARN Holder.

1. EXISTING UNIT HOLDER INFORMATION- Please fill in your Folio Number, PAN, KIN in below Sections 2, 3, 4 & proceed to Section 7 for Investment Details.

Folio No.

The details in our records under the Folio No. mentioned alongside will apply for this application.All Unit Holders in the given Folio should be KYC compliant.Any updation in KYC credentials may be filled in the below sections.

2. APPLICANT(S) NAME AND IN INFORMATION [Refer Instruction 2] If the 1<sup>st</sup>/ Sole Applicant is Minor, then please provide details of natural / legal guardian

1<sup>st</sup> SOLE APPLICANT Mr. / Ms. /M/s.

PAN

(Please write the name as per PAN Card)

LEI Code for entities

CKYC ID No. (KIN)

Pls indicate if US Person or a resident for tax purpose / Resident of Canada ☐ Yes ☐ No\* (\$Default if not ☒)

GUARDIAN (In case 1<sup>st</sup> Applicant is a Minor)

Relationship with Minor (Please ☒)

Mr. / Ms. / M/s.

☐ Mother ☐ Father ☐ Legal Guardian

GUARDIAN CKYC ID No. (KIN)

KYC (Please ☒) ☐ Proof Attached

GUARDIAN PAN

KYC (Please ☒) ☐ Proof Attached

POA / Custodian Name:

KYC (Please ☒) ☐ Proof Attached

POA / Custodian CKYC ID No. (KIN)

POA / Custodian PAN

Contact Person for Corporate Investor:

Name Designation:

3. FIRST APPLICANT AND KYC DETAILS All fields marked as \* are Mandatory

1<sup>st</sup> SOLE APPLICANT ☐ Individual or ☐ Non-Individual [Please II Ultimate Beneficial Ownership (UBO) Declaration Form in section 11a & 11b - Refer Instruction No. 17]

\*Date of Birth/ Incorporation  Proof of Date of Birth (Please ☒) ☐ Birth Certificate ☐ School Leaving Certificate / Mark Sheet

(Individual) (Non-Individual)

(For minor applicant) ☐ Passport of the Minor ☐ Others (Please specify)

(Please write the Date of birth as per Aadhaar Card)

Place of Birth / Incorporation: Country of Birth / Incorporation: Nationality: Gender ☐ Male ☐ Female ☐ Other

(Please write the Date of birth as per Aadhaar Card)

Type: ☐ Resident Individual ☐ Sole Prop ☐ NRI - NRE ☐ Trust ☐ Bank / FIs ☐ FIIs ☐ PIO ☐ Society/AOP/BOI ☐ Minor through Guardian ☐ NRI - NRO

☐ HUF ☐ LLP ☐ Listed Company ☐ Private Company ☐ Public Ltd. Company ☐ Artificial Juridicial Person ☐ Partnership Firm ☐ FOF - MF Schemes ☐ Other (Please specify)

☐ NPO Registration Number of DARPAN Portal (Mandatory)

a\*. Occupation Details [Please ☒]

☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife

☐ Business ☐ Retired ☐ Retired ☐ Proprietorship ☐ Others (Please specify)

b\*. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

c\*. Gross Annual Income (₹) [Please ☒]

☐ Below 1 Lakh ☐ 1-5 Lakhs ☐ 5-10 Lakhs ☐ 10-25 Lakhs ☐ >25 Lakhs ☐ > 1 Crore

d\*. Net-worth (Mandatory for Non-Individuals) ₹

as on  (Not older than 1 year)

e\*. Non-Individual Investors involved/providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services

☐ Money Lending / Pawning ☐ None of the above

4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]

Name of the Bank:

Core Banking A/c No.  A/c. Type Pls. ☒ NRE ☐ CURRENT ☐ SAVINGS ☐ NRO ☐ Other

Branch Name:

Address:

Bank Branch City:

State:

Pin Code

MICR Code

Please attach a cancelled cheque OR a clear photo copy of a cheque

IFSC Code (Mandatory for Credit via NEFT/RTGS)

**5. JOINT APPLICANTS, IF ANY AND THEIR KYC DETAILS** All fields marked as **\*\*\*** are Mandatory

Mode of Holding:
☐ Anyone or Survivor
☐ Single
☐ Joint
(Please note that the Default option is Anyone or Survivor)

2<sup>nd</sup> APPLICANT
Mr. / Ms. / M/s.
(Not Applicable in case of Minor Applicant)
(Please write the name as per PAN Card)
Gender
☐ Male
☐ Female
☐ Other

PAN Details
Pls indicates if US Person or a resident for tax purpose / Resident of Canada
☐ Yes
☐ No\* (\*Default if not )

CKYC ID No. (KIN)
KYC Pls
☒
☐ Proof Attached
Date of Birth(Mandatory)
(As per PAN Card)
DDMMYYYY

Place of Birth
Country of Birth
Nationality:

a\*. Occupation Details [Please()]

☐ Private Sector
☐ Public Sector
☐ Government Service
☐ Student
☐ Professional
☐ Housewife
☐ Business
☐ Retired
☐ Agriculture
☐ Proprietorship
☐ Others
(Please specify)

b\*. Politically Exposed Person (PEP) Status
☐ m PEP
☐ I am Related to PEP
☐ Not Applicable

c\*. Gross Annual Income (₹) [Please()]
☐ Below 1 Lakh
☐ 1-5 Lakhs
☐ 5-10 Lakhs
☐ 10-25 Lakhs
☐ >25 Lakhs
☐ > 1 Crore

d\*. Net-worth ₹
as on
DDMMYYYY
(Not older than 1 year)

Mode of Holding:
☐ Anyone or Survivor
☐ Single
☐ Joint
(Please note that the Default option is Anyone or Survivor)

3<sup>rd</sup> APPLICANT
Mr. / Ms. / M/s.
(Not Applicable in case of Minor Applicant)
(Please write the name as per PAN Card)
Gender
☐ Male
☐ Female
☐ Other

PAN Details
Pls indicates if US Person or a resident for tax purpose / Resident of Canada
☐ Yes
☐ No\* (\*Default if not )

CKYC ID No. (KIN)
KYC Pls
☒
☐ Proof Attached
Date of Birth(Mandatory)
(As per PAN Card)
DDMMYYYY

Place of Birth
Country of Birth
Nationality:

a\*. Occupation Details [Please()]

☐ Private Sector
☐ Public Sector
☐ Government Service
☐ Student
☐ Professional
☐ Housewife
☐ Business
☐ Retired
☐ Agriculture
☐ Proprietorship
☐ Others
(Please specify)

b\*. Politically Exposed Person (PEP) Status
☐ m PEP
☐ I am Related to PEP
☐ Not Applicable

c\*. Gross Annual Income (₹) [Please()]
☐ Below 1 Lakh
☐ 1-5 Lakhs
☐ 5-10 Lakhs
☐ 10-25 Lakhs
☐ >25 Lakhs
☐ > 1 Crore

d\*. Net-worth ₹
as on
DDMMYYYY
(Not older than 1 year)

**6. MAILING ADDRESS [Please provide your E-mail ID and Mobile Number to help us serve you better Refer Instructions 6 ]**

Local Address of 1<sup>st</sup> Applicant

City
State
Pin Code

Tel. Off.
Resi.
Mobile

Mobile No specified above belongs to
☐ Self or Family, due to Investor being(Please tick any one option from below.)
☐ Spouse
☐ Guardian(for Minor Investment)
☐ Dependent Children
☐ Dependent Parents
☐ Dependent Siblings

E - Mail\*\*

\*\*Please Use Block Letters. Investors providing email ID would mandatorily receive all Communications, Statement of Accounts and Abridged Annual Report through e-mail only.Incase if physical copies are required kindly refer instruction no. 6(g)

Email address specified above belongs to
☐ Self or Family, due to Investor being(Please tick any one option from below.)
☐ Spouse
☐ Guardian(for Minor Investment)
☐ Dependent Children
☐ Dependent Parents
☐ Dependent Siblings

**6a. Mandatory for NRI / FI/ Applicant [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is preferred]**

Overseas Correspondence Address

**7. INVESTMENT AND PAYMENT DETAILS (For complete information on Investment Details please Refer to Instructions No. 6. )**

Scheme - MIRAE ASSET SILVER ETF

☐ Regular Plan
☐ Growth (Default)
☐ Direct Plan

\*IDCW frequency is applicable only for Mirae Asset Cash Management Fund, Mirae Asset Overnight Fund & Mirae Asset Savings Fund. Default option here will be Daily if frequency not selected.
\*Income Distribution cum Capital Withdrawal. IDCW \*Frequency can be Daily or Weekly or Monthly; If not selected Monthly will be considered as default, refer SID for more details

Payment Type [Please ()]
☐ Self (Non-Third Party Payment)
☐ Third Party Payment ( Please attach 'Third Party Payment Declaration Form')

| Cheque / DD / UTR No. & Date | Amount of Cheque / DD / RTGS / NEFT in figures (Rs.) | DD Charges, if any | Net Purchase Amount | Drawn on Bank / Branch | Pay-In Bank A/c No. (For Cheque Only) |
|------------------------------|--|--------------------|---------------------|------------------------|---------------------------------------|
|                              |  |                    |                     |                        |                                       |

**8. DEMAT ACCOUNT: Mandatory for units in Demat Mode -Please Ensure the sequence of names as mentioned under sec-3 matches as per the Depository Details.**

National Securities Depository Limited (NSDL)
Central Depository Services (India) Limited (CDSL)

DP Name
DP Name

DP ID
IN
Benef. A/C No.
16 Digit A/C No.

Enclosures - Please ()
☐ Client Masters List (CML)
☐ Transaction cum Holding Statement
☐ Delivery Instruction Slip (DIS)

**9. NOMINATION DETAILS MANDATORY [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Nomination Instruction No. 20]**

☐ PLEASE REGISTER MY/OUR NOMINEE AS PER BELOW DETAILS
OR
☐ I/WE DO NOT WISH TO NOMINATE

| No. | Nominee(s) Name | Date of Birth (in case of Minor) | Name of the Guardian (in case of Minor) | Relationship | % of Share | Signature of Nominee / Guardian (Preferred but not Mandatory) |
|-----|-----------------|----------------------------------|---|--------------|------------|---|
| 1   |                 | DD/MM/YYYY                       |   |              |            | 123   |
| 2   |                 | DD/MM/YYYY                       |   |              |            |   |
| 3   |                 | DD/MM/YYYY                       |   |              |            |   |

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Signature of 1<sup>st</sup> Applicant / Guardian / Auth. Signatory / PoA / Karta (AS IN BANK RECORDS)
Signature of 2<sup>nd</sup> Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)
Signature of 3<sup>rd</sup> Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)

# FOR NON-INDIVIDUALS ONLY

## 10. FATCA & CRS DETAILS (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

### PART A To be filled by Financial Institutions or Direct Reporting Non Financial Entity (NFEs)

We are a,  
Financial institution ☐  
or  
Direct reporting NFE ☐  
[Please tick (✓)]

GIIN 



  
Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity: \_\_\_\_\_

GIIN not available [Please tick (✓)] ☐ Applied for ☐ Not required to apply for - please specify 2 digits sub-category 







☐ Not obtained - Non-participating FI

### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

|   |   |   |
|---|---|---|
| 1 | Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market)            | <input type="checkbox"/> Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)<br>Name of stock exchange: _____  |
| 2 | Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) | <input type="checkbox"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)<br>Name of Listed company: _____<br>Nature of relation <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company<br>Name of stock exchange: _____                           |
| 3 | Is the Entity an active NFE   | <input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.)<br>Nature of Business: _____<br>Please specify the sub-category of Active NFE <table border="1" style="display: inline-table; width: 40px; height: 20px;"></table> <table border="1" style="display: inline-table; width: 40px; height: 20px;"></table> Mention code: Refer instruction 15(c) |
| 4 | Is the Entity an Passive NFE  | <input type="checkbox"/> Yes (If yes, please fill UBO declaration in the next section.)<br>Nature of Business: _____  |

For details refer instruction no. 15.

### 11 DECLARATION FOR ULTIMATE BENEFICIAL OWNERSHIP [UBO] (Refer instruction No. 17)\*

\*This declaration is not needed for Companies that are listed on any recognized stock exchange or is a Subsidiary of such Listed Company or is Controlled by such Listed Company. Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BENE

### 11a. DETAILS OF ULTIMATE BENEFICIAL OWNERS [Mandatory] (If the given space below is not adequate, please attach multiple declaration forms)

| Name of UBO & Address | Address Type <sup>ss</sup> | PAN/Tax Payer Identification No./ Equivalent ID No. <sup>ss</sup> | Document Type Refer instruction No. 15(d) | Country of tax Residency/ permanent residency* | Country of citizenship | UBO Code (Mandatory) | KYC (Yes / NO) [please attach the KYC acknowledgement cpoy] | % of beneficial interest |
|-----------------------|----------------------------|---|---|--|------------------------|----------------------|---|--------------------------|
|                       |                            |   |   |  |                        |                      |   |                          |
|                       |                            |   |   |  |                        |                      |   |                          |
|                       |                            |   |   |  |                        |                      |   |                          |

\$\$ Address Type: Residential or Business (default)/Residential/Business/Registered Office. Attached documents should be self certified by the UBO and certified by the applicant or Authorised signatory. In case the above information is not provided, it will be presumed that applicant is the UBO, with no declaration to submit. In such case, MAMF reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

# If passive NFE, please provide below additional details. (Please attach additional sheets if necessary). Also provide below mandatory details if the UBO does not have a PAN. (Refer Instruction No. 16)

|   |   |   |
|---|---|---|
| <b>PAN / Any other Identification Number</b> (PAN, Aadhaar, Passport, Election ID, Govt. ID, Driving Licence NREGA Job Card, Others)<br><b>City of Birth - Country of Birth</b> | <b>Occupation Type:</b> Service, Business, Others<br><b>Nationality:</b><br><b>Father's Name:</b> Mandatory if PAN is not available | <b>DOB:</b> Date of Birth<br><b>Gender:</b> Male, Female, Other   |
| <b>1. PAN:</b><br>City of Birth<br>Country of Birth:  | <b>Occupation Type:</b><br><b>Nationality:</b><br><b>Father's Name:</b>   | <b>Date of Birth:</b><br><b>Gender</b> <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |
| <b>2. PAN:</b><br>City of Birth<br>Country of Birth:  | <b>Occupation Type:</b><br><b>Nationality:</b><br><b>Father's Name:</b>   | <b>Date of Birth:</b><br><b>Gender</b> <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |
| <b>3. PAN:</b><br>City of Birth<br>Country of Birth:  | <b>Occupation Type:</b><br><b>Nationality:</b><br><b>Father's Name:</b>   | <b>Date of Birth:</b><br><b>Gender</b> <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other |

# Additional details to be filled by controlling persons with tax residency/permanent residency/citizenship/Green Card in any country other than India.

\* To include US, where controlling person is a US citizen or green card holder

% In case Tax Identification Number is not available, kindly provide functional equivalent

Application No.:

Cheque/DD should be Drawn in favour of MIRAE ASSET SILVER ETF

Mutual Fund investments are subject market risks, read all scheme related document carefully.

The detail of this page should be filled by Non-Individual investors only.

CU/04/2023

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the 'Entity' a tax resident of any country other than India? ☐ Yes ☐ No

(If Yes, please provide country lies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

| 1 <sup>st</sup> Applicant (Sole / Guardian / Non-Individual)                                   |  | 2 <sup>nd</sup> Applicant  |  | 3 <sup>rd</sup> Applicant  |  |
|--|--|--|--|--|--|
| Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No                                       | Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No                                       | Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency | <input type="checkbox"/> Yes <input type="checkbox"/> No                                       |
| Country of Birth / Incorporation   |  | Country of Birth   |  | Country of Birth   |  |
| Country Citizenship / Nationality  |  | Country Citizenship / Nationality  |  | Country Citizenship / Nationality  |  |
| Are you a US specified person?   | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>Please provide Tax Payer Id. _____ | Are you a US specified person?   | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>Please provide Tax Payer Id. _____ | Are you a US specified person?   | <input type="checkbox"/> Yes <input type="checkbox"/> No<br>Please provide Tax Payer Id. _____ |

For non-Individual investor, in case your country of incorporation / Tax residence is US, but you are not a specified US person then please mention exemption code \_\_\_\_\_ Refer instruction 15( e) )

| Individual or Non-Individual investors fill this section if ticked Yes above.  |          |  | Individual investor have to fill in below details in case of joint applicants |          |  |                         |          |  |
|--|----------|--|---|----------|--|-------------------------|----------|--|
| Tax Residency Status: 1  | Country: |  | Tax Residency Status: 1   | Country: |  | Tax Residency Status: 1 | Country: |  |
|  | No.:     |  |   | No.:     |  |                         | No.:     |  |
|  | Type:    |  |   | Type:    |  |                         | Type:    |  |
| Tax Residency Status: 2  | Country: |  | Tax Residency Status: 2   | Country: |  | Tax Residency Status: 2 | Country: |  |
|  | No.:     |  |   | No.:     |  |                         | No.:     |  |
|  | Type:    |  |   | Type:    |  |                         | Type:    |  |
| Tax Residency Status: 3  | Country: |  | Tax Residency Status: 3   | Country: |  | Tax Residency Status: 3 | Country: |  |
|  | No.:     |  |   | No.:     |  |                         | No.:     |  |
|  | Type:    |  |   | Type:    |  |                         | Type:    |  |
| Address Type _____   |          |  | Address Type _____  |          |  | Address Type _____      |          |  |
| (Address Type: Residential or Business (default)   Residential   Business   Registered Office) (For address mentioned in form I existing address appearing in folio) |          |  |   |          |  |                         |          |  |

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

13 . DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA& CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

|  |  |  |
|--|--|--|
| Sign of 1 <sup>st</sup> Applicant / Guardian /<br>Authorised Signatory / PoA | Sign of 2 <sup>nd</sup> Applicant / Guardian /<br>Authorised Signatory / PoA | Sign of 3 <sup>rd</sup> Applicant / Guardian /<br>Authorised Signatory / PoA |
|--|--|--|

ACKNOWLEDGMENT SLIP

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ For ☐ Lumpsum 'OR' ☐ SIP  
as per details below:

| Scheme Name and Plan   | Payment Details  | Date & Stamp of Collection Centre / ISC |
|------------------------|--|---|
| MIRAE ASSET SILVER ETF | Amount (Rs) _____<br>Cheque/ DD No.: _____<br>Dated _____<br>Bank & Branch _____ |   |

Cheque / DD is subject to realisation



# INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

## 1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

## 2. Applicant Information

(a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF. (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof. (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

## (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

## Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

## Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEK, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wish to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.

(e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall be liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to [customer-care@miraeeasset.com](mailto:customer-care@miraeeasset.com).

(f) (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

## 3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank 'Mandate'. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected.

If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withhold the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

## 4. Multiple Bank Accounts Registration Facility:

The unit holder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unit holder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

## 5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

## 6. Investment Details:

a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).

b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne

by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges.

c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

d) Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".

e) **Third Party Cheque/Funds Transfer will not be allowed for Investment** subscriptions except in the following cases:

- Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through lump sum one-time subscription.
- Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through lump sum / onetime subscription.
- Custodian on behalf of an FII or a Client.

**f) Options Available:** The Scheme does not offer any option Investors may please note, that Payout of Income Distribution cum capital withdrawal option may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Reinvestment of Income Distribution cum capital withdrawal option can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for. Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

**g) Physical Copies:** Investors who requires physicals copies kindly reach us through email [id:customer-care@miraeeasset.com](mailto:customer-care@miraeeasset.com)  
**Toll Free Number : 1800-2090-777.**

## 7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]
4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
5. Annual Reports or other information etc., may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

## 8. Online Transactions/Personal Identification Number (PIN):

This facility ([transact@ease](mailto:transact@ease)) enables Investors to transact on the website of the Fund which is <https://transact.miraeeassetmf.co.in/investor>. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

**9. Nomination Details:** The Nomination Details will be as is Registered with Depository Participant for this application.

## 10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.
2. Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

## 11. Transaction charges (TC):

In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (i) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE SIAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

## 12. Employee Unique Identification Number (EUID):

In order to assist in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUID (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUID is a 7 digit unique alpha numeric number (one alphabet and six numerals). Individual ARN holders including senior citizens are also required to obtain and quote EUID in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUID is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUID will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

**13. The US Department of the Treasury and the US Internal Revenue Service (IRS)** has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

INSTRUCTIONS

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / C/o address / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners / shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**Financial Institution (FI):** The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

**Non-Financial Entity (NFE):** Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).

b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.

c. Active NFE : (is any one of the following):

| Code | Sub-category  |
|------|---|
| 01   | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;  |
| 02   | The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;  |
| 03   | Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;   |
| 04   | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;   |
| 05   | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;   |
| 06   | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;  |
| 07   | Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) an Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act; |
| 08   | The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.  |

d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.

e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbia, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i). (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) A bank as defined in section 581. (xi) A broker.

(xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

**Passive Income includes:** Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

**Passive NFE means:** any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

**Direct reporting NFE means:** a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

**Owner documented FFI:** An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that

holds its interest through a participating FFI, a deemed compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

- No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.
- For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.
- In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC /its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website [www.miraeeasestmf.co.in](http://www.miraeeasestmf.co.in) for the Declaration Form.

**A Ultimate Beneficial Owner means:**

**i. For Investor other than Trust:** A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

**ii. For Trust:** The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

**B Applicability for foreign investors:** The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

**C UBO Code Description:** **UBO-1:** Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. **UBO-2:** Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. **UBO-3:** Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. **UBO-4:** Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. **UBO-5:** Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. **UBO-6:** The settlor(s) of the trust. **UBO-7:** Trustee(s) of the Trust. **UBO-8:** The Protector(s) of the Trust [if applicable]. **UBO-9:** The beneficiaries with 10% or more interest in the trust if they are natural person(s). **UBO-10:** Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

**D. PAN and KYC of all the beneficiaries of UBO is mandatory to accept the transaction**

**18.** Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

**In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on [www.miraeeasestmf.co.in](http://www.miraeeasestmf.co.in)).**

**19. LEI (Legal Entity Identifier) Code :** The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).



### MIRAE ASSET MUTUAL FUND BRANCH OFFICES

• Ahmedabad - Unit No:-104, 1st Floor, SPG Empressa, Mithakhali - 380 009. • Bangalore - Unit No. 203 & 204, 2nd Floor Prestige Meridian II, No. 30, M.G Road, Bangalore - 560 001. Tel-080-44227777. • Bhubaneswar –Ground Floor, No. 185, Janpath road, near Kharvela Nagar Police Station, Bhubaneswar - 751009. • Chandigarh - SCO 2471-72, Second Floor, Sector 22 C, Chandigarh - 160022. Tel-0172-5030688. • Chennai - Ground Floor, C.N. Deivanayagam Complex No. 33 - Venkatanarayan Road, T. Nagar Chennai - 600017 • Indore – Ground Floor, G-2 Vitraj 30/ I South Tukoganj, Indore – 452001 • Jaipur - Unit 804, 8th Floor, Okay Plus Tower, Ajmer Road, Jaipur-302001. Tel-0141-2377222. • Kanpur - Ofce no 303 & 304, 3rd Floor, 14/113 KAN Chambers, Civil Lines, Kanpur-208 001. Tel-81770 00201. • Kochi - 1st Floor, Business Communication Centre, Chiramel Chambers, Kurisupally Road, Ravipuram, Kochi-682015. • Kolkata - Krishna Building, 5th Floor, Room No 510, 224, A.J.C. Bose Road, Kolkata-700017. Tel-033-44227777. • Lucknow - Office No- 8 & 9 Ground Floor Saran Chambers II, 5 Park Road Lucknow 226001 Telephone:(91) 9305174817. • Mumbai (Corporate Office) - Unit No. 606, 6th Floor, Windsor Bldg., Off. CST Road, Kalina, Santacruz (E), Mumbai-400 098.Tel-022-67800300. • Mumbai (Branch Office) - Ground Floor, 3, 4, 9, Rahimtoola House, 7, Homji Street, Fort, Mumbai - 400001. Tel-022-49763740. • Nagpur - 1st Floor, Shalwak Manor, Office No.101, Plot No 64-B, VIP Road, New Ramdaspath, Near Central Mall, Nagpur – 440010 • New Delhi - Unit No 1501-1505, 15th Floor, Narain Manzil Barakhambha Road, New Delhi- 110 001 . • Patna - D-215, Dumraon Place, Fraser Road, Patna-800001 Pune - 75/76, 4th Floor, C-Wing, Shreenath Plaza, Dnyaneshwar Paduka Chowk, Shivaji Nagar, Pune-411 005. Tel-020-44227777. • Rajkot - Office No. 406, 4th Floor, The Imperia, Near Limbda Chowk, Opposite Shastri Maidaan, Rajkot - 360 002 • Secunderabad - No. 208, Legend Crystal Building, 2nd Floor, PG Road, Secunderabad-500003. Tel-040-66666723. • Surat - D - 112, International Trade Center (ITC Building), Majuragate, Ring Road, Surat – 395 002,. Tel-0261-4888844. • Vadodara- Office No. 244, Second Floor, Emerald One, Jetalpur Road, • Vadodara – 390020. Tel-9375504443. Tel-9375504443. • Varanasi - D- 64 / 52, G- 4, Arihant Complex, Second Floor, Madhopur, Shivpurva, Sigra, Near Petrol Pump, Varanasi, Uttar Pradesh - 221010.

### KFIN TECHNOLOGIES LIMITED (REGISTRAR)

• Agra - House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Behind Hotel Holiday Inn, Sanjay Place, Agra, Uttar Pradesh - 282 002. • Ahmedabad - Office No. 401, 4th Floor, ABC-I, Off. C.G. Road - Ahmedabad -380009 • Ajmer - 1-2, 2nd Floor Ajmer Tower, Kutchary Road, Ajmer-305001 • Allahabad - Meena Bazar, 2nd Floor, 10, S. P. Marg, Civil Lines, Subhash Chauraha, Allahabad, Uttar Pradesh - 211 001. • Amritsar - 72-A, Taylor's Road, Aga Heritage Gandhi Ground, Amritsar-143001 • Anand - B-42, Vaibhav Commercial Center, Nr. TVS Down Town Shrow Room, Grid Char Rasta, Anand - 380001 • Asansol - 112/N G. T. Road, Bhanga, Panchil, Bardhaman West Bengal, Asansol - 713303 • Aurangabad - Shop no B - 38, Motiwala Trade Centre, Nirala Bazar ,Aurangabad 431001 • Balasore - 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar, Baleshwar Sadar Balasore - 756001, Orissa • Bangalore - No 35, Skanda Puttanna Road, Basavanagudi, Bangalore 560004 • Vadodara – 1st Floor, 125 Kanha Capital, Opp Express Hotel, R C Dutt Road, Alkapuri Vadodara, 390007 • Bharuch – 123 Nexus business Hub ,Near Gangotri Hotel ,B/s Rajeshwari Petroleum ,Makampur Road ,Bharuch -392001 • Bhavnagar - Ofce No 306-307, Krushna Darshan Complex, 3rd Floor, Parimal Chowk, Above Jed Blue Show Room Bhavnagar - 364002 • Bhilai - Office No.2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], Bhilai 490020, Chhattisgarh • Bhopal - Gurukripa Plaza, Plot No. 48A, Opposite City Hospital, Zone-2, M P Nagar, Bhopal, Madhya Pradesh - 462 011. • Bhubaneswar - A/181, Saheed Nagar, Janardan House, Room No: 07, 3rd Floor, Bhubaneswar, Orissa - 751007. • Burdwan - Saluja Complex; 846, Laxmipur, G T Road, Burdwan; PS: BURDWAN & DIST: BURDWAN-EAST, PIN: 713101. • Calicut - Sowbhagya Shopping Complex, Areyadathupalam, Mavoor Road, Calicut-673004 • Chandigarh - SCO 2423-2424, Sector 22-C, Chandigarh-160022 • Chennai 9th Floor, Capital Towers, 180, Kodambakkam High Road, Nungambakkam, Chennai – 600 034 • Kochi - Door No: 61/2784, Second floor, Sreelakshmi Tower, Chittoor Road, Ravipuram, Ernakulam-Kerala-682015 • Coimbatore - 1057, 3rd Floor, Jaya Enclave, Avanashi Road, Coimbatore-641018 • Cuttack - Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack-753001 • Dehradun – Shop No-809/799, Street No-2 A, Rajendra Nagar, Near Sheesha Lounge, Kaulagarh Road, Dehradun-248001 • Dhanbad - 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad-826001 • Durgapur - MWAV-16 Bengal Ambuja, 2nd Floor, City Centre, Durgapur-713216 • Faridabad - A-2B, 2nd Floor, Neelam Bata Road, Peerki Mazar, Nehru Ground, Nit, Faridabad, Haryana -121 001 • Gandhinagar - Plot No.945/2, Sector-7/C, Gandhinagar-382007 • Ghaziabad - 1st Floor, C-7, Lohia Nagar, Ghaziabad-201001 • Gorakhpur - Above V.I.P. House, Ajdacent A. D. Girls College, Bank Road, Gorakhpur-273001 • Gurgaon - 2nd Floor, Vipul Agora, M. G. Road, Gurgaon - 122001, Haryana • Guwahati - 54, Sagarika Bhawan, R. G. Baruah Road, (AIDC Bus Stop), Guwahati-781024 • Hubli - 22 & 23, 3rd Floor, Eurecka Junction, T. B. Road, Hubli-580029 • Hyderabad -303, Vamsee Estates, Opp. Bigbazaar, Ameerpet, Telangana - 500 016. • Indore - 101, Diamond Trade Centre, Indore, Madhya Pradesh - 452 001 • Jalandhar - Office No 7, 3rd Floor, City Square building, E-H197 Civil Lines, Jalandhar 144001 • Jabalpur - 3rd Floor, R.R. Tower. 5, Lajpatkunj, Near Tayabali Petrol Pump, Jabalpur - 482 001. • Jamnagar - 131, Madhav Piazza, Opp Sbi Bank, Nr Lal Bunglow, Jamnagar 361008 • Jamshedpur - Madhukunj, 3rd Floor, Q Road, Sakchi, Bistupur, East Singhbhum, Jamshedpur 831001 Jharkhand • Jodhpur - Shop No. 6, Ground Floor, Gang Tower, Opposite Arora Moter Service Center, Near Bombay Moter Circle, Jodhpur, Rajasthan - 342 003. • Kanpur - 15/46, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur-208001 • Kolhapur - 605/1/4 'E' Ward, Near Sultane Chambers, Shahupuri, 2nd Lane, Kolhapur-416001 • Kolkata - 2/1 Russel Street 4th Floor, Kankaria Centre, Kolkata 700071, West Bengal • Lucknow - 1st Floor, A.A. Complex, Thaper House, 5 Park Road, Hazratganj, Lucknow-226001 • Ludhiana - SCO 122, 2nd Floor, Above HDFC Mutual fund, Feroze Gandhi Market, Ludhiana 141001 • Madurai - G-16/17, AR Plaza, 1st floor, North Veli Street, Madurai 625001 • Mangalore - Shop No – 305, Marian Paradise Plaza, 3rd Floor, Bunts Hostel Road, Mangalore – 575003, Dakshina Kannada, Karnataka. • Mehsana - FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana - 384 002 • Moradabad - Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad - 244 001, Uttar Pradesh •

Mumbai - Borivali - (Only for non-liquid transactions) Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali West, Mumbai-400091 • Mumbai - Chembur - (Only for Equity transactions) Shop No.4, Ground Floor, Shram Safalya Building, N G Acharya Marg, Chembur, Mumbai-400071 • Mumbai - Fort - (Only for Equity transactions) 6/8 Ground Floor, Crossely House Near BSE (Bombay Stock Exchange) Next Union Bank, Fort Mumbai - 400 001 • Mumbai - Thane - (Only for non-liquid transactions) Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd., Ram Maruti Cross Road, Naupada, Thane West - 400 602 • Mumbai - Vashi - (Only for Equity transactions) A-Wing, Shop No. 205, 1st Floor, Vashi Plaza, Sector 17, Navi Mumbai - 400 073. • Mumbai - Vile Parle - (Only for Equity transactions) 104, Sangam Arcade, V P Road, Opp. Railway Station, Above Axis Bank, Vile Parle West, Mumbai-400056 • Muzaffarpur - First Floor, Saroj Complex, Diwam Road, Near Kalyani Chowk, Muzaffarpur, Bihar - 842001 • Mysore - L-350, Silver Tower, Clock Tower, Ashoka Road, Mysore-570001 • Nadiad - 104/105 Gf City Point, Near Paras Cinema, Nadiad-387001 • Nagpur -Plot No. 2, Survey No. 1032 and 1033 of Gagda Khare Town, Dharampeth, Nagpur – 440010 • Nasik - F-1, Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan, Nasik- 422002 • Navsari - 103, 1st Floor Landmark Mall, Near Sayaji Library, Navsari, Gujarat-396 445 • New Delhi - 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi-110001 • Panipat - Shop No. 20, 1st Floor BMK Market, Behind HIVE Hotel, G.T. Road, Panipat-132103, Haryana • Panjim - City Business Centre, Coelho Pereira Building, Room Nos.18, 19 & 20, Dada Vaidya Road, Panjim-403001 • Patiala - Sco. 27 D, Chhoti Baradari, Patiala-147001 • Patna - 3A, 3rd Floor, Anand Tower, Beside Chankya Cinema Hall, Exhibition Road, Patna-800001 • Pondicherry - No.122(10b), Muthumariamman koil street, Pondicherry - 605001 • Pune - Mozaic Bldg., CTS No. 1216/1, Final Plot No.576/1 TP, Scheme No. 1, F C Road, Bhamburda, Shivaji Nagar, Pune-411004 • Raipur - 2 & 3 Lower Level, Millenium Plaza, Room No. Ll 2& 3, Behind Indian Coffee House, Raipur-492001 • Rajkot - 104, Siddhi Vinayak Complex, Dr. Yagnik Road, Opp. Ramkrishna Ashram, Rajkot-360001 • Ranchi- Room no 103, 1st Floor, Commerce Tower, Beside Mahabir Tower, Main Road, Ranchi -83400 • Rourkela - (Only for Equity transactions) 2nd Floor, Main Road, Udit Nagar, Rourkela, Sundargarh, Orissa – 769012 • Salem - No 40, 2nd Floor, Brindavan Road, Near Perumal Koil, Fairlands, Salem-636016 • Sambalpur - Sahej Plaza, First Floor, Shop No. 219, Golebazar, Sambalpur, Odisha - 768 001 • Shillong - Mani Bhawan, Thana Road, Lower Police Bazar, Shillong-793 001 • Siliguri - 2nd Floor, Nanak Complex, Sevoke Road, Siliguri - 734001 • Surat - G-5 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat- 395002 • Trichy - No 23C/1 E VR Road, Near Vekkaliyamman Kalyana Mandapam, Putthur, Trichy - 6200017, Tamilnadu • Trivandrum - 2nd Floor, Akshaya Towers, Above Jetairways, Sasthamangalam, Trivandrum-695010 • Udaipur - Shop No. 202, 2nd Floor, Business Centre, 1C Madhuvan, Opp G.P.O., Chetak Circle, Udaipur, Rajasthan - 313 001 • Valsad - 406 Dreamland Arcade, Opp Jade Blue, Tithal Road, Valsad - 396001, Gujarat • Vapi - A-8, First Floor, Solitaire Business Centre, Opp DCB Bank, GIDC Char Rasta, Silvassa Road, Vapi - 396 191 • Varanasi - D-64/132, 2nd Floor, KA, Mauza, Shivpurwa, Settlement, Plot No 478, Pargana: Dehat Amanat, ohalla Sagra, Varanashi - 221010, Uttar Pradesh • Vijayawada - 1st Floor, H No. 26-23, Sundaramma Street, Gandhi Nagar, Vijayawada, Andhra Pradesh - 520 011. • Visakhapatnam - Ground Floor, 48-10-40, SriNagar Colony, Visakhapatnam, 530016 • Vellore - No.1, M N R Arcade, Of cers Line, Krishna Nagar, Vellore-632001 • Warrangal - 5-6-95, 1st Floor, Opp. B Ed College, Lashkar Bazar, Chandra Complex, Hanmakonda, Warrangal-506001 • Guntur - D No. 6-10-27, Srinilayam, Arundelpet, 10/1, Guntur - 522002 • Kurnool - Shop No. 47, 2nd Floor, S Komda Shopping Mall, Kurnool – 518001 • Bhagalpur - 2nd Floor, Chandralok Complex, Near Ghanta Ghar, Bhagalpur - 812001, Bihar • Darbhanga - H No-185, Ward No-13, National Statistical office Campus, Kathalbari, Bhandar Chowk, Darbhanga, Bihar – 846004 • Bilaspur - KFin Technologies Ltd, Anandam Plaza; Shop.No. 306; 3rd Floor, Vyapar Vihar Main Road, Bilaspur - 495001, Chattisgarh • Gandhidham - Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 370201 • Junagadh - Shop no-201, 2nd floor, V\_ARCADE Complex, Near Vanzari Chawk,M.G. road, Junagadh – 362001 • Ambala - 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital Ambala Cant, Ambala - 133001, Haryana • Rohtak - Office No:- 61, First Floor, Ashoka Plaza, Delhi Road, Rohtak 124001 • Yamuna Nagar - B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk, Yamuna Nagar - 135001, Haryana • Shimla - 1st Floor, Hills View Complex, Near Tara Hall, Shimla 171001 • Jammu - Gupta's Tower, 2nd Floor, CB-12, Rail Head Complex, Jammu - 180012 • Bokaro – City Centre, Plot No. HE-07; Sector-IV; Bokaro Steel City - 827004 • Belgaum - CTS No. 3939 / A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001 • Kottayam - 11-4-3/3 Shop No. S-9, 1st Floor, Srivenkata Sairam Arcade, Old CPI Office, Near Priyadarshini College, Nehru Nagar, Khammam - 507002, Telangana • Trichur - 2nd Floor, Brothers Complex, Naikkanal Junction, Shornur Road, Near Dhanalakshmi Bank H O, Thrissur - 680001 • Gwalior - City Centre, Near Axis Bank, Gwalior, Madhya Pradesh - 474 011 • Amaravathi - Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601 • Shillong - Annex Mani Bhawan, Lower Thana Road, Near R K M LP School, Shillong - 793001 • Berhampur (Or) - Opp. Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or) - 760001 • Bhatinda - Second floor, MCB -2-3-01043 Goniana Road Opposite Nippon India MF GT Road, Near Hanuman Chowk Bhatinda - 161001 • Bhilwara - Shop No. 27-28, 1st Floor, Heera Panna Market, Pur Road, Bhilwara - 311001 • Bikaner - H. No. 10, Himtasar House, Museum circle, Civil line, Bikaner, Rajasthan – 334001 • Kota - D-8, Shri Ram Complex, Opposite Multi Purpose School, Gumanpura, Kota, Rajasthan - 324 007 • Sri Ganganagar - 35E Block, Opp. Sheetla Mata Vaateka Sri Ganganagar - 335001 • Erode - No. 4, Veerappan Traders Complex, KMY Salai, Sathy Road, Opp. Erode Bus Stand, Erode - 638003 • Tirupur - First Floor, 244 A, Above Selvakumar Dept Stores, Palladam Road, Opp. to Cotton Market Complex, Tirupur - 641604 • Agartala - Bidurkarta Chowmuhani, J N Bari Road, Tripura (West) - 799001 • Aligarh - Sebti Complex Centre Point, in the city of Aligarh - 202001, UttarPradesh. • Bareilly - 54 - Civil Lines, Ayub Khan Chauraha, Bareilly - 243001, Uttar Pradesh • Meerut - Shop No:- 111, First Floor, Shivam Plaza, Near Canara Bank, Opposite Eves Petrol Pump, Meerut-25001, Uttar Pradesh, India • Saharanpur - 18, Mission Market, Court Road, Saharanpur - 247001 • Haldwani - Shop No 5, KMVN Shopping Complex, Haldwani 263139 Uttarakhand • Haridwar - 8, Govind Puri, Opp. LIC - 2, Above Vijay Bank, Main Road, Ranipur More, Haridwar - 249401 • Kharagpur - 180, Malancha Road, Beside Axis Bank Ltd, Kharagpur - 721304 • Nungambakkam - No. 23, Cathedral Garden Road,

Nungambakkam, Chennai, Tamil Nadu - 600 034 • Jhansi - 371/01, Narayan Plaza Gwalior Road, Near Jeevan Shah Chauraha, Jhansi - 284001 • Chinsura - KFin Technologies Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West Bengal • Malda - KFin Technologies Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West B • Noida - KFin Technologies Ltd, F - 21, Sector - 18, Noida - 201301, Uttar Pradesh • Alwar - KFin Technologies Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan • Jaipur - KFin Technologies Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan.

MF Central has been designated as OPAT vide notice dated September 23, 2021