#### **Mirae Asset Mutual Fund**

**Trustee**: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Investment Manager: Mirae Asset Investment Managers (India) Private Limited

CIN: U65990MH2019PTC324625

#### **Registered & Corporate Office:**

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098

**Tel. No.:** 022-678 00 300 Fax No.: 022-6725 3940 – 47

Website: www.miraeassetmf.co.in E-mail: miraeasset@miraeassetmf.co.in

### KEY INFORMATION MEMORANDUM Of

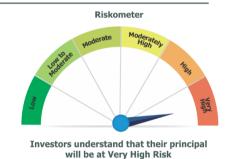
Mirae Asset Large Cap Fund (erstwhile Mirae Asset India Equity Fund)

(Large Cap Fund - An open ended equity scheme predominantly investing across large cap stocks)

## Product Labelling\_

Mirae Asset Large Cap Fund is suitable for investors who are seeking\*

- To generate long term capital appreciation / income
- Investment predominantly in Large Cap companies



\*Investors should consult their financial advisers if they are not clear about the suitability of the product

#### Continuous Offer for units at NAV based prices.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investment	The investment objective of the scheme is to general	_	• •		
Objective	capitalizing on potential investment opportunities by predominantly investing in equities of large cap companies. <b>The Scheme does not guarantee or assure any returns.</b>				
Asset	Under normal circumstances, the asset allocation wil				
Allocation Pattern of the scheme	allocation			Risk Profile	
	Types of Instruments		tal assets)	1101110	
		Minim um	Maximu m		
	Equities and Equity Related Securities of Large Cap companies*	80	100	High	
	Equities and Equity Related Securities of companies other than Large Cap companies*	0	20	High	
	Money market instruments/debt securities Instruments**	0	20	Low to Medium	
	warrants, convertible preference shares, equity derive upto 50% of Net Assets of Scheme into equity derive gross exposure through equity, debt and derivatives assets of the scheme.  ** Debt instruments may include securitized debt scheme. The fund will not invest in foreign securitized Large Cap Companies — As per SEBI Circular companies means 1-100th company in terms of full companies as may be specified by SEBI from time to	atives instr shall not upto 20% ed debt. dated Oct market ca	of the net a	e cumulative % of the net assets of the 17, large cap	
Investment Strategy	The fund will predominantly invest in large cap companies, yet it has the flexibility to invest upto 20% in non-large cap companies. The fund manager may not have any bias towards particular theme, sector, or style in picking investment opportunities.				
	The Scheme aims to maximize the long term capital appreciation by finding investment opportunities resulting from Indian economic growth and its structural shifts through investing in equities, equities related securities with risk mitigating and controlling measures.				
Risk Profile of the Scheme	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment.				
	The Scheme is subjected to risk factors associated with Equity investments, Unlisted Securities, Debt and Money Market instruments, Derivatives, Securities Lending, short selling, Securitized Debt, risk associated with Repo in Corporate Debt and Risk associated with investing in Tri-Party Repo through CCIL (TREPS) are mentioned detailed in the SID.				
Risk Control measures	<ul> <li>a) Monitoring risk adjusted returns performance of the fund with respect to its peers and its benchmark.</li> <li>b) Monitoring the sectoral diversification of the fund to ensure that the fund is well diversified across various sectors to avoid any sectoral risk.</li> <li>c) Ensure that the fund is diversified across various stocks to avoid any stock specific</li> </ul>				

risk.

d) Tracking analysis of the fund on various risk parameters undertaken by independent fund research / rating agencies or analysts and take corrective measures if needed.

## Plans and Options

The Scheme will have Regular Plan and Direct Plan\*\* with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription is made by indicating the choice in the application form.

Each of the above Regular and Direct Plan under the scheme will have the following Options / Sub-options: (1) Growth Option and (2) Income Distribution cum capital withdrawal option (IDCW). The Income Distribution cum capital withdrawal option shall have 2 sub options: (a) Payout of Income Distribution cum capital withdrawal option ("Payout of IDCW") (b) Reinvestment of Income Distribution cum capital withdrawal option ("Reinvestment of IDCW").

Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investors subscribing under Direct Plan of the Scheme will have to indicate "Direct Plan" against the Scheme name in the application form i.e. "Mirae Asset Large Cap Fund - Direct Plan". Treatment for investors based on the applications received is given in the table below:

Scenario	Broker Code	Plan mentioned	Default Plan to
	mentioned by the	by the investor	be captured
	investor		
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

However, the investors should note the following instructions for ensuring that the application is treated as a direct application:

- 1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.
- 2. Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA).

However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such application as "Direct" in the interest of the investors.

\*\*DIRECT PLAN: Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Mutual Fund and is not available for investors who route their investments through a Distributor.

### Applicable NAV (after

Cut-off time is the time before which the Investor's Application Form(s) (complete in all respects) should reach the Official Points of Acceptance to be entitled to the

the scheme	Applicable NAV of that Business Day.
opens for	Applicable 14A v of that business bay.
repurchase)	An application will be considered accepted on a Business Day, subject to it being complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.
	Cut off timing for subscriptions/purchases/switch- ins:
	<ul> <li>i. In respect of valid applications received upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time-the closing NAV of the day shall be applicable.</li> <li>ii. In respect of valid applications received after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable.</li> <li>iii. Irrespective of the time of receipt of applications at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.</li> <li>For Redemption/ Repurchases/Switch out:</li> <li>i. In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.</li> <li>In respect of valid application accepted at an Official Point of Acceptance as listed in</li> </ul>
	the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.
Minimum	Purchase: Rs. 5000/- and in multiples of Re. 1/- thereafter
Amount for	Additional Purchase: Rs.1000/- and in multiples of Re.1/- thereafter.
Application	<b>Redemption:</b> The minimum redemption amount shall be 'any amount' or 'any number of units' as requested by the investor at the time of redemption request.
Dispatch of	The redemption or repurchase proceeds shall be dispatched to the unitholders within 10
Repurchase	working days from the date of redemption or repurchase.
(Redemption)	
Request	
Benchmark	Nifty 100 TRI
Index	A distinct control of the design of the desi
Dividend Policy	A dividend may be declared by the Trustee, at its discretion, from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations). Such dividends if declared will be paid under normal circumstances, only to those Unit holders who have opted for Payout of Income Distribution cum capital withdrawal. There is no assurance or guarantee to unit holders as to the rate of dividend distribution nor will that dividend be regularly paid. If the Fund declares a dividend under the Scheme, AMC shall dispatch the dividend warrants within 15 days from the
	date of declaration of the dividend.

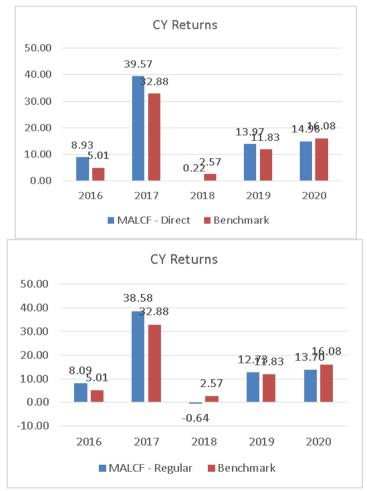
Name of the	The Scheme will be managed by Mr. Harshad Borawake and Mr. Gaurav Misra.
Fund	
Manager	
Name of the	Mirae Asset Trustee Company Private Limited
Trustee	
Company	
Scheme	

Scheme Performance

Particulars	Regular Plan option	- Growth	Direct Plan – Growth option		
Compounded	Scheme Benchmark		Scheme	Benchmark	
Annualised Growth Returns	returns (%)	Returns (%)	returns (%)	Returns (%)	
(CAGR)					
Since Inception	15.56	11.1	17.41	11.1	
Last 1 year	68.68	71.18	70.53	71.18	
Last 3 years	13.48	13.50	14.68	13.50	
Last 5 years	16.07	15.03	17.14	15.03	
NAV as on 31/03/2021	65.505		70.69		

Since Inception date of the Scheme: April 4, 2008; Direct Plan was introduce on January 01, 2013

### Graph depicting absolute returns for past 5 years:



Past performance may or may not be sustained in future and should not be used as

#### a basis for comparison with other investments.

**Note:** As per the SEBI standards for performance reporting, the returns are calculated on Rs.10/- invested at inception. For this purpose the inception date is deemed to be the date of allotment. The calculations of returns shall assume reinvestment of all payouts at the then prevailing NAV. The absolute graph of Direct Plan is computed from the Date of Allotment/1<sup>st</sup> Jan, as the case maybe, to 31st December of the respective calendar year.

# Expenses of the Scheme 1. Load Structure

a) Entry Load: Not Applicable: In accordance with SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor. Similarly, no entry load will be charged with respect to applications for registrations under Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) accepted by the Mutual Fund with effect from August, 1, 2009. The upfront commission shall be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

#### b) Exit Load:

#### (i) For investors who have opted for SWP under the plan:

15% of the units allotted (including Switch-in/STP - in) on or before completion of 365 days from the date of allotment of units: NIL.

Any redemption in excess of such limits in the first 365 days from the date of allotment shall be subject to the following exit load: (Redemption of units would be done on First In First Out Basis (FIFO):

- If redeemed within 1 year (365 days) from the date of allotment: 1%.
- If redeemed after 1 year (365 days) from the date of allotment: NIL.

(ii)Other Redemptions: For Investors who have not opted for SWP under the plan:

For all other redemption(s) (including Switch out, STP out):

- If redeemed within 1 year (365 days) from the date of allotment: 1%.
- If redeemed after 1 year (365 days) from the date of allotment: NIL.

The AMC has estimated that upto 2.25% of the daily net assets of the Scheme will be charged to the scheme as expenses. As per the Regulations, the maximum recurring expenses including investment management and advisory fee that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

## 2. Recurring expenses

First Rs. 500 crores	2.25%
Next Rs. 250 crores	2.00%
Next Rs. 1250crores	1.75%
Next Rs. 3000 crores	1.60%
Next Rs. 5000 crores	1.50%
on the next Rs. 40,000 crores of the daily net	Total expense ratio
assets	reduction of 0.05% for
	every increase of Rs
	5,000 crores of daily net
	assets or part thereof, on
	the next Rs. 40,000

	crores of the daily net assets
Balance of assets	1.05%

The recurring expenses of operating the Scheme on an annual basis, which shall be charged to the Scheme, are estimated to be as follows (each as a percentage per annum of the daily net assets)

Particulars	% p.a. of daily net assets
Investment Management & Advisory Fee	Upto 2.25%
Trustee fee	
Audit fees	
Custodian fees	
RTA Fees	
Marketing & Selling expense incl. agent commission**	
Cost related to investor communications	
Cost of fund transfer from location to location	
Cost of providing account statements and dividend redemption	
cheques and warrants	
Costs of statutory Advertisements	
Cost towards investor education & awareness (at least 2 bps)	
Brokerage & transaction cost over and above 12 bps and 5 bps for	
cash market transactions and derivative transaction respectively	
@@	
GST on expenses other than investment and advisory fees	
GST on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under	
Regulation 52 (6)	
^ Additional expenses under regulation 52 (6A) (c) Upto 0.20%	Upto 0.05%
\$ Additional expenses for gross new inflows from specified cities	Upto 0.30%

- \* Other expenses: Any other expenses which are directly attributable to the Scheme, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.
- @@ Brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions.

In addition to the limits as specified in Regulation 52(6) of SEBI (Mutual Funds) Regulations 1996 ['SEBI Regulations'] or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely:-

- \$ Additional expenses for gross new inflows from specified cities:
- (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least -
- (i) 30 per cent of gross new inflows in the scheme, or;

(ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher:

Provided that if inflows from such cities is less than the higher of sub-clause (i) or subclause (ii), such expenses on daily net assets of the Scheme shall be charged on proportionate basis.

Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.

This sub clause (a) shall be applicable for inflows received during the NFO period. Currently, SEBI has specified that the above additional expenses may be charged for inflows from beyond 'Top 30 cities.' The top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

#### Additional expenses under regulation 52 (6A) (c)

- ^ Such expenses will not be charged if exit load is not levied/not applicable to the scheme.
- ^ (b) additional expenses, incurred towards different heads mentioned under Regulations 52(2) and 52(4), not exceeding 0.20 per cent of daily net assets of the Scheme;
- (c) GST payable on investment and advisory service fees ('AMC fees') charged by Mirae Asset Investment Managers (India) Private Limited ('Mirae Asset AMC)';

Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme:

- (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme;
- (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme.

Any expenditure in excess of the SEBI regulatory limits shall be borne by the AMC or the Sponsor.

The current expense ratios will be updated on the AMC website <a href="https://miraeassetmf.co.in/downloads/regulatory">https://miraeassetmf.co.in/downloads/regulatory</a>.

Further, the notice of change in base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A) (b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the scheme will be communicated to investors of the scheme through notice via email or SMS at least three working days prior to effecting such change.

However, any decrease in TER due to decrease in applicable limits as prescribed in Regulation 52 (6) (i.e. due to increase in daily net assets of the scheme) would not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the scheme through email or SMS and

uploaded on the AMC website.

The above change in the base TER in comparison to previous base TER charged to the scheme shall be intimated to the Board of Directors of AMC along with the rationale recorded in writing.

The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Scheme will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.

All fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan. The TER of the Direct Plan will be lower to the extent of the distribution expenses/commission which is charged in the Regular Plan and no commission for distribution of Units will be paid / charged under the Direct Plan.

\*\*Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission which is charged in the Regular Plan.

#### Actual expenses for the financial year 2020-21, inclusive of Service Tax:

Regular Plan: 1.63% Direct Plan: 0.52%

Waiver of	
Load for	
Direct	
Applications	

Not Applicable.

## Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the paragraph on Taxation in the "Statement of Additional Information" or "Scheme Information Document" and to consult their own unit holder's tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the scheme.

### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all business days.NAV can also be viewed on www.miraeassetmf.co.in and www.amfiindia.com. Investors can also call us on "1800 2090 777" (toll-free from a MTNL / BSNL landline) to know the latest NAV.

### For Investor Grievances please contact

## Mr. Somak Banerjee

Mirae Asset Mutual Fund

606, 6th Floor, Windsor Bldg, Off CST Road, Kalina, Santacruz (E),

Mumbai - 400 098. Telephone Nos.: 6780 0300

e-mail: customercare@miraeasset.com

Investors are advised to contact any of the ISCs or the AMC by calling the investor line of the AMC at "1800 2090 777" (toll-free from a MTNL / BSNL landline) for any queries. Investors can also visit the website at <a href="www.miraeassetmf.co.in">www.miraeassetmf.co.in</a>, for complete details.

## Unitholders' Information

- An allotment confirmation specifying the units allotted shall be sent by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/or mobile number.
- Thereafter, a Consolidated Account Statement (CAS), generated based on PAN, containing details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month shall be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 15<sup>th</sup> of the succeeding month by mail/email.
- In case of non-availability of PAN, AMC will send monthly account statement for any financial transactions undertaken during the month on or before 15th day of the succeeding month by mail/email.
- In case of a specific request received from the Unit holders, the AMC/Fund will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request by mail/email.
- The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/R&T. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.
- Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 21<sup>st</sup> day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose email address is available, unless a specific request is made to receive in physical form.
- The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

**Monthly Portfolio Disclosures:** The AMC/Mutual Fund shall disclose portfolio (along with ISIN) as on the last day of the month for all their schemes on its website on www.miraeassetmf.co.in on or before the tenth day of the succeeding month in a user-friendly format.

Half Yearly Portfolio Disclosure: The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the month / half-year i.e. March 31 and September 30, on its website viz. https://www.miraeassetmf.co.in/ and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each month/ half-year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month/ half-year respectively. Mutual Fund /AMC will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Half Yearly Unaudited Financial Results: Half yearly Unaudited Financial Results

shall be hosted in the prescribed format on the website of the Mutual Fund on www.miraeassetmf.co.in within one month from the close of each half year i.e. on March 31 and on September 30 and an advertisement in this regard shall be published in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated. A link for the half yearly Unaudited Financial Results shall also be provided on website of Association of Mutual Funds in India (AMFI) on www.amfiindia.com

**Annual Financial Results:** The Scheme wise annual report or an abridged summary thereof shall be sent:

- (i) by e-mail to the Unit holders whose e-mail address is available with the Fund,
- (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same.

The scheme wise annual report or an abridged summary thereof shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year).

The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC.

A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

#### **Additional Disclosures:**

A. Details as per SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016

**Fund Manager details:** 

Portfolio of the Schemes as on March 31, 2021:

Top 10 Holdings of the Scheme as on March 31, 2021:

Name of the Instrument	ISIN	Industry	Quantity	Market/Fair Value(Rs. in Lacs)	% to Net Assets
<b>Equity &amp; Equity</b>					
related					
Infosys Limited	INE009A01021	Software	1,79,07,159	244978.89	10.31%
HDFC Bank Limited	INE040A01034	Banks	1,58,30,823	236457.09	9.95%
Reliance Industries	INE002A01018	Petroleum	1,00,25,092	200812.62	8.45%
Limited		Products			
ICICI Bank Limited	INE090A01021	Banks	3,28,24,066	191068.89	8.04%
Tata Consultancy	INE467B01029	Software	33,82,143	107479.43	4.52%
Services Limited					
Axis Bank Limited	INE238A01034	Banks	1,41,25,484	98518.19	4.15%
Hindustan Unilever	INE030A01027	Consumer	32,86,598	79913.63	3.36%
Limited		Non			

		Durables			
Bharti Airtel Limited	INE397D01024	Telecom -	1,52,37,229	78822.19	3.32%
		Services			
State Bank of India	INE062A01020	Banks	1,98,52,169	72321.45	3.04%
Dr. Reddy's Laboratories	INE089A01023	Pharmaceu	15,53,687	70164.50	2.95%
Limited		ticals			

Sector Allocation	% Weightage
Banks	25.4787%
Software	15.7311%
Petroleum Products	11.0108%
Consumer Non Durables	8.7612%
Pharmaceuticals	7.3399%
Auto	5.2459%
Consumer Durables	4.6439%
Insurance	4.1758%
Finance	3.7226%
Telecom - Services	3.3171%
Construction Project	2.4038%
Retailing	1.4754%
Power	1.2326%
Aerospace & Defence	0.8750%
Cement & Cement Products	0.7474%
Capital Markets	0.7431%
Auto Ancillaries	0.5932%
Chemicals	0.5361%
Pesticides	0.4138%
Gas	0.4022%
Engineering Services	0.0030%

Asset allocation	% of total
Equity Holdings	98.8529%
Exchange Traded Funds	0.9271%
Mutual Fund Units	0.0049%
Cash & Other Receivables	0.2151%
Total	100.0000%

For complete details of the portfolio refer: <a href="https://www.miraeassetmf.co.in/downloads/portfolios">https://www.miraeassetmf.co.in/downloads/portfolios</a>

## The aggregate investment in the scheme under the following categories as on March 31, 2021:

Sr. No.	Categories	Amount (Rs.)
i.	AMC's Board of Directors	1,38,05,442.34
ii.	Scheme's Fund Manager(s)	97,10,407.98
iii.	Other key managerial personnel	9,44,565.70

## Comparison with similar existing schemes of Mirae Asset Mutual Fund:

The below table shows the differentiation of the Scheme with the existing equity schemes of Mirae Asset Mutual Fund:

Scheme Name	Investment Objective	Asset Allocation				Investment Strategy	No. of folios as on March 31, 2021	AUM as on March 31, 2021
Mirae Asset Large Cap Fund	The investment objective of the scheme is to	Types of Instrument	Indica allocat (% of assets) Mini	tion f total	Risk Profile	The fund will predominantly invest in large cap companies, yet it has the flexibility to invest upto 20% in non-large cap companies. The fund manager may not have	733197	23,550.9
	generate long term m	mu m	Maxi mum		any bias towards particular theme, sector, or style in picking investment opportunities.  The Scheme aims to maximize the long term capital appreciation by finding investment opportunities resulting from Indian economic growth and its			
appreciation by capitalizing on potential investment opportunitie s by predominant ly investing in equities of large cap companies. The Scheme Equity Related Securities an Equity Related Securities an Equity Related Securities an Equity Large Ca companies companies other tha Large Ca companies	Equity	80	100	High				
	s by predominant ly investing in equities of large cap companies. The Scheme	Related Securities of companies other than Large Cap companies*	0	20	High	structural shifts through investing in equities, equities related securities with risk mitigating and controlling measures.		
	guarantee or assure any returns.	oes not uarantee or ssure any Money market instruments/		20	Low to Medium			

3.51	TD1				1	Tri C I	40501	1 174 20
Mirae	The		Indicat			The Consumer Investment	48521	1,174.39
Asset	investment	Instruments	allocati			Theme responds to lasting		
Great	objective of	moti umento	(% of	f total	Risk	changes in the emerging and		
Consumer	the scheme		assets)		Profile	developed markets. The AMC's		
Fund	is to		Mini	Maxi		strong conviction in long-term		
	generate		mum	mum		opportunities of the consumer		
	long term	Indian	80%	100%	High	theme in India especially has led		
	capital	Equities and				to develop Mirae Asset Great		
	appreciation	Equity				Consumer Fund. Mirae Asset		
	by investing	Related				Great Consumer Fund aims to		
	in a portfolio	Securities of				capture the wide-ranging		
	of	companies				investment opportunities		
	companies/f	that are				presented by this trend. It does		
	unds that are	likely to				not focus solely on the consumer		
	likely to	benefit either				sector but on a broad range of		
	benefit	directly or				sectors that will benefit from		
	either	indirectly				increased consumption. The		
	directly or	from				portfolio manager aims to		
	indirectly	consumption				construct a concentrated portfolio		
	from	led demand*				of stocks based on high		
	consumption	Money	0	20%	Low to	conviction investment ideas. The		
	led demand	market	U	2070	medium	fund manager may not have any		
	in India. The	instruments /			inculum	bias towards particular market		
	Scheme	debt				cap or style in selecting		
	does not	securities				investment opportunities.		
	guarantee or	Instruments				11		
	assure any	and/or units						
	returns.	of debt/liquid						
		schemes of						
		domestic/inte						
		rnational						
		rnational Mutual						
		Funds.						

Mirae Asset Equity Savings Fund	The investment objective of the scheme is to provide capital appreciation and income distribution to the investors by	Indian Equities and Equity Related Instruments	Indica e alloca n (% total assets 65 %	of ) 90 %	Risk Profile Mediu m to High	The scheme will seek to achieve its investment objective primarily by employing various strategies which seek to exploit available arbitrage opportunities in markets along with pure equity investments and investments in debt and money market instruments.	8558	209.04
	using equity and equity related instruments, arbitrage opportunitie s, and investments in debt and money market instruments. The Scheme does not assure or guarantee	i. Equities & equity related instruments (unhedged)*  ii. Equities , equity related instruments and derivatives including index futures, stock futures, index options & stock options etc. as part of hedged / arbitrage exposure.**	20 % 20 %	70 %	Low to Mediu m			
	any returns.	Money market instruments / debt securities Instruments and/or units of debt/liquid schemes of domestic Mutual Funds	10 %	35 %	Low to Mediu m			

Mirae	The		Indic	otivo	Ris	The Scheme will primarily invest 72504 1,270.33
Asset	investment	Types of	alloca		k	in equity and equity related
Healthcar	objective of	Types of Instruments	l	auon f total	K Prof	securities. The Scheme will also
	the scheme	instruments	`			invest in debt securities and
e		Y 11 TO 11	assets	,	ile	
Fund	is to seek to	Indian Equities	80	100	Hig	money market instruments.
	generate	and Equity	%	%	h	
	long term	Related				
	capital	Securities of				
	appreciation	companies that				
	through	are likely to				
	investing in	benefit either				
	equity and	directly or				
	equity	indirectly from				
	related	Healthcare and				
	securities of	allied sectors.*				
	companies	Other equities	0	20%	Hig	
	benefitting	and equity			h	
	directly or	related securities				
	indirectly in	of companies in				
	Healthcare	India.*				
	and allied	Money market	0	20%	Low	
	sectors in	instruments /			to	
	India. The	debt securities,			Med	
	Scheme	Instruments			ium	
	does not	and/or units of				
	guarantee or	debt/liquid				
	assure any	schemes of				
	returns.	domestic Mutual				
		Funds				
		1 unus				

Mirae Asset	The investment		Indicat	ion	Risk	This fund is positioned as a lower risk alternative to a pure equities	133859	4,753.77
Hybrid	objective of		(% of		Profile	scheme, while retaining some of		
Equity Fund	the Scheme is to generate	Types of Instruments	assets) Mini	Ma	High/ Mediu	the upside potential from equities exposure.		
	capital appreciation		mum	xim um	m/Lo w	The Scheme provides the Investment Manager flexibility to		
	along with current income from	Equity and Equity Related Instruments*	65	80	High	shift allocations within the mentioned asset allocation in the event of a change in view		
	a combined portfolio of predominant	Debt & Money Market Instruments	20	35	Mediu m	regarding an asset class.  The Scheme will primarily invest		
	ly investing in equity & equity	Units issued by REITs and	0	10	Mediu m to	in equity and equity related securities.		
Mirae	related instruments and balance in debt and money market instruments. The Scheme does not guarantee or assure any returns. The Scheme does not guarantee or assure any returns. The The The The The The	Types	of Indi	icati	High Risk	The Scheme will primarily invest	749683	6,972.13
Asset Tax Saver Fund	investment objective of the scheme is to generate long-term	Instruments	ve	catio of l	Profile	in equity and equity related securities.		
	capital appreciation from a diversified portfolio of		Mi ni m u m	Ma xi mu m	High/ Mediu m/Lo w			
	predominant ly equity and equity related	Equity and Equit Related Instruments*	80	10 0	High			
	instruments. The Scheme does not guarantee or assure any	Debt Instruments Money Marke Instruments, G Secs, Cash, CBLC Reverse Repo, etc.	et   G- 0 O,	20	Low to Mediu m			
	returns.							

Mirae	The		Indicative		As per investment objective, the	186962	4,233.14
Asset	investment		allocation		Scheme shall follow a	100702	7,233.17
Midcap	objective of		(% of		predominantly Midcap strategy		
Fund	the scheme		total	Diele	with a minimum exposure of		
runu	is to provide	Types of	assets)		65% to Mid-Cap equity and		
	long-term	Instruments	Min	le	equity related instruments. The		
	capital		imu Maxi	IC IC	Scheme may also seek		
	appreciation		mum mum		participation in other equity and		
	from a		111		equity related securities to		
	portfolio	Equities and equity	65 100	High	achieve optimal portfolio		
	investing	related securities* of	% 100	nigii	construction.		
	predominant	midcap companies**	70 70		Construction.		
	ly in Indian	Equities and equity	0% 35	High	The Investment Manager will		
	equity and	related securities	0%   33	nigii	select equity securities on a		
	equity	other than above	70		bottom-up, stock-by-stock basis.		
	related	Money market	0 35	Low	The focus would be to build a		
	securities of	instruments / debt	0   33   %	to	portfolio of strong growth		
	midcap	securities,	/0	Medi	companies, reflecting our most		
	companies.	Instruments and/or		um	attractive investment ideas at all		
	From time to	units of debt/liquid		uiii	points of time.		
	time, the	schemes of domestic					
	fund	Mutual Funds					
	manager	Widtail Luids			<del> </del>		
	may also						
	participate						
	in other						
	Indian						
	equities and						
	equity						
	related						
	securities for						
	optimal						
	portfolio						
	construction.						
	There is no						
	assurance						
	that the						
	investment						
	objective of						
	the Scheme						
	will be						
	realized.						

Mirae Asset Emerging Bluechip Fund	The investment objective of the scheme is to generate income and	Types of Instruments	Indica allocat (% of assets) Mini mum	total	Ris k Pro file	The investment strategies for the scheme are as follows: •The scheme aims to generate income and capital appreciation from a portfolio primarily investing in Indian equities and equity related securities of large	1074502	16,198.6	
	capital appreciation from a portfolio primarily investing in Indian equities and equity related securities of large cap	Indian Equities and Equity Related Securities* of Large cap companies (top 100 stocks by market capitalization at the time of investment).	35	65	Hig h	cap and mid cap companies at the time of investment. From time to time, the fund manager may also seek participation in other Indian equity and equity related securities to achieve optimal Portfolio construction.  The fund manager may not have any bias towards particular theme, sector or style in picking investment opportunities. However, the fund manager does			
	large cap and mid cap companies at the time of investment. From time to time, the fund manager may also seek participation in other Indian	Indian Equities and Equity Related Securities* of Mid cap companies (which are not part of the Top 100 stocks but fall within Top 250 stocks by market capitalization at the time of investment).	35	65	Hig h	have the flexibility to follow a focused approach on the investments.			
	equity and equity related securities to achieve optimal Portfolio construction. The Scheme does not guarantee or assure any returns.	Other Indian Equities and Equity Related Securities/ Money market instruments (including Tri- party repo)/debt securities Instruments**	0	30	Lo w to Me diu m				

Mirae Asset Focused Fund	An open ended equity scheme investing in a maximum of 30 stocks intending to focus in large cap, mid cap and small cap category	Types of Instruments	ion of tota	ocat	Risk Profile	The Scheme will primarily invest in equity and equity related securities.  The fund manager will follow a focused approach on the investments. The investments will be limited to maximum of 30 stocks. The fund has the flexibility to invest across market capitalization in large cap, mid cap and small cap category.	202490	5,479.86
	(i.e., Multi- cap)	Indian equities and equity related securities\$*  Money market instruments / debt securities, Instruments and/or units of debt/liquid schemes of domestic Mutual Funds	0	% 35 %	High Low to Mediu m	The focus would be to build a portfolio of strong growth companies, reflecting our most attractive investment ideas at all points of time.		

Mirae Asset Arbitrage Fund	Asset investment objective of the scheme is to generate capital appreciation and income by predominantl y investing in arbitrage opportunities in the cash and derivative	Types of Instruments	Indicative allocation (% o total assets)  Mi M mi ax m m u u u m m	Profi le	The scheme will seek to achieve its investment objective primarily by employing various strategies which seek to exploit available arbitrage opportunities in markets. If suitable arbitrage opportunities are not available in the opinion of the Fund Manager, the scheme may predominantly invest in debt and money market securities.  The market provides	6009	293.77
		Equities and Equity Linked instruments*	65 90 % %	Medi um to High	opportunities to the investor to derive returns from the implied cost of carry between the		
segments of the equity markets and the arbitrage opportunities available within the derivative segment and by investing the balance in debt and money market instruments	Derivatives including Index Futures, Stock Futures, Index Options and Stock Options	65 90 % %	Medi um to High	underlying cash market and the derivatives market. This provides for opportunities to generate returns that are possibly higher than short term interest rates with minimal active price risk on equities. Implied cost of carry			
	derivative segment and by investing the balance in debt and money	Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions)	10 35 % %	Low to Medi um	and spreads across the spot, futures and options markets can potentially lead to profitable arbitrage opportunities. The Scheme would carry out arbitrage strategies, which would entail taking offsetting positions in the various markets simultaneously.		
	There is no assurance or guarantee that the	Units issued by REITs & InvITs	0 10 %	Medi um to High	The arbitrage strategy can also be on account of buy-back of shares announced by a company and/or differences in prices between two		
					exchanges/markets. In this case the arbitrage strategy will not include an offsetting derivatives transaction.		

Mirae Asset Banking and Financial	The investment objective of the scheme is to generate	Types of Instruments	assets)	ion f total	Risk Profile	The fund manager broadly analyses the industry trends and business cycles in companies that benefit from the growth in the Banking and Financial services	31978	515.29
Services	long-term		Mini mum	Maxi mum		sector that includes but not		
Services Fund	long-term capital appreciation from a portfolio that is invested predominantl y in equity and equity related securities of companies engaged in banking and financial services sector. The Scheme does not guarantee or assure any returns.	Equity and equity related instruments of companies in the Banking and Financial Services Sector in India Other equities and equity related Instruments Debt and Money Market Instruments including schemes of Mutual Fund Units issued by	80% 0% 0%	100% 20% 20%	High  Low to Mediu m  Mediu	sector that includes but not limited to Banks, NBFCs, HFCs, MFCs, Broking and securities, Stock exchanges, depositories and related infrastructure providers, Wealth management or various kinds of asset management, Insurance, currency and forex, Credit cards and payment gateways or such infrastructure providers, digital financial institutes, rating agencies and investment companies  The Fund Manager may also invest upto 20% of the net assets of the Scheme in equities and equity related securities of companies other than in Banking and Financial services sector.  The fund has the flexibility to invest across market		
		REIT/InVITs	370	1070	m to High	capitalization in portfolio companies within this theme.		

Date: May 31, 2021

#### MIRAE ASSET MUTUAL FUND BRANCH OFFICES

• Ahmedabad - Unit No:-104, 1st Floor, SPG Empressa, Mithakhali - 380 009. • Bangalore - Unit No. 204, 2nd Floor Prestige Meridian II, No. 30, M.G Road, Bangalore - 560 001. Tel-080-44227777. • Bhubaneswar - Ofice No. 202, 2nd Floor, Janpath Tower, Ashok Nagar, Bhubaneswar-751009. Tel-7381029019. • Chandigarh - SCO 2473-2474, First Floor, Sector 22 C,Chandigarh - 160022. Tel-0172-5030688. • Chennai - New No.3, Old.No.2, Burkit Road, T. Nagar - 600017. Tel-044-44227777 • Jaipur - Unit 804, 8th Floor, Okay Plus Tower, Ajmer Road, Jaipur-302001. Tel-0141-2377222. • Kanpur - Ofice no 303 & 304, 3rd Floor, 14/113 KAN Chambers, Civil Lines, Kanpur-208 001. Tel-81770 00201. • Kolkata - Krishna Building, 5th Floor, Room No 510, 224, A.J.C. Bose Road, Kolkata-700017. Tel-033-44227777. • Lucknow - Ofice no. 308, 3rd Floor, Saran Chamber-II, 5, Park Road, Lucknow-226001. Tel-0522 - 4241511. • Mumbai (Corporate Office) - Unit No. 606, 6th Floor, Windsor Bldg., Off. CST Road, Kalina, Santacruz (E), Mumbai-400 098.Tel-022-67800300. • Mumbai (Branch Office) - Ground Floor, 3, 4, 9, Rahimtoola House, 7, Homji Street, Fort, Mumbai - 400001. Tel-022-49763740. • New Delhi - 1110 Ansal Bhavan, 16 K. G. Marg, New Delhi-110001. Tel-011-44227777. • Pune - 75/76, 4th Floor, C-Wing, Shreenath Plaza, Dnyaneshwar Paduka Chowk, Shivaji Nagar, Pune-411 005. Tel-020-44227777. • Rajkot - Office No. 406, 4th Floor, The Imperia, Near Limbda Chowk, Opposite Shastri Maidaan, Rajkot - 360 002 • Secunderabad - No. 208, Legend Crystal Building, 2nd Floor, PG Road, Secunderabad-500003. Tel-040-66666723. • Vadodara- Office No. 244, Second Floor, Emerald One, Jetalpur Road, Vadodara - 390020. Tel-9375504443. • Tel-9375504443. • Surat - C-225, ITC Building, Majura Gate, Ring Road, Surat - 395002. Tel-0261-4888844.

#### KFIN TECHNOLOGIES PRIVATE LIMITED (REGISTRAR)

• Agra - House No. 17/2/4, 2nd Floor, Deepak Wasan Plaza, Behind Hotel Holiday Inn, Sanjay Place, Agra, Uttar Pradesh - 282 002. • Ahmedabad - Office No. 401, 4th Floor, ABC-I, Off. C.G. Road - Ahmedabad -380009 • Ajmer - 1-2, 2nd Floor Ajmer Tower, Kutchary Road, Ajmer-305001 • Allahabad - Meena Bazar, 2nd Floor, 10, S. P. Marg, Civil Lines, Subhash Chauraha, Allahabad, Uttar Pradesh - 211 001. • Amritsar - 72-A, Taylor's Road, Aga Heritage Gandhi Ground, Amritsar-143001 • Anand - B-42, Vaibhav Commercial Center, Nr. TVS Down Town Shrow Room, Grid Char Rasta, Anand - 380001 • Asansol - 112/N G. T. Road, Bhanga, Panchil, Bardhaman West Bengal, Asansol - 713303 • Aurangabad - Shop no B - 38, Motiwala Trade Centre, Nirala Bazar ,Aurangabad 431001 • Balasore - 1-B, 1st Floor, Kalinga Hotel Lane, Baleshwar, Baleshwar Sadar Balasore - 756001, Orissa • Bangalore - No 35, Skanda Puttanna Road, Basavanagudi, Bangalore 560004• Baroda - SB-5, Mangaldeep Complex, Opp. Mesonic Hall, Productivity Road, Alkapuri, Baroda-390007 • Bharuch – 123 Nexus business Hub ,Near Gangotri Hotel ,B/s Rajeshwari Petroleum ,Makampur Road ,Bharuch -392001 Bhavnagar - Ofice No 306-307, Krushna Darshan Complex, 3rd Floor, Parimal Chowk, Above Jed Blue Show Room Bhavnagar - 364002 • Bhilai - Office No. 2, 1st Floor, Plot No. 9/6, Nehru Nagar [East], Bhilai 490020, Chhattisgarh • Bhopal - Gurukripa Plaza, Plot No. 48A, Opposite City Hospital, Zone-2, M P Nagar, Bhopal, Madhya Pradesh - 462 011. • Bhubaneswar - A/181, Saheed Nagar, Janardan House, Room No. 07, 3rd Floor, Bhubaneshwar, Orissa - 751007. • Burdwan - 63 G. T. Road, Birhata, Halder Complex, 1st Floor, Burdwan-713101 • Calicut - Sowbhagya Shoping Complex, Areyadathupalam, Mavoor Road, Calicut-673004 • Chandigarh - SCO 2423-2424, Sector 22-C, Chandigarh-160022 • Chennai - Flat No.F11, 1st Floor, Akshya Plaza, (Erstwhile Harris Road), Opp.Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai-600002 • Cochin - Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Ernakualm-682036 • Coimbatore - 1057, 3rd Floor, Jaya Enclave, Avanashi Road, Coimbatore-641018 • Cuttack - Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack-753001 • Dehradun - Kaulagarh Road, Near Sirmour Marg, Above Reliance Webworld, Dehradun-248001 • Dhanbad - 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad-826001 • Durgapur - MWAV-16 Bengal Ambuja, 2nd Floor, City Centre, Durgapur-713216 • Faridabad - A-2B, 2nd Floor, Neelam Bata Road, Peerki Mazar, Nehru Ground, Nit, Faridabad, Haryana -121 001 • Gandhinagar - Plot No.945/2, Sector-7/C, Gandhinagar-382007 • Ghaziabad - 1st Floor, C-7, Lohia Nagar, Ghaziabad-201001 • Gorakhpur - Above V.I.P. House, Ajdacent A. D. Girls College, Bank Road, Gorakhpur-273001 • Gurgaon - 2nd Floor, Vipul Agora, M. G. Road, Gurgaon - 122001, Haryana • Guwahati - 54, Sagarika Bhawan, R. G. Baruah Road, (AIDC Bus Stop), Guwahati-781024 • Hubli - 22 & 23, 3rd Floor, Eurecka Junction, T. B. Road, Hubli-580029 • Hyderabad -303, Vamsee Estates, Opp. Bigbazaar, Ameerpet, Telangana - 500 016. Indore - 101, Diamond Trade Centre, Indore, Madhya Pradesh - 452 001 Jalandhar - Office No 7, 3rd Floor, City Square building, E-H197 Civil Lines, Jalandhar 144001• Jabalpur - 3rd Floor, R.R. Tower. 5, Lajpatkunj, Near Tayabali Petrol Pump, Jabalpur - 482 001. • Jamnagar - 131, Madhav Plazza, Opp Sbi Bank, Nr Lal Bunglow, Jamnagar 361008• Jamshedpur - Madhukunj, 3rd Floor, Q Road, Sakchi, Bistupur, East Singhbhum, Jamshedpur 831001 Jharkhand • Jodhpur - Shop No. 6, Ground Floor, Gang Tower, Opposite Arora Moter Service Center, Near Bombay Moter Circle, Jodhpur, Rajasthan - 342 003. • Kanpur - 15/46, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur-208001 • Kolhapur - 605/1/4 'E' Ward, Near Sultane Chambers, Shahupuri, 2nd Lane, Kolhapur-416001 • Kolkata - 166A, Rashbehari Avenue, 2nd Floor, Near Adi Dhakerhwari Bastralaya, Opp. Fortis Hospital, Kolkata-700029 • Lucknow - 1st Floor, A.A. Complex, Thaper House, 5 Park Road, Hazratganj, Lucknow-226001 • Ludhiana - SCO 122, 2nd Floor, Above HDFC Mutual fund, Feroze Gandhi Market. Ludhiana 141001 Madurai - G-16/17, AR Plaza, 1st floor, North Veli Street, Madurai 625001 Mangalore - Ground Floor, Mahendra Arcade, Kodial Bail, Mangalore-575 003 Mehsana - FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana - 384 002 • Moradabad - Chadha Complex, G. M. D. Road, Near Tadi Khana Chowk, Moradabad - 244 001, Uttar Pradesh • Mumbai - Borivali - (Only for non-liquid transactions) Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali West, Mumbai-400091 • Mumbai - Chembur - (Only for Equity transactions) Shop No.4, Ground Floor, Shram Safalya Building, N G Acharya Marg, Chembur, Mumbai-400071 • Mumbai - Fort - (Only for Equity transactions) Ofice No.01/04, 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bombay Stock Exchange, Fort, Mumbai-400001 • Mumbai - Thane - (Only for non-liquid transactions) Room No. 302, 3rd Floor, Ganga Prasad, Near RBL Bank Ltd., Ram Maruti Cross Road, Naupada, Thane West - 400 602 • Mumbai - Vashi - (Only for Equity transactions) A-Wing, Shop No. 205, 1st Floor, Vashi Plaza, Sector 17, Navi Mumbai - 400 073. • Mumbai - Vile Parle - (Only for Equity transactions) 104, Sangam Arcade, V P Road, Opp. Railway Station, Above Axis Bank, Vile Parle West, Mumbai-400056 • Muzaffarpur - First Floor, Saroj Complex, Diwam Road, Near Kalyani Chowk, Muzaffarpur - Bihar - 842001 • Mysore - L-350, Silver Tower, Clock Tower, Ashoka Road, Mysore-570001 • Nadiad - 104/105 Gf City Point, Near Paras Cinema, Nadiad-387001 • Nagpur -Plot No. 2 , Survey No. 1032 and 1033 of Gagda Khare Town, Dharampeth, Nagpur – 440010 • Nasik - F-1, Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan, Nasik- 422002 • Navsari - 103, 1st Floor Landmark Mall, Near Sayaji Library, Navsari, Gujarat-396 445 • New Delhi - 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi-110001 • Panipat - 1st Floor, Krishna Tower, Near HDFC Bank, Opp. Railway Road, G. T. Road, Panipat-132103 • Panijim - City Business Centre, Coelho Pereira Building, Room Nos.18, 19 & 20, Dada Vaidya Road, Panjim-403001 • Patiala - Sco. 27 D, Chhoti Baradari, Patiala-147001 • Patna - 3A, 3rd Floor, Anand Tower, Beside Chankya Cinema Hall, Exhibition Road, Patna-800001 • Pondicherry - No.122(10b), Muthumariamman koil street, Pondicherry - 605001 • Pune -Mozaic Bldg., CTS No. 1216/1, Final Plot No.576/1 TP, Scheme No. 1, F C Road, Bhamburda, Shivaji Nagar, Pune-411004 • Raipur - 2 & 3 Lower Level, Millenium Plaza, Room No. Ll 2& 3, Behind Indian Coffee House, Raipur-492001 • Rajkot - 104, Siddhi Vinayak Complex, Dr. YagnikRoad, Opp. Ramkrishna Ashram, Rajkot-360001 • Ranchi - Room No. 307, 3rd Floor, Commerce Towers, Beside Mahabir Towers, Main Road, Ranchi-834001 • Rourkela - (Only for Equity transactions) 2nd Floor, Main Road, Udit Nagar, Rourkela, Sundargarh, Orissa – 769012 • Salem - No 40, 2nd Floor, Brindavan Road, Near Perumal Koil, Fairlands, Salem-636016 • Sambalpur - Sahej Plaza, First Floor, Shop No. 219, Golebazar, Sambalpur, Odisha - 768 001 • Shillong - Mani Bhawan, Thana Road, Lower Police Bazar, Shillong-793 001 • Siliguri - 2nd Floor, Nanak Complex, Sevoke Road, Siliguri - 734001 • Surat - G-5 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat-395002 • Trichy - No 23C/1 E VR Road, Near Vekkaliamman Kalyana Mandapam, Putthur, Trichy - 6200017, Tamilnadu • Trivandrum - 2nd Floor, Akshaya Towers, Above Jetairways, Sasthamangalam, Trivandrum-695010 • Udaipur - Shop No. 202, 2nd Floor, Business Centre, 1C Madhuvan, Opp G.P.O., Chetak Circle, Udaipur, Rajasthan - 313 001 • Valsad - 406 Dreamland Arcade, Opp Jade Blue, Tithal Road, Valsad - 396001, Gujarat • Vapi - A-8, First Floor, Solitaire Business Centre, Opp DCB Bank, GIDC Char Rasta, Silvassa Road, Vapi - 396 191 • Varanasi - D-64/132, 2nd Floor, KA, Mauza, Shivpurwa, Settlement, Plot No 478, Pargana: Dehat Amanat, ohalla Sigra, Varanashi - 221010, Uttar Pradesh • Vijayawada - 1st Floor, H No. 26-23, Sundaramma Street, Gandhi Nagar, Vijayawada, Andhra Pradesh - 520 011. • Visakhapatnam - Ground Floor, 48-10-40, SriNagar Colony, Visakhapatnam, 530016 • Vellore - No.1, M N R Arcade, Officers Line, Krishna Nagar, Vellore-632001 • Warrangal - 5-6-95, 1st Floor, Opp. B Ed College, Lashkar Bazar, Chandra Complex, Hanmakonda, Warrangal-506001 • Guntur - D No. 6-10-27, Srinilayam, Arundelpet, 10/1, Guntur - 522002 • Kurnool - Shop No. 47, 2nd Floor, S Komda Shoping Mall, Kurnool - 518001 • Bhagalpur - 2nd Floor, Chandralok Complex, Near Ghanta Ghar, Bhagalpur - 812001, Bihar • Darbhanga - Jaya Complex, 2nd Floor, Above Furniture Planet, Donar Chowk, Darbhanga -846003 • Bilaspur - KFin Technologies Pvt. Ltd, Anandam Plaza; Shop.No. 306; 3rd Floor, Vyapar Vihar Main Road, Bilaspur - 495001, Chattisgarh • Gandhidham - Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 370201 • Junagadh - 124-125 Punit Shopping Center, M.G. Road, Ranavav Chowk, Junagadh - 362001 • Ambala - 6349, 2nd Floor, Nicholson Road, Adjacent Kos Hospital Ambala Cant, Ambala - 133001, Haryana • Rohtak - 1st Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001 • Yamuna Nagar - B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk, Yamuna Nagar - 135001, Haryana • Shimla - 1st Floor, Hills View Complex, Near Tara Hall, Shimla 171001• Jammu - Gupta's Tower, 2nd Floor, CB-12, Rail Head Complex, Jammu - 180012 • Bokaro - City Centre, Plot No. HE-07; Sector-IV; Bokaro Steel City - 827004 • Belgaum - CTS No. 3939 /

A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001 • Kottayam - 11-4-3/3 Shop No. S-9, 1st Floor, Srivenkata Sairam Arcade, Old CPI Office, Near Priyadarshini College, Nehru Nagar, Khammam - 507002, Telangana • Trichur - 2nd Floor, Brothers Complex, Naikkanal Junction, Shornur Road, Near Dhanalakshmi Bank H O, Thrissur - 680001 • Gwalior - City Centre, Near Axis Bank, Gwalior, Madhya Pradesh - 474 011 • Amaravathi - Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601 • Shillong - Annex Mani Bhawan, Lower Thana Road, Near R K M LP School, Shillong - 793001 • Berhampur (Or) - Opp. Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or) - 760001 • Bhatinda - Second floor, MCB -2-3-01043 Goniana Road Opposite Nippon India MF GT Road, Near Hanuman Chowk Bhatinda - 161001 • Bhilwara - Shop No. 27-28, 1st Floor, Heera Panna Market, Pur Road, Bhilwara - 311001 • Bikaner - 70-71, 2nd Floor, Dr. Chahar Building, Panchsati Circle, Sadul Ganj, Bikaner - 334003 • Kota - D-8, Shri Ram Complex, Opposite Multi Purpose School, Gumanpura, Kota, Rajasthan - 324 007 • Sri Ganganagar - 35E Block, Opp. Sheetla Mata Vaateka Sri Ganganagar - 335001 • Erode - No. 4, Veerappan Traders Complex, KMY Salai, Sathy Road, Opp. Erode Bus Stand, Erode - 638003 • Tirupur - First Floor, 244 A, Above Selvakumar Dept Stores, Palladam Road, Opp. to Cotton Market Complex, Tirupur - 641604 • Agartala - Bidurkarta Chowmuhani, J N Bari Road, Tripura (West) - 799001 • Aligarh - Sebti Complex Centre Point, in the city of Aligarh - 202001, UttarPradesh. • Bareilly - 54 - Civil Lines, Ayub Khan Chauraha, Bareilly -243001, Uttar Pradesh • Meerut - H No 5, Purva Eran, Opp. Syndicate Bank, Hapur Road, Meerut - 250001, Uttar Pradesh • Saharanpur - 18, Mission Market, Court Road, Saharanpur - 247001 • Haldwani - Shop No 5, KMVN Shopping Complex, Haldwani 263139 Uttarakhand • Haridwar - 8, Govind Puri, Opp. LIC - 2, Above Vijay Bank, Main Road, Ranipur More, Haridwar - 249401 • Kharagpur - 180, Malancha Road, Beside Axis Bank Ltd, Kharagpur - 721304 • Nungambakkam - No. 23, Cathedral Garden Road, Nungambakkam, Chennai, Tamil Nadu - 600 034 • Jhansi - 371/01, Narayan Plaza Gwalior Road, Near Jeevan Shah Chauraha, Jhansi -284001 • Chinsura - KFin Technologies Pvt. Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West Bengal • Malda - KFin Technologies Pvt. Ltd, 96, Doctors Lane, Po: Chinsurah, Dt: Hooghly, Pin: 712101, West B • Noida - 201301, Uttar Pradesh • Alwar - Verification of the Company of the Compan KFin Technologies Pvt. Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan • Jaipur - KFin Technologies Pvt. Ltd, Office Number 137, First Floor, Jai Complex Road No - 2, Alwar 301001, Rajasthan

#### APPLICATION FORM - MIRAE ASSET LARGE CAP FUND (MLCF)

(Large Cap Fund - An open ended equity scheme predominantly investing across large cap stocks)



## MIRAE ASSET LARGE CAP FUND

(Large Cap Fund - An open ended equity scheme predominantly investing across large cap stocks)

Application No.:

#### This product is suitable for investors who are seeking\*

To generate long term capital appreciation / income

■ Investment predominantly in Large Cap companies

\*Investors should consult their financial advisers if they are not clear about the suitability of the product





				Allves	tors understand that their principal will be at Very High Risk
Name & Broker Code/ ARN/RIA Code**	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
				5 1 1 1 10 10 10 10	
*EUIN Declaration: Declaration for *Execution Only the EUIN box has been intentionally left blank by me/ advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our i	us as this transaction is executed with employee/relationship manager/sal	out any interaction or advice be see person of the distributor/si	by the employee/relationship ub broker.** <b>RIA/Declaratio</b> n	manager/sales person of the above : "I/We hereby give you my/our cor	distributor/sub broker or notwithstanding th
Please V Lumpsum Investment		Micro Applicat	ion	SIP	Application
TRANSACTION CHARGES (Please	any one of the below. Re	fer Instruction No. 11	)		
I AM A FIRST TIME INVESTOR IN MU Applicable transaction charges will be ded		OR		N EXISTING INVESTOR IN	
registered Distributor) based on the investo	r's assessment of various facto	rs including the services	rendered by the ARN H	older.	
1. EXISTING UNIT HOLDER INFOR	MATION - Please fill in you	r Folio Number, PAN,	KIN in below Section	is 2, 3, 4 & proceed to Sec	tion 7 for Investment Details.
Folio No.				ned alongside will apply for th n KYC credentials may be fille	nis application. All Unit Holders in the d in the below sections.
2. APPLICANT(S) NAME AND INFO	RMATION [Refer Instruction	n 2] If the 1⁵¹/ Sole Ap	plicant is Minor, ther	ı please provide details of	natural / legal guardian
1 <sup>st</sup> SOLE APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card)				PAN	
LEI Code for entities					
CKYC ID No. (KIN)			Pls ind		t for tax purpose / Resident of Cana
GUARDIAN (In case 1st Applicant is a M	inor)			Relationsl	No <sup>s</sup> (\$Default if not ✓)  hip with Minor (Please ✓)
Mr. / Ms. / M/s. GUARDIAN CKYC			KYC (Please ✓)	GUARDIAN GUARDIAN	Father Legal Guardi
D No. (KIN)  POA / Custodian Name:			Proof Attached	PAN	YC (Please ✓) ☐ Proof Attach
POA / Custodian CKYC ID No. (KIN)			P	OA / Custodian PAN	
Contact Person for Corporate Investor	r: Name			Designation:	
3. FIRST APPLICANT AND KYC		arked as '*' are Mar	· · · · · · · · · · · · · · · · · · ·		
1st SOLE APPLICANT Individual o	•				1a & 11b - Refer Instruction No.
*Date of Birth/ Incorporation (Individual) (Non-individual) (Please write the Date of birth as per Aadhaar C		f of Date of Birth (Ple (For minor applicant)	· _		hool Leaving Certificate / Mark She hers
Place of Birth / Incorporation:	Country of Birth / Incorporation:	1	Nationality:	Gende	r Male Female Oth
(Please write the Date of birth as per Aadhaar C Type: Resident Individual Sole		Γrust ☐ Bank / Fls	☐ FIIs ☐ PIO ☐ S	Society/AOP/BOI Minor	through Guardian  NRI - NR
	ate Company Public Ltd. C			rship Firm FOF - MF Sche	
a*. Occupation Details [Please tick (✓)]	Private Sector Business	Public Sector Retired	Government Serv	ice Student Proprietorship	☐ Professional ☐ Housewi
b*. Politically Exposed Person (PEP) Statu					
c*. Gross Annual Income (₹) [Please tick (	✓)]	1-5 Lakhs	5-10 Lakhs	☐ 10-25 Lakhs	>25 Lakhs > 1 Cro
d*. Net-worth (Mandatory for Non-Individu	als)₹		as on		Y Y (Not older than 1 ye
e*. Non-Individual Investors involved/p any of the mentioned services	• -	Exchange / Money Cha ending / Pawning	anger Services	Gaming/Gambling/Lottery  None of the above	/Casino Services
4. BANK ACCOUNT DETAILS - N	-				
Name of the Bank:					
Core Banking A/c No.			A/c	ee Pls. (✔) ☐ NRE☐ CURRI	ENT SAVINGS NRO Oth
Branch Name:	Ad	dress:			
Bank Branch City:	Sta	ate:		Pin C	Gode

Mode of Holding: Anyone of								
	r Survivor	Single		Joint		(Please note th	at the Default option	on is Anyone or Survivor)
2 <sup>nd</sup> APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card)	(Not Applicable in ca	ase of Minor Applicant)				G	ender Male	Female Other
PAN Details		PI	s indicate if US Pers	on or a resident	for tax purpose	/ Resident of Cana	da Yes	No* (*Default if not ✓)
CKYC ID No. (KIN)				KYC Pls 🕢 🛚	Proof Attach	ed Date of Bi	rth (Mandatory) Card) –	D M M Y Y Y Y
Place of Birth		Country of Birth				Nationality:		
a*. Occupation Details [Please tic	k (✓)]	Private Sector	Public Sector	Govern Agricult	ment Service	Student	Profession Others	ional Housewife
b*. Politically Exposed Person (PEP)	Status	Business  I am PEP	Retired I am Related to PEI	_ •		Proprietorsh	ip _ Others_	(Fieddo opdony)
c*. Gross Annual Income (₹) [Plea	se tick (√)]	Below 1 Lakh	1-5 Lakhs	5-10 La	khs	☐ 10-25 Lakhs	☐ >25 Lak	hs
d*. Net-worth ₹			as on DD	M M Y	<u> </u>	(Not older than 1	year)	
Mode of Holding: Anyone	or Survivor	Single		Joint	(PI	ease note that th	e Default option	is Anyone or Survivor)
3 <sup>rd</sup> APPLICANT Mr. / Ms. / M/s. (Please write the name as per PAN Card)	(Not Applicable in ca	ase of Minor Applicant)				G	ender Male	Female Other
PAN Details		PI	s indicate if US Pers	on or a resident	for tax purpose	/ Resident of Cana	da 🗌 Yes 🗀	No* (*Default if not ✓)
CKYC ID No. (KIN)				KYC Pls 🕢 🛚	Proof Attach	ed Date of Bi (As per PAN	rth (Mandatory) Card)	D M M Y Y Y
Place of Birth		Country of Birth				Nationality:		
a*. Occupation Details [Please tic		Private Sector Business	Public Sector Retired	Agricult		Student Proprietorsh	Professip Others_	ional Housewife (Please specify)
b*. Politically Exposed Person (PEP) c*. Gross Annual Income (₹) [Plea			I am Related to PEI 1-5 Lakhs	Not Appl		☐ 10-25 Lakhs	>25 Lak	hs > 1 Crore
d*. Net-worth ₹	,,,		as on DD			(Not older than 1		
6. MAILING ADDRESS [Please	provide your E-	mail ID and Mobile	Number to help u	s serve you b	etter]			
Local Address of 1st Applicant								
Tel. Off.		City		State			Pin Code	
						Mahila		
			Resi.			Mobile		
F - Mail^^			Resi.			WIODITE		
E - Mail^^			Resi.			Mobile		
E - Mail^^  6a. Mandatory for NRI / FII App	licant [Please pro	ovide Full Address.		y not be suffi			, Indian Addres	s is preferred]
6a. Mandatory for NRI / FII App	licant [Please pro	ovide Full Address.		y not be suffi			, Indian Addres	s is preferred]
	licant [Please pro	ovide Full Address.		y not be suffi			, Indian Addres	s is preferred]
6a. Mandatory for NRI / FII App			P. O. Box No. ma		cient. For Ove	erseas Investors		s is preferred]
6a. Mandatory for NRI / FII App Overseas Correspondence Address	IT DETAILS ( For		P. O. Box No. ma	t Details plea	cient. For Ove	erseas Investors etructions No. 6. stribution  Re	) investment of In	
6a. Mandatory for NRI / FII App Overseas Correspondence Address  7. INVESTMENT AND PAYMEN Scheme - MIRAE ASSET	IT DETAILS ( For LARGE CAP	complete informat Regular Plan Direct Plan Darty Payment)	P. O. Box No. ma	t Details plea:   Payou cum c.   Payment ( Pl	se refer to Institution of Income Diapital withdraw	erseas Investors structions No. 6. stribution Reval option* ca	) investment of In oital withdrawal of Declaration Form')	come Distribution cum option (Default)*
6a. Mandatory for NRI / FII App Overseas Correspondence Address  7. INVESTMENT AND PAYMEN Scheme - MIRAE ASSET FUND (MLCF)	IT DETAILS ( For LARGE CAP  Self (Non-Third Amount of	complete informat Regular Plan Direct Plan	P. O. Box No. ma	t Details plea:   Payou cum c.   Payment ( Pl., Net	cient. For Ove	erseas Investors etructions No. 6. stribution Reval option* ca	investment of In bital withdrawal of Declaration Form')  Bank / P	come Distribution cum option (Default)*
6a. Mandatory for NRI / FII App Overseas Correspondence Address  7. INVESTMENT AND PAYMEN Scheme - MIRAE ASSET FUND (MLCF) Payment Type [Please (✓)]	IT DETAILS ( For LARGE CAP  Self (Non-Third Amount of	complete informat Regular Plan Direct Plan d Party Payment) Cheque / DD /	P. O. Box No. ma ion on Investmen Growth (Defau Third Par DD Charges	t Details plea:   Payou cum c.   Payment ( Pl., Net	se refer to Ins t of Income Diapital withdraw ease attach 'Thi	erseas Investors etructions No. 6. stribution Reval option* caurd Party Payment I	investment of In bital withdrawal of Declaration Form')  Bank / P	come Distribution cum option (Default)* ay-In Bank A/c No.
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Ga. Mandatory for NRI / FII App Overseas Correspondence Address  7. INVESTMENT AND PAYMEN Scheme - MIRAE ASSET FUND (MLCF) Payment Type [Please (✓)] Cheque / DD / UTR No. & Date  *Amounts can be distributed out of inve	Self (Non-Third Amount of RTGS / NEFT	complete informate Regular Plan Direct Plan d Party Payment) Cheque / DD / in figures (Rs.)	P. O. Box No. ma  ion on Investmen  Growth (Defau  Third Par  DD Charges if any  n is part of sale price Please ensure the Depository	t Details pleas    Payou cum c.    Payou cum cum c.    Payou cum cum c.    Payou cum cum cum cum cum cum cum c	se refer to Ins t of Income Di apital withdraw ease attach 'Thi t Purchase Amount	erseas Investors etructions No. 6. stribution Reval option* ca rd Party Payment I  Drawn or Bran	investment of In pital withdrawal of Declaration Form')  Bank / P ch (	come Distribution cum option (Default)* ay-In Bank A/c No. For Cheque Only)
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**Application No.:** 

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FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India?

1	If Voc	nloaco	provido	countr	diac i	n which	the ontity	ic o	rocidont	for toy	nurnoco	and the	accordated	Tov	Identi cation	No.	holow)
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(If Yes, please provide	country/ie	s in which the entity is a resident for to	ax purpose and the asso	ciated Tax	(Identi cation No. below)				
1 <sup>st</sup> Applicant	(Sole / G	uardian / Non-Individual)		2 <sup>nd</sup> A	pplicant		3 <sup>rd</sup> Ap	plicant	
Do you have any not Country(ies) of Birth Citizenship / Nationa and Tax Residency	1	Yes No	Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	1	Yes No	Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	1	Yes No	
Country of Birth / Incorporation			Country of Birth			Country of Birth			
Country Citizenship Nationality	I		Country Citizenship Nationality	I		Country Citizenship Nationality	1		
Are you a US specifi person?	ed	Are you a US specifi person?	Yes No Please provide Tax Payer Id.	Are you a US specifiperson?	ed	Yes No Please provide Tax Payer Id.			
For non-Individual inve	estor, in ca	se your country of incorporation / Tax	residence is US, but yo	u are not	a specified US person then please me	ention exemption code		Refer instruction 15(e))	
Individual or Non-Indi if ticked Yes above.	dividual in	vestors fill this section	Individual investor	have to f	II in below details in case of joint a	pplicants			
	Country	y:		Countr	y:		Country	r:	
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Address Type			Address Type		_	Address Type		_	
(Address Type: Resi	dential or	Business (default) / Residential / B	susiness / Registered C	Office) (Fo	r address mentioned in form / exist	ting address appearing	in folio)		
n case of applications v	vith POA, t	he POA holder should fill separate for	orm to provide the above	details m	andatorily.				
13. DECLARATIO	N AND S	GIGNATURES / THUMB IMPRE	SSION OF APPLICA	ANT(s) [	Refer Instructions 2(f) of KIM]				
agree to abide by the terms, c provisions of the Income Tax Asset Mutual Fund. (D) The Information/details with the A /We will indemnify the Fund, orm of trail commission or ar andicative portfolio and/or an /We have read, understood a (RIA) through the registrar or nvest into the Scheme as pe notify the AMC, in which ever	onditions, ru Act, Anti Mor information MC / Fund/R AMC, Truste by other mode y indicative y and shall be to otherwise. (I r the said FE at the AMC re	les and regulations governing the scheme. (B) ley Laundering Laws or any other applicable Is given in / with this application form is true an egistrars and Transfer Agent (RTA) from time te, RTA and other intermediaries in case of any e), payable to him for the different competing Seled by the Fund/AMC/Its distributor for this invocund by the terms & conditions of the PIN agr (Applicable to Foreign Resident's Residing in I MA regulations and other applicable laws and serves the right to redeem my / our investmen	I/We hereby declare that the a saws enacted by the Governme d correct and further agrees to time. I/We hereby confirm the dispute regarding the eligibilit schemes of various Mutual Ful estment. I/We have not receive eement available on the AMC ndia:- I/We confirm that I/We regulations. (J) I/We confirm ts in the Scheme(s). (K) FATC	mount inves ent of India fro o furnish ad at the AMC/F y, validity and ands from am ed nor have to website for to satisfy the Re that I am / W A/CRS Certi	olied for (Including the scheme(s) available dui ted in the scheme is through legitimate sources om time to time. (C) Signature of the nominee iditional information sought by Mirae Asset In- tund shall have the right to share my informatic d authorization of my/our transactions. (E) I/Wongst which the Scheme is being recommende even induced by any rebate or gifts, directly or i ransacting online. (H) RIA: I/We hereby agree sidency test as prescribed under FEMA provise a ere not United States person(s) under the lax fication: I / We have understood the informatio	s only and does not involve and acknowledging receipts of myl westment Managers (India) Pro- on and other details with the re- further declare that "The ARP det to me/us. (F) I/We hereby co- ndirectly in making this investration consent the AMC to share raions. I/We further declare that we of United States or resident in requirements of this Form (in	is not design our credit will ivate Limited gulatory and I holder has d onfirm that I/A nent. (G) App ny transactio I/We am/are (s) of Canada ead along will	ed for the purpose of the contravention of any constitute full discharge of liabilities of Mirae (AMC) / Fund and undertake to update the government authorities as and when needed. disclosed to me/us all the commissions (in the We have not been offered/communicated any licable to Investors availing the online facility: n details to the registered investment advisor "Person Resident in India" and are allowed to a. In case of change to this status, I / We shall h the FATCA & CRS Instructions) and hereby	
					and understood the FATCA& CRS Terms and				

will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit In such case, the concerned SEBI registered intermediary reserves the applicant or reverse the allocal moment of units, its found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio.

ed Application from Mr. / Ms. / M/s.		For ☐ Lumpsum 'OR' ☐ SII as per details below:
Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
MIRAE ASSET LARGE CAP FUND	Amount (Rs)	
	Cheque / DD No.:	
	Dated	
	Bank & Branch	

NACH MANDATE INSTRUCTION FORM (Refer guidelines / Instruction over leaf before filling)

SYSTEMATIC INVESTMENT PLAN (SIP)
Registration Cum Mandate Form with Goal SIP & Top-Up Facility

(Large Cap Fund - An open ended equity scheme predominantly investing

Application No.:

Name & Broke														101	utua	ai i ui	<u> </u>			
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UIN Declaration: Declaration e EUIN box has been intention dvice of in-appropriateness, ed/portfolio holdings/NAV etc.	nally left blank by if any, provided he. in respect of my	me/us as to y the emp	this transaction (with this transaction) doyee/relat tments und	ction is excitionship m	ecuted with nanager/sa Plan of all S	hout any ales pers Schemes	interaction in interaction of the smanage	on or advice distributor d by you, to	by the emp s/sub broker. the above n	oyee/related RIA/Declated nentioned	tionship r laration: SEBI-Re	nanager "I/We he gistered	sales perso reby give y Investment	on of the a you my/o Adviser/	briple bove ur con: RIA".	distribute sent to s	or/sub b hare/pr	rovide	or notwit the trans	nstandi
Signature of 1st Applicant / Gu									/ Authorised					e of 3 <sup>rd</sup> Ap	olicant	/ Guardia	n / Auth	orised	Signator	y / PoA
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ECLARATION & SIGNATURE: To ach scheme and agree to abide by asons of incomplete or incorrect or ank account on the date of execut	the terms, condition or any other operation ion of the said stand ch the Scheme is	ns, rules and onal reasons ding instruction	d regulations on the second of	governing t d not hold M IRN holder o me/us". "I	the scheme of dirae Asset Ir has disclos	& condition nvestmen sed to motor not made	ons of SIP e at Managers e/us all the any other	enrolment and s (India) Priva e commissio Micro applio	d registration to tate Limited*, the ons (in the for cation [include	nrough NAC leir appointe m of trail co ling Lumps	CH/ECS or ed service ommissio sum + SIP:	Direct Del providers n or any o s] which t	oit (Auto Debi or representa other mode) together with	it). I/We als atives resp , <b>payable</b> t	o agree onsible. o him f	that if the I/We also or the dif	transac underta ferent c	tion is d ake to ke <b>ompeti</b>	elayed or eep suffici ng Schei	not effer ent fund nes of v
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#### GENERAL GUIDELINES

This mandate registration form will be submitted through National Automated Clearing House (NACH)

- Inis mandate registration form will be submitted through National Automated Clearing House (NACH).

  1. This facility is offered to investors having Bank account is neslect banks mentioned in the link http://www.npci.org.in/The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI. By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Description of Applicant Plan Application for Mirae Managers (India) Private Description for Mirae Mirae
- 2. Please submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 100 calendar days from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.
- 3. Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month
- 4. Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate may be updated in the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.
- 5. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification.

  6. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual
- Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.
- Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.

  7. Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH Debit/Auto Debit/I neal Holidays. Debit/Local Holidays.
- 3. Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.
- and takes full responsionily for the saine.

  9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.

  10. Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of
- bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.
- 11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in
- 12. Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.
- 13. In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason Account Closed or In sufficient balance or any technical reasons as provided by NPCI/Aggregator.
- 14. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.
- 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.
- 16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases:
- Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
- ii) Custodian on behalf of an Ell or a Client
- (iii) Payment by the AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum I one-time subscription.
- (iv) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.

For complete details, please refer the section on Third Party cheques given in the General Instructions

17. Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

Schemes	Monthly / Quarterly Frequency
Mirae Asset Large Cap Fund	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter
Mirae Asset NYSE FANG+ ETF Fund of Fund	₹5000 - 5 Installments - Multiples of ₹ 1 thereafter
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter

\*Please refer notice cum addendum dated November 4, 2020 for details on Minimum Application criteria for Mirae Asset Emerging Bluechip Fund

- Please note Default Option is Growth. In case of Income Distribution cum capital withdrawal option, default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan.

  18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes
- 19. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens

distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account

20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.

21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetfin.co.in

22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)

22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)
As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to Rs.50,000 per year, per investor, per mutual funds shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN exemption in KYC process. This exemption will be applicable ONLY to vestments by individuals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Silp. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested by a Rh holder (AMF Registered Distributor). All the applicants including second and third applicant (if any) is mandated to submit any of the above documents. 23. Terms & Conditions -SIP Top-up:

SIP Top-Up is a facility which will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP. The features, terms and conditions for availing the Top-Up' facility can be ava

- Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP
   In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be processed accordingly.
- processed accordingly.

  \*\*SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue will 2099.

  \*\*SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like NACH/Auto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDC) and where SIP is registered and installments are sent through Mutual Endu Utility (MFU), NSE MF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners, Mirae Asset Online SIP (SIP). As & When relevant systems are put In place, this facility will be automatically offered.

  \*\*The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.
- In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date
- SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been
- processed.

  \*\*All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility. or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018

  \*\*Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows:

Details of SIP registered	Details of Top-up opted for
<ul> <li>Fixed SIP Installment amount: ₹ 5,000/-</li> <li>SIP Period: 01-April-2019 till 31-March-2022 (3 years)</li> <li>SIP Date: 1st of every month (36 installments)</li> </ul>	Example: • Top-Up Amount: Rs. 1,000/- • Top-Up Frequency: Every 6 months

#### Based on above details, SIP Installments shall be as follows:

No(s).	(in ₹) (A)	(in ₹) (B)	after Top-up (in ₹) (A+B)
1 to 6	5,000	NA	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000* *(At-least amount to be filled on NACH Mandate)

#### 24. Terms & Conditions - Goal Based SIP

be considered as yearly frequency.

- SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
- "Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned, the same will be taken as default amount of 1 Crore. Further, AMC reserves The right to register any such deficient application as a regular SIP & not as Goal SIP-. Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs). Or through Mutual und Utility (MFU), OR through MTSS system if NSE or ISBE Star MF platform of ISBE or any other platforms of these stock exchanges or Channel partners or Mirae Asset online SIP (I-SIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms.

  Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice if 15 days.
- All other conditions generally applicable for SIP shall also be applicable for Goal SIP.
- 25. Pursuant to recent SEBI guideline, w.e.f.01st Feb, 2021, Allotment of units will be based on Realization basis. Here the date and time of receipt of funds into the collection account of the scheme will be considered and it will not be basis the debit date from investor's bank account. It may be please noted that different payment modes may have different clearing cycles, and accordingly, there will difference in the transaction date versus the NAV applied date for across transactions like Lumpsum, SIP or Switches including STP's. In case of Switches or STP's the Allotment will be as per the settlement cycle of the OUT scheme in to the IN scheme

#### **Instructions to fill Mandate:**

- 1 LIMRN To be left blank
- 2. Date in DD/MM/YYYY format
- Sponsor Bank code to be left blank for office use only.
   Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- 5. Name of the entity to whom the mandate is being given.
- 6. Account type SB/CA/CC/SB-NRE/SB-NRO/OTHER
- 7. Tick Select your appropriate Action
- a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate
- c. Cancel For cancelling the existing registered Mandate 8. Your Bank Account Number for debiting the amount.
- Name of your bank and branch.
   Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 12. Amount in words
- 13. Amount in figures
- 14. Frequency at which the debit should happen.15. Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given
- 17.Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number.
- 19. Your email-id.
- 20. Period for which the debit mandate is valid
- a. Start date b. End date

- c. Or until cancelled
  21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.

#### **INSTRUCTIONS**

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Applicant Information
   (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
   (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

#### (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

#### Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

#### Individual Investors:

- Individual Investors:

  (i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can in invest in so hemes of the Fund quoting their designated KIN issued by CKYC on the application from [14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can
- the Existing Investors who nave cone the SEBLATC (KYC Intro S.KAS, VC, NUML, DOTEX, KARYY & CAMIS). Inely continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.

  (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any infimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- customercaregomineasestectom.

  (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a opy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA nd AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:
It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Dividend payout payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Dividend payout proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

#### 4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/Dividend payout proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Dividend payout Proceeds:
Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC /
MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS

#### **Investment Details:**

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).

  Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque
- Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICRJ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing baces which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. In case the payment is made through Indian Rupse draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme. For Direct Investments, please mention "Direct" in the column "Broker / Agent Code". Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected:

   Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum I one-time subscription.

  Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription.
- Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions.

deductions.

- Custodian on behalf of an FII or a Client.

Options Available: Income Distribution cum capital withdrawal option and Growth Default Option: Growth; and under Income Distribution cum capital withdrawal option Option, Default option:

Reinvestment of Income Distribution cum capital withdrawal option. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Reinvestment of Income Distribution cum capital withdrawal option can be availed at Daily, Weekly (Record date Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

#### 7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

  2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding

- month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.

  In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/March)]

  Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email/physical mode.

email/physical mode.

S. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word 'transaction' shall include purchase, redemption, switch, dividend payout, divided reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month. In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc., may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the foliobelonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN):
This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor.This facility can be availed by all KYC Compliant Investors (FrestyExisting) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

Nomination Details:
A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIMapplication form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation the nominee(s) will be captured by the Registrar and will be available in the data base maintained, upon receipt or minimuon from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of guardinship if the nominee is a minor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s). Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate. A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee. The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may sufer or incur in connection with a nomination. The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

#### 10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

 Broker code, if already printed on the forms must be struck off and countersigned by the investors.
 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as 'Direct" in the interest of the investors.

11. Transaction charges (TC):
In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. However, TC - in case of investments through SIP registered, shall be deducted only if the total commitment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/ or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments). If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly

#### **INSTRUCTIONS**

#### 12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application

#### 13. Units in Demat mode:

Units of MAMF can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DPs name, DP ID Number and the beneficiary account number of the appliciant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to the suck exchange till the holds are converted in Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in

14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authorities scholaried by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FALCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("TaxAuthority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to refuse to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor proces to inferent services when the first thread or an observed to the consequence to inferent services. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 10/6 address / 1 hold mail address / 10 Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

#### 15. Details under FATCA & CRS

Details under PAICACCKS
As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group rentities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution,

Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

- Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:
  a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of
- shares traded on the exchange).

  b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market
- c. Active NFE: (is any one of the following):

## Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income
- The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than thousiness of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, of any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 0.3
- 04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; 06
  - Institution;

    Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization agricultural or notricultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have aproprietary or beneficial interest in its income or assets. The applicable laws of the NFE's control or residence or the NFE's formation documents do not permit any income or assets of the NFE to distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct he NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be the teated as fulfilling the criteria provided in the said sub-clause, namely; (1) as the new of the NFE's and investor Protection Fund referred to in clause (23EC), of section 10 of the Act;
- The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
  e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.
- (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v)A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i). (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (viii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584.(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Dividend payout; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

  No fresh purchases (including SIP and Systematic Transfer Plans)/ additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

  All existing registered SIP and Systematic Transfer Plans would be ceased.
- Aniexisting registered Sir and systematic Trainsfer Plais would be classed. For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected. In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

#### 17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form

#### Ultimate Beneficial Owner means:

- For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is a nunincorporated association or body of individuals.
- In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.
- ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (Fils), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.
- C UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is a nunincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where partnership interest is the controlling ownership interest of sensions. where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.
- 18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code: The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

### APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET LARGE CAP FUND (MALCF)



				Mutua	al Fund
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
UIN Declaration: Declaration for "Execution Only the EUIN box has been intentionally left blank by me dvice of in-appropriateness, if any, provided by the ted/portfolio holdings/NAV etc. in respect of my/ou	e/us as this transaction is execute ne employee/relationship manac	ed without any interaction or advice I ger/sales person of the distributor/s	by the employee/relationship sub-broker. RIA/Declaration:	manager/sales person of the above of "I/We hereby give you my/our cons	distributor/sub broker or notwitȟstanding
1. EXISTING UNIT HOLDER INFORM		ur records under the folio Name of 1 <sup>st</sup> Unit Holder:	number mentioned wi	ll apply for this application	.)
2. ADDITIONAL PURCHASE IN EXIS	TING SCHEME FROM W	HERE THE SWITCH OUT	TO NFO SCHEME IS IN	ITENDED	
YC compliance status: Please (✓)	1 <sup>st</sup> Appli	cant 2	<sup>2nd</sup> Applicant	☐ 3 <sup>rd</sup> Applicant	
Scheme	Regular Plan Direct Plan	Distribut	ion cum capital Inval option ca	einvestment of come Distribution cum apital withdrawal option befault)	Income Distribution cum capital withdrawal option frequency*
ncome Distribution cum capital withdrav	val option frequency is ap	plicable only for Mirae Asset	Cash Management Fur	nd & Mirae Asset Savings Fur	nd.
ayment Type: Please (✓)	Non-Third Party Page	yment  Third Party		ach 'Third Party Payment De	
core Banking A/c No.:	Amount of Chagua / D	D / Not Burehoos			CURRENT SAVINGS No
Cheque / DD / UTR No. & Date	Amount of Cheque / D RTGS / NEFT in figures		Drawn on B Branch		y-In Bank A/c No. or Cheque Only)
RANSACTION CHARGES (Refer Instr					
ansaction charges, ₹100/- will be de					•
a. DEMAT ACCOUNT DETAILS - Manda					
ational Securities Depository L P Name:	imited (NSDL)			Services (India) Limited	(CDSL)
			P Name:		
	enef. A/C No.		Digit A/C No.		
` '	lient Masters List (CML)		n cum Holding Stateme		ivery Instruction Slip (DIS)
3. SWITCH REQUEST - I WISH TO S	Regular Plan Direct Plan	Growth Payout o	of Income Rion cum capital Inval option ca	einvestment of come Distribution cum apital withdrawal option Default)	Income Distribution cum capital withdrawal option frequency*
.mount (in figures) (₹):		Or Units	(in figures):	,	Or All Unit
mount (in words) (₹):		Or Office (	in ngures).		Of All Office
, , , ,				Regular Plan Direct F	Plan Growth (Default)
o Scheme: Mirae Large Cap Fund	val antian fraguancy is an	plicable only for Mirae Accet			_ , ,
Income Distribution cum capital withdrav  4. DECLARATION AND SIGNATURE		•			iu.
o The Trustees, Mirae Asset Mutual Fund (The Fund) – (A gree to abide by the terms, conditions, rules and regulatior rovisions of the Income Tax Act, Anti Money Laundering L. sset Mutual Fund. (D) The information given in / with this formation/details with the AMC / Fund/Registras and Traw We will indemnify the Fund, AMC, Trustee, RTA and other orm of trail commission or any other mode), payable to him dicative portfolio and/or any indicative provided by the fund in the information provided by me / us on this Form ill be pressured that applicants the ultimate beneficial ow as concealed the facts of beneficial ownership. I/We also adnaar: I/We hereby voluntarily submit Aadhar card to the	is governing the scheme. (B) I/We her away or any other applicable laws and a application form is true and correct as a paplication form is true and correct inster Agent (RTA) from time to time. I/I intermediaries in case of any dispute in for the different competing Schemes MC/fits distributor for this investment. is & conditions of the PIN agreement. I reign Resident's Residing in India- I/I do other applicable laws and regulation or dedem my / our investments in the sin is true, correct, and complete. I I/We in its true, correct, and complete. II we in, with no declaration to submit. In sundertake to keep you informed in writering and control in writering in writering in writering in great in the single in the sum of the sum	eby declare that the amount invested in the ted by the Government of India from time and further agrees to furnish additional We hereby confirm that the AMC/Fund shregarding the eligibility, validity and author of various Mutual Funds from amongst w I/We have not received nor have been included a substantial of the AMC website for transact We confirm that I/We satisfy the Residenc ns. (J) I/We confirm that I/We are no Scheme(s). (K) FATCA/CRS Certification also confirm that I/We have read and un uch case, the concerned SEBI registered titing about any changes/modification to to	e scheme is through legitimate sou to time. (C) Signature of the nomi information sought by Mirae Assa all have the right to share my infornization of my/our transactions. (E) thich the Scheme is being recomm fuced by any rebate or gifts, direct ing online. (H) RIA: I/We hereby a y test as prescribed under FEMAp to thild of the state of the	irces only and does not involve and is not diese acknowledging receipts of my/our creist threstment Managers (India) Private Lination and other details with the regulatory I/We further declare that "The ARN holder ended to melus. (F) I/We hereby confirm it y or indirectly in making this investment. (G gree to consent the AMC to share my trans rovisions. I/We further declare that I/We are le laws of United States or resident(s) of C nation requirements of this Form (read alou and Conditions and hereby accept the sar eject the application or reverse the allotme	designed for the purpose of the contravention of dit will constitute full discharge of liabilities of I mitted (AMC). Fund and undertake to updat y and government authorities as and when nea r has disclosed to me/us all the commissions (in that I/We have not been offered/communicate G) Applicable to Investors availing the online fa saction details to the registered investment ad m/are "Person Resident in India" and are allow anada. In case of change to this status, I / We ng with the FATCA & CRS Instructions) and he me. In case the above information is not provice ent of units, if subsequently it is found that apple
Signature of 1" Applicant/Guardian/Authorised (AS IN BANK RECORDS)		ignature of 2 <sup>nd</sup> Applicant/Guardian i (AS IN BANK REC			/Guardian/Authorised Signatory/PoA BANK RECORDS)
Received Application from Mr Scheme Name Mirae Asset Larg	. / Ms. / M/s				as per details below:
Scheme Name and Plan		Payment Details		Date & Stamp	o of Collection Centre / ISC
Mirae Asset Large Cap Fund (AUTO SWITCH OUT APPLICATION FORM)		Amount (Rs)			

Dated\_ Bank & Branch\_

#### **INSTRUCTIONS**

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. The application for Auto Switch will be processed on the closing day of the NFO.
- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- 12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 14.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 15.Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the Plan.
- 16.Investors subscribing under Direct Plan of the Mirae Asset Large Cap Fund will have to indicate the Scheme / Plan name in the application form as "Mirae Asset Large Cap Fund Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- 17.Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor